

# Surface Field Calculations for Microscopic Defects

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# Introduction

- **Microscopic defects**

- E.g. burrs from machining, undulations due to crystal structures
- Can be created in accelerating structures
- Local surface-field enhancements
- Breakdown trigger of accelerating structures
- Deterioration of accelerator performance

- **Accurate calculations of such surface fields desired**

- **Concave structure studied**

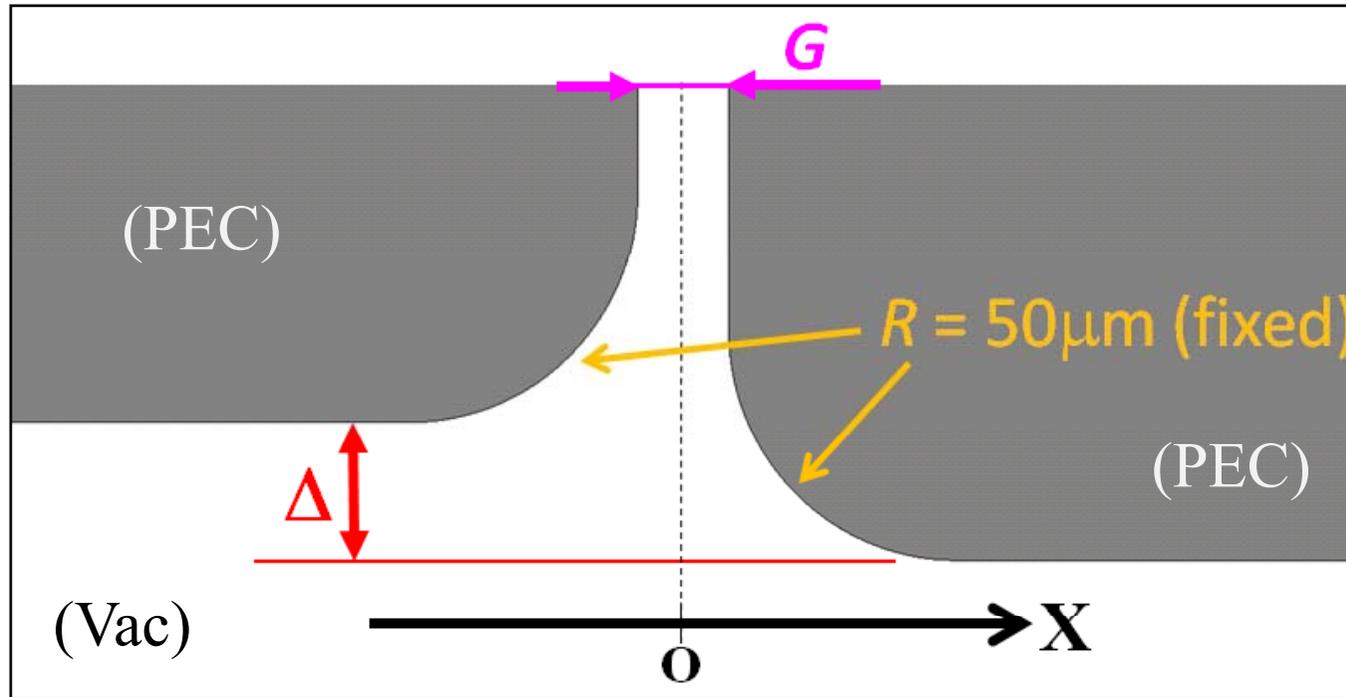
- We know: projection tips → Large field enhancements
- On the other hand, how large enhancements about concave structures?

- **Field enhancement factors calculated using three different methods:**

- Method\_1: RF-field simulation based on the Finite Integration Technique (FIT)
- Method\_2: Static-field simulation based on the FIT
- Method\_3: Floating Random Walk

(The FIT is a generalized finite-difference scheme for solving Maxwell's equations, implemented in CST STUDIO SUITE.)

# Parameterization of Concave Geometry



( PEC: Perfect Electric Conductor ( $\sigma = \text{infinite}$ )  
Vac: Vacuum )

Simulating the round chamfer of the edges of the bonded planes of the Quadrant-type X-band accelerating structure:



From the KEK Calendar 2010

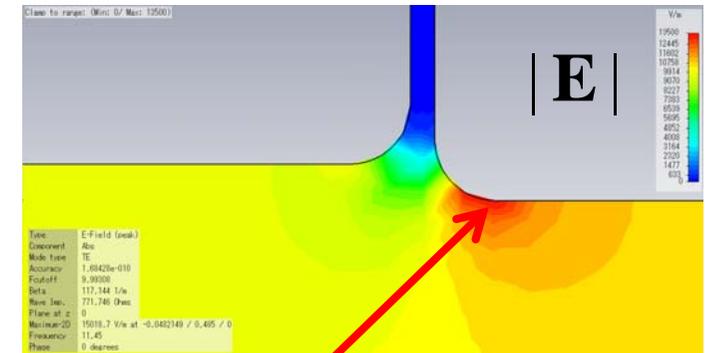
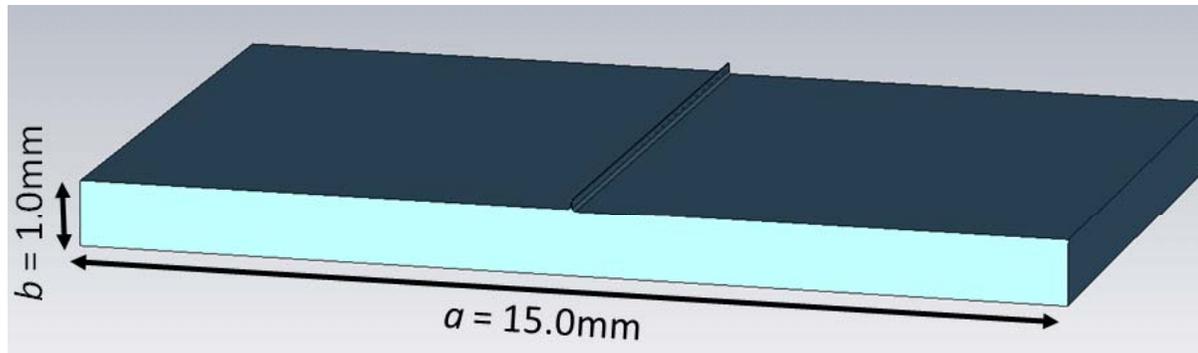
Right Quadrant of an X-band Cavity,  
Life Computing Facility (LIFE), KEK  
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# Method\_1 : FIT-based RF-Field Simulation using CST Microwave Studio (CST-MWS)

- ✓ Rectangular Waveguide with  $f_{\text{cutoff}}(\text{TE}_{10}) = 10 \text{ GHz}$
- ✓ A small groove at the center of the E-plane
- ✓ Port mode computation of  $\text{TE}_{10}$
- ✓ Hexahedral meshing with the PBA

(PBA: Perfect Boundary Approximation)

e.g.  $G=20\mu\text{m}$ ,  $\Delta=30\mu\text{m}$



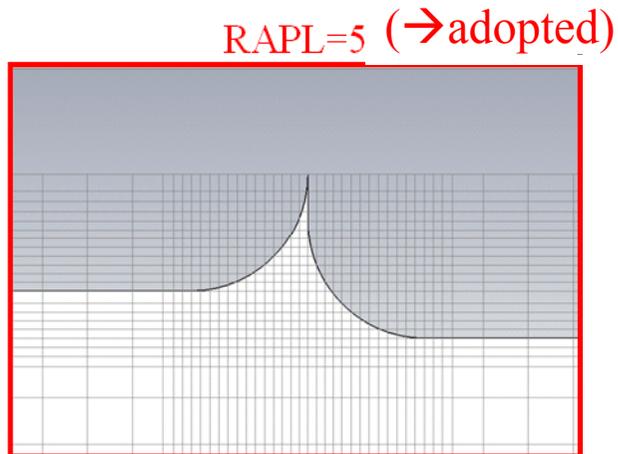
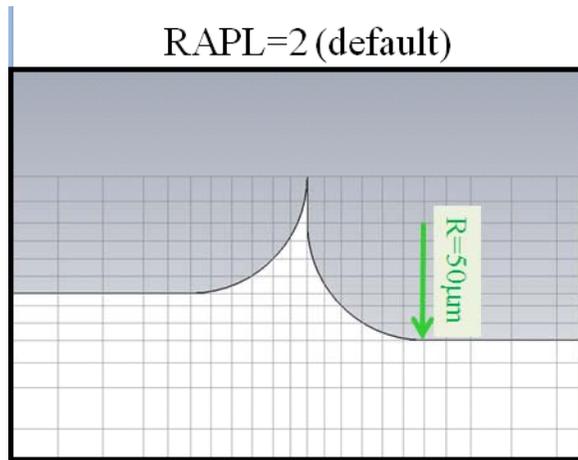
$$\text{Enhancement Factor} \cong \frac{E_{\text{max}}}{E_{\text{ref}}}$$

E.g. E-field of the  $\text{TE}_{10}$  port mode ( $G=20\mu\text{m}$ ,  $\Delta=30\mu\text{m}$ ):

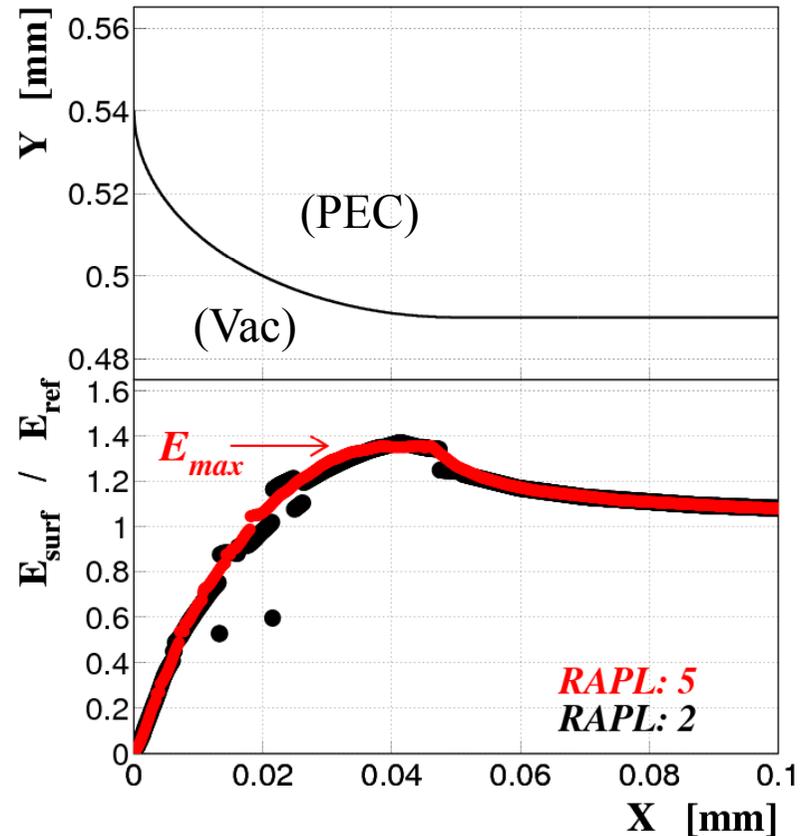


# Meshing Parameters and Mesh-Size Dependence

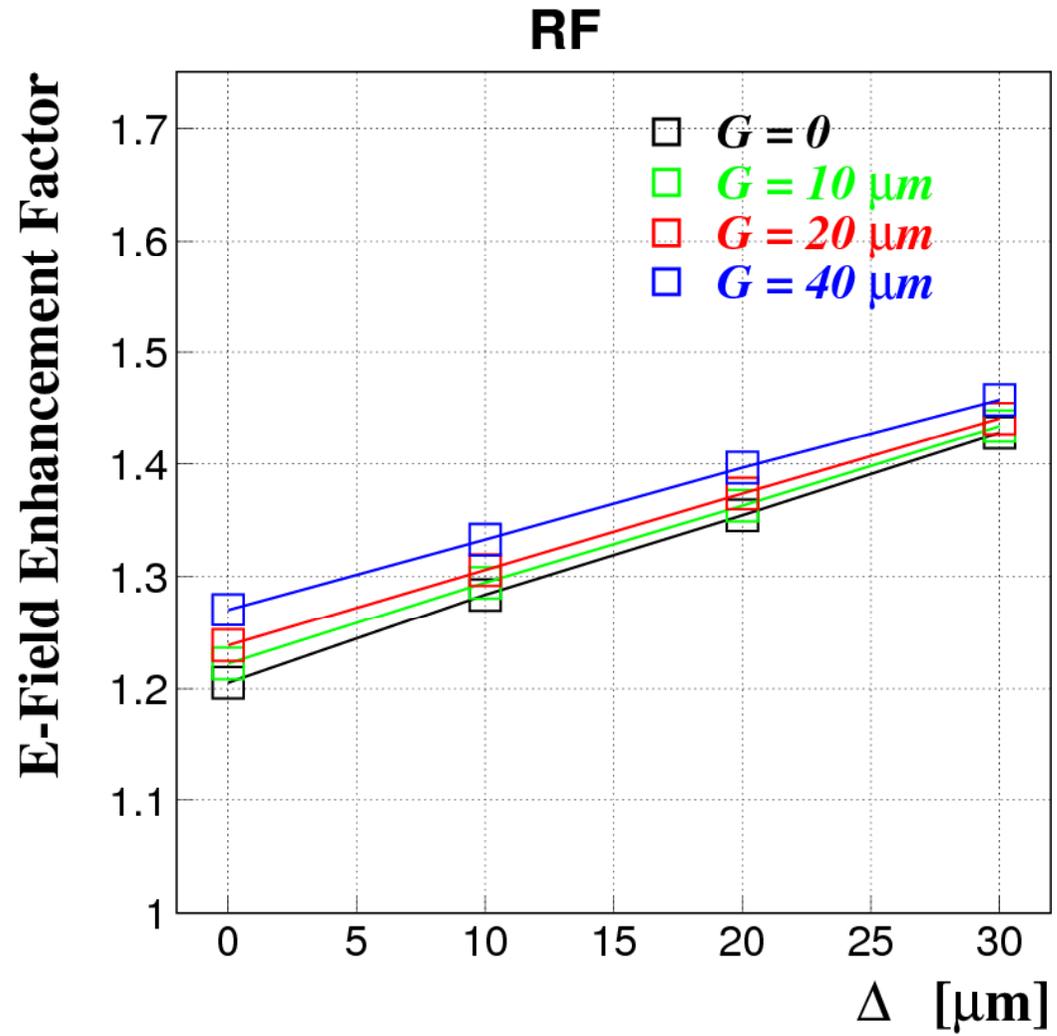
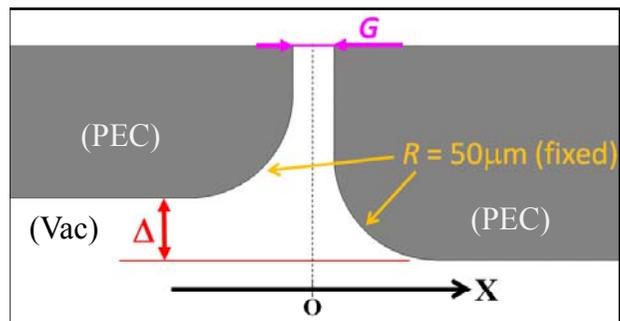
- ✓ FDSolver.Method "Hexahedral Mesh"
  - ✓ Mesh.MeshType "PBA" (Perfect Boundary Approx.)
  - ✓ Mesh.LinesPerWavelength "300" ( $\leftarrow 10(\text{default})$ )
  - ✓ Mesh.AutomeshRefineAtPecLines "True", "**RAPL**"
  - ✓ FDSolver.AccuracyHex "1e-6"
- Using a function: "GetFieldVectorSurface()"  
 → Better field interpolation scheme on PEC surfaces



E.g. CST-MWS (RF)

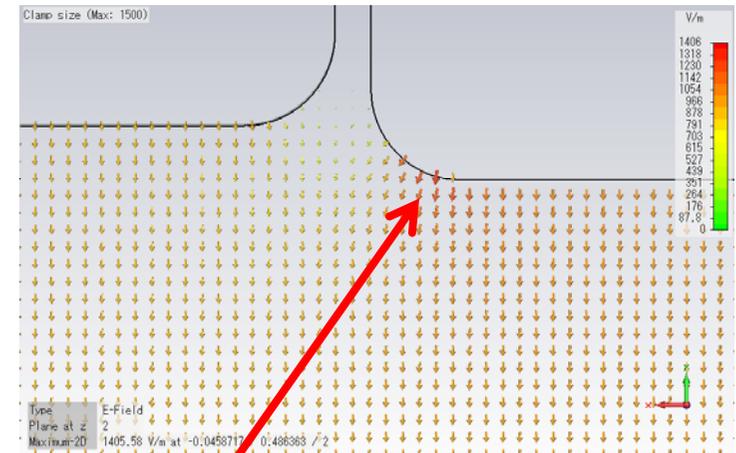
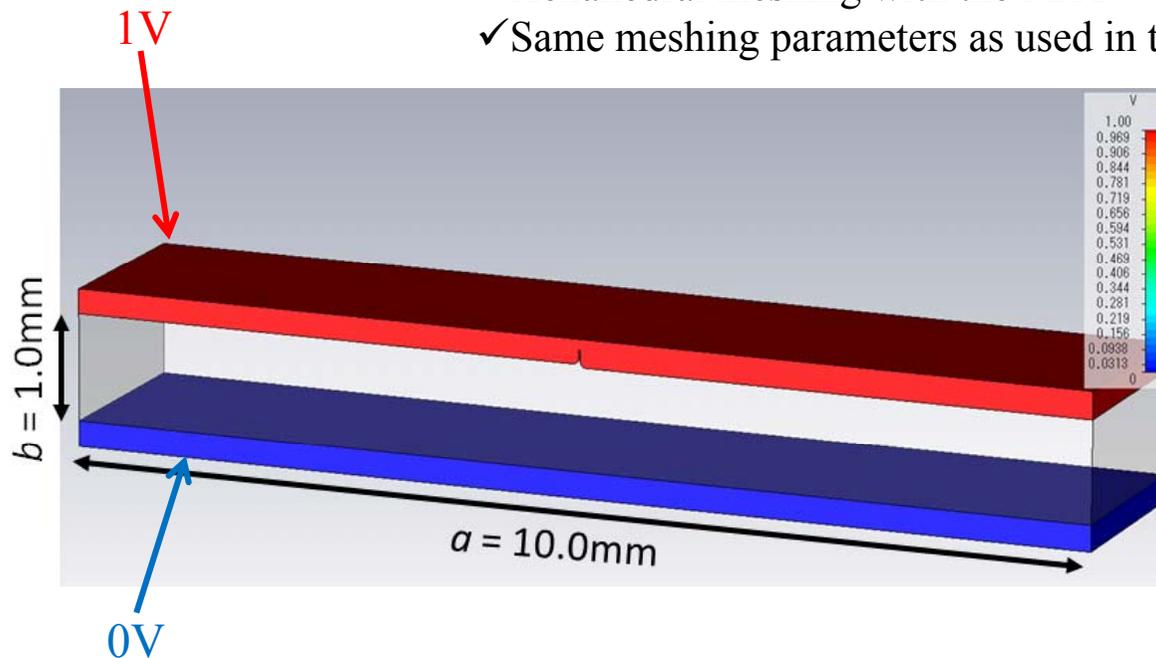


# Results by Method\_1



# Method\_2: FIT-based *Static-Field* Simulation using CST EM Studio (CST-EMS)

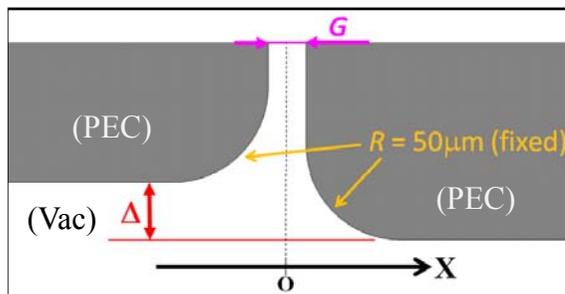
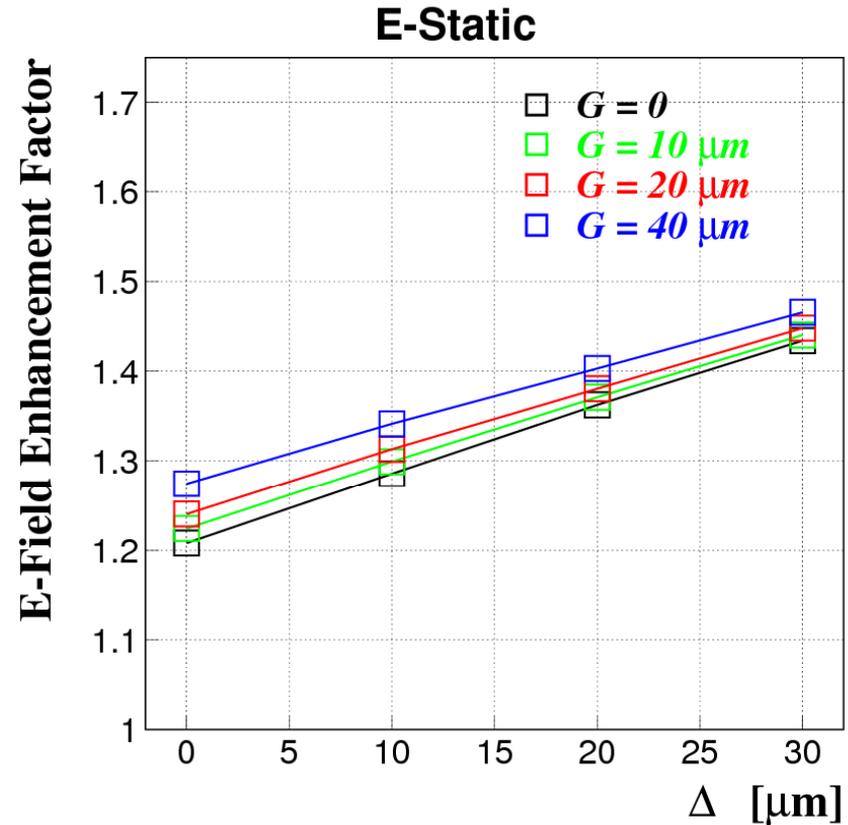
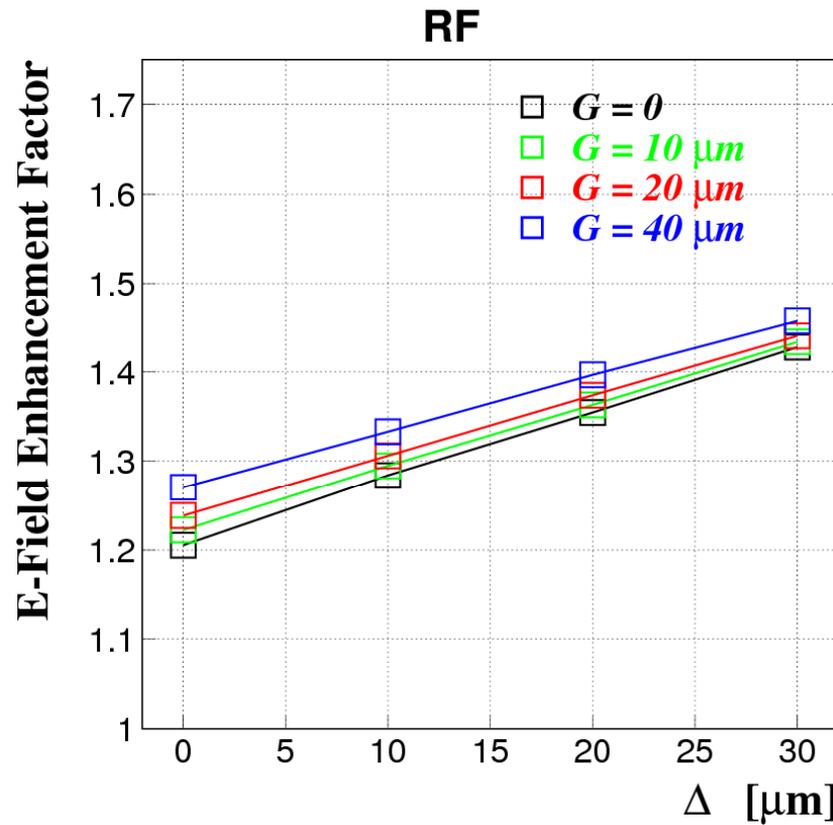
- ✓ Static-field approximation
- ✓ Two parallel PEC plates with a small groove (PEC: Perfect Electric Conductor ( $\sigma = \infty$ ))
- ✓ Potential difference: 1V
- ✓ Hexahedral meshing with the PBA (PBA: Perfect Boundary Approximation)
- ✓ Same meshing parameters as used in the previous simulation



e.g.  $G=20\mu\text{m}$ ,  $\Delta=30\mu\text{m}$

$$\text{Enhancement Factor} = \frac{E_{\text{max}}}{1000[\text{V/m}]}$$

# Comparison of the Results



✓ Good Agreements

✓ Static-field Approximation Holds.

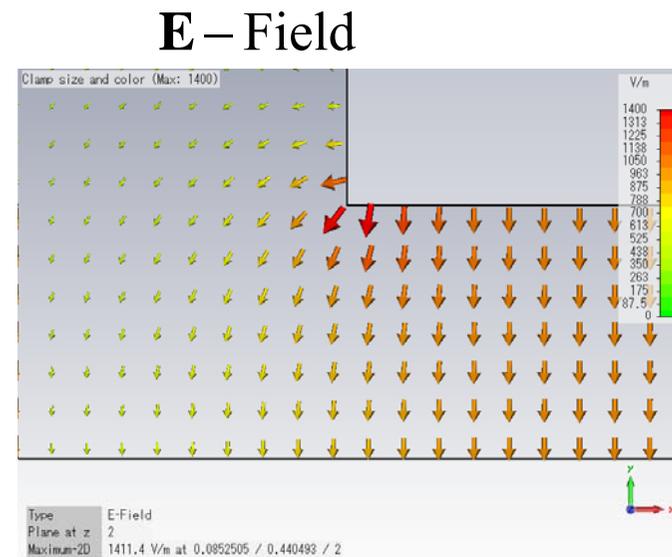
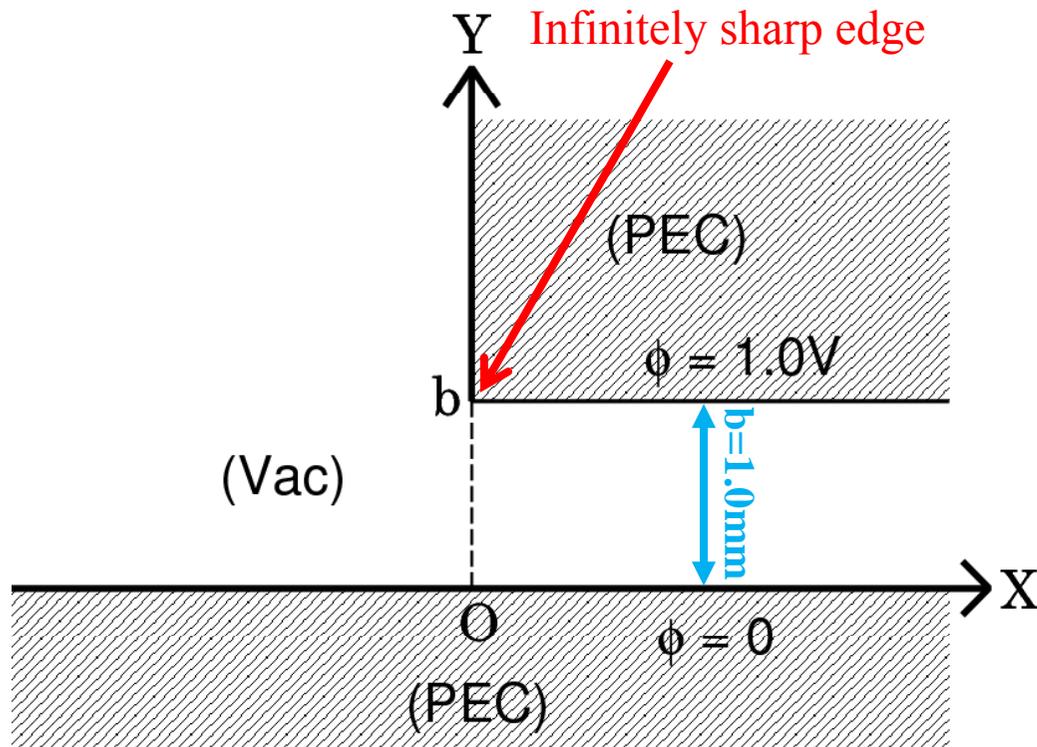
# Summary of Method\_1&2

- **Surface-field enhancements due to small grooves with round chamfer have been computed by using CST-MWS/EMS.**
  - At least 20% enhancement for  $R=50\mu\text{m}$  round chamfer.
  - Increases to 40% enhancement as the  $\Delta$  size increases to  $25\mu\text{m}$ .
  - Good agreements between the two methods (RF and E-static)

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  - Increases to 40% enhancement as the  $\Delta$  size increases to  $25\mu\text{m}$ .
  - Good agreements between the two methods (RF and E-static)
- **However,**
  - There might be some systematic errors related to the meshing and/or interpolation method.
  - In general,
    - Methods with meshing are weak in local-field calculations.
    - It is hard to estimate computation accuracies for finite-element, finite-difference, and finite-integration techniques.
  - Some other methods suitable to local-field calculations?

# Benchmark Test



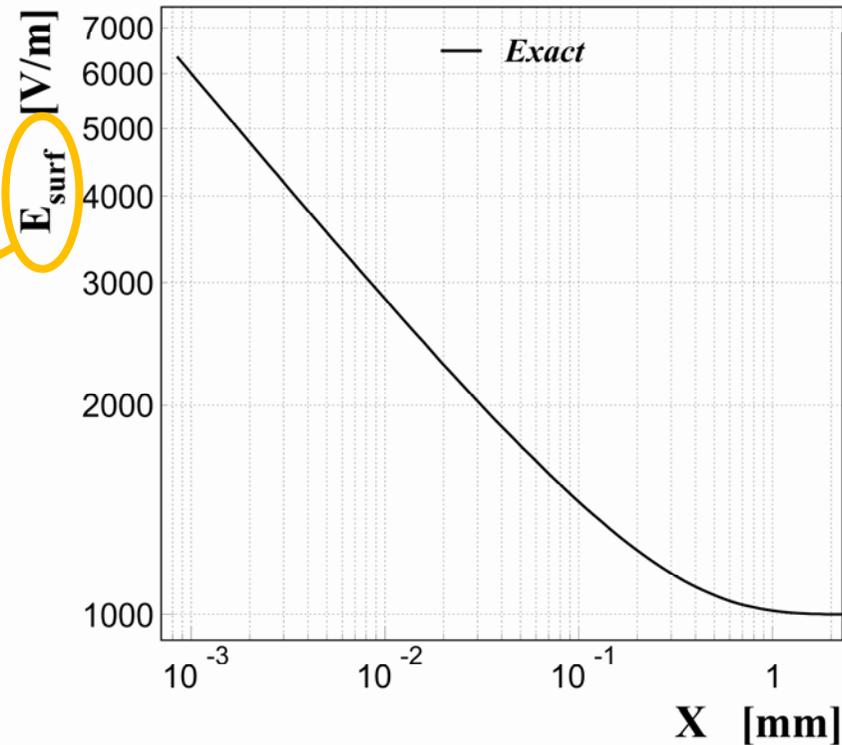
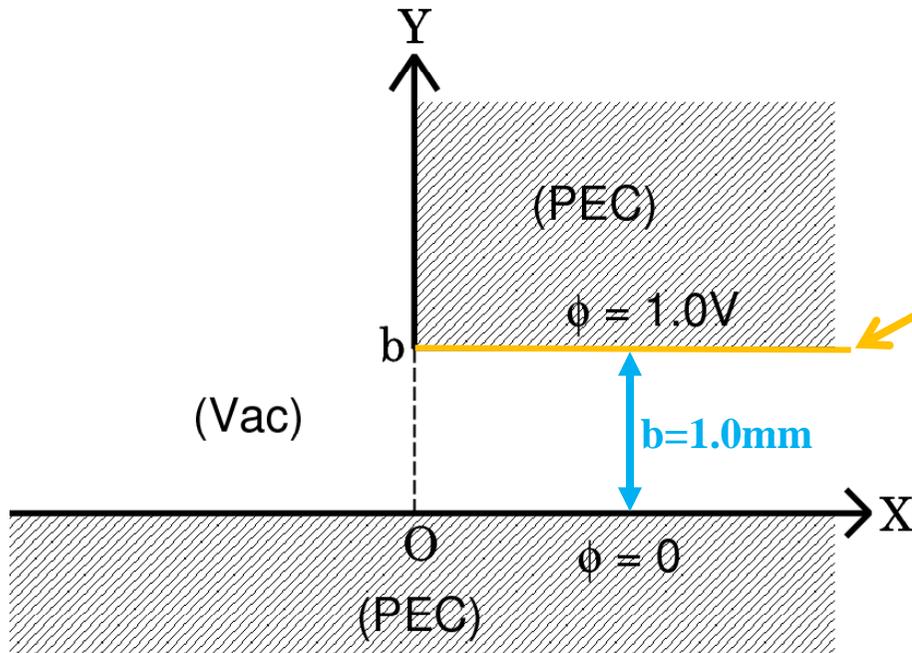
( PEC: Perfect Electric Conductor ( $\sigma = \text{infinite}$ )  
 Vac: Vacuum )

# Exact Solution

$$|\vec{E}(X, Y)| = \left| \frac{dw}{dz} \right| \quad (6)$$

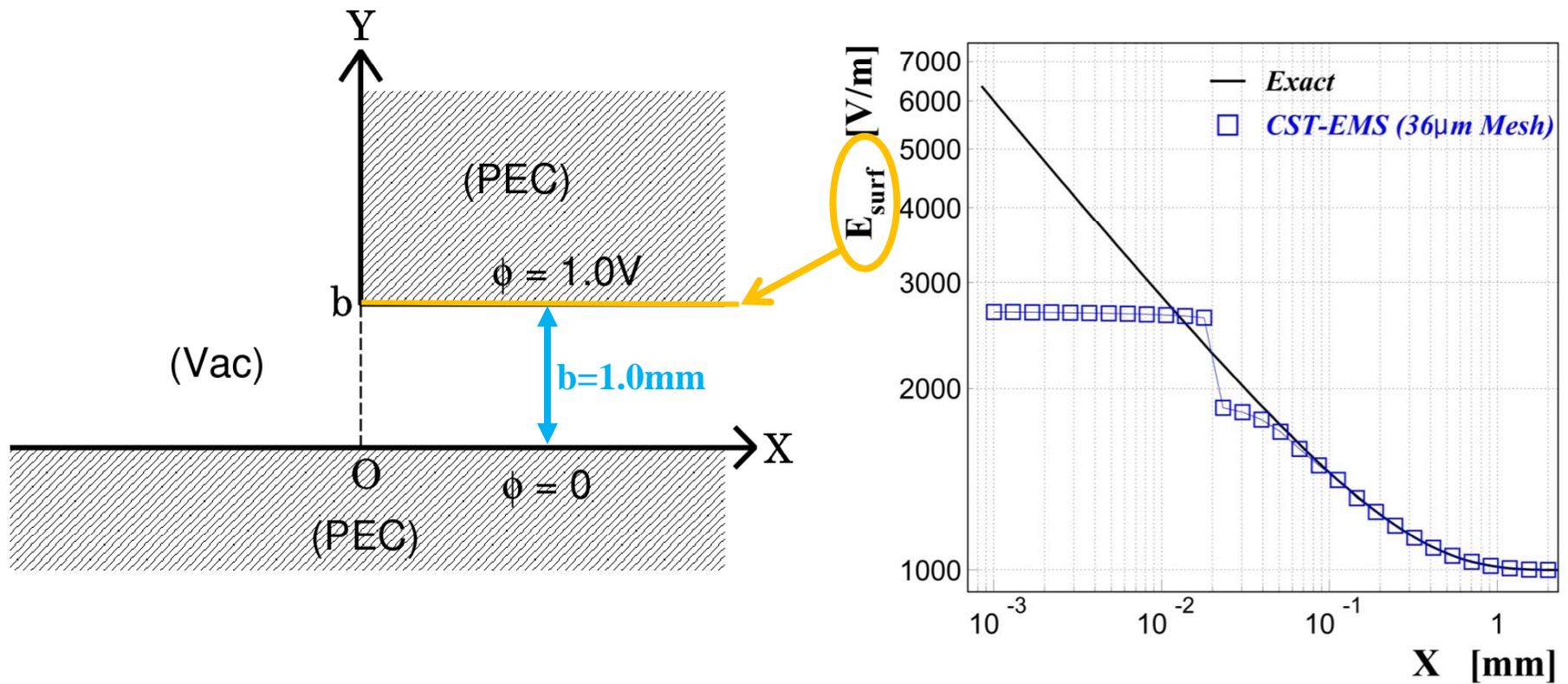
From the Schwarz-Christoffel mapping :

$$z = \frac{b}{\pi} \left( \ln \frac{1 + \sqrt{1 + e^{-(\pi/\phi_0)w}}}{1 - \sqrt{1 + e^{-(\pi/\phi_0)w}}} - 2\sqrt{1 + e^{-(\pi/\phi_0)w}} \right) + ib \quad (7)$$



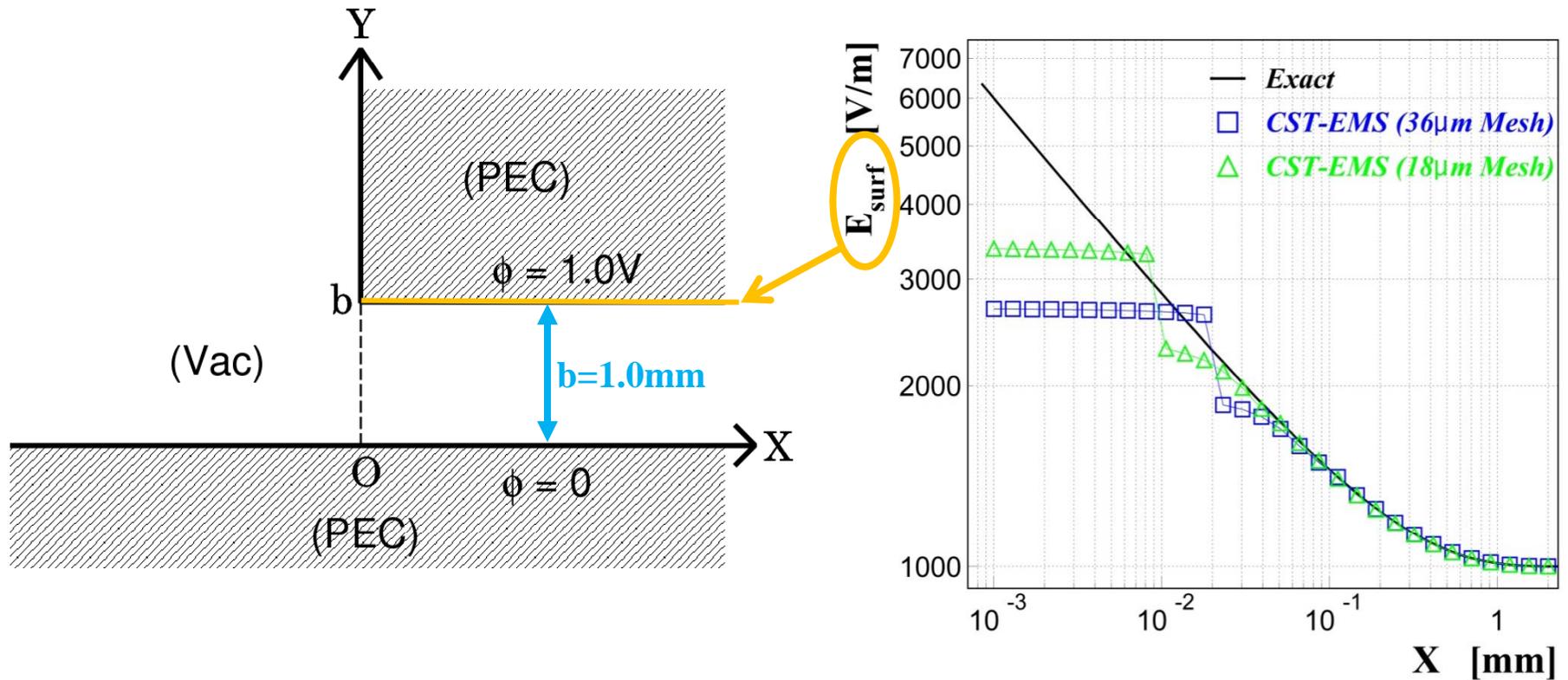
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# FIT-based Simulation (1)



( PEC: Perfect Electric Conductor ( $\sigma = \text{infinite}$ ) )  
( Vac: Vacuum )

# FIB-based Simulation (2)



( PEC: Perfect Electric Conductor ( $\sigma = \text{infinite}$ )  
Vac: Vacuum )

# Method\_3: Floating Random Walk (FRW)

~ A Stochastic Approach ~

For an electro-static potential  $\phi$  (harmonic function)

$$\phi(X, Y) = \frac{1}{2\pi r_1} \oint_{C_1} ds_1 \phi(X_1, Y_1) \quad (1)$$

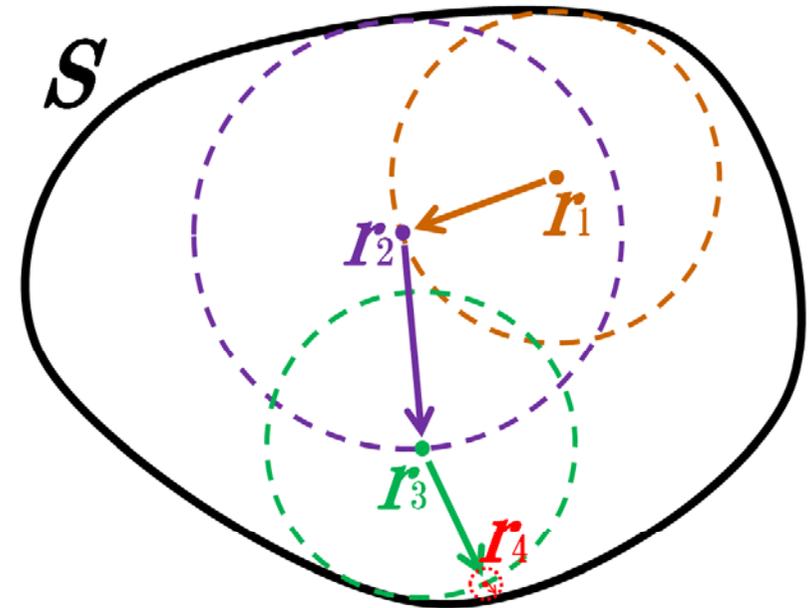
$$= \frac{1}{2\pi r_1} \oint_{C_1} ds_1 \frac{1}{2\pi r_2} \oint_{C_2} ds_2 \phi(X_2, Y_2) \quad (2)$$

$$= \frac{1}{2\pi r_1} \oint_{C_1} ds_1 \frac{1}{2\pi r_2} \oint_{C_2} ds_2 \cdots \\ \cdots \frac{1}{2\pi r_N} \oint_{C_N} ds_N \phi(X_N, Y_N), \quad (3)$$

where  $C_N$  indicates a circle with a fixed radius of  $r_N$ :

$$r_N = \sqrt{X_N^2 + Y_N^2}, \quad (4)$$

and the value of  $r_N$  is always set to be the minimum distance to the boundary with a known potential. In the FRW



**The multiple integration (3) can be given a probabilistic interpretation, and estimated by many random walks in a Monte-Carlo method**

# Method\_3: Floating Random Walk (FRW)

Letting  $\phi_k$  be an estimate by the  $k$ -th single random walk, and performing  $M$  random walks in total, we obtain an estimate  $\bar{V}$  and its error  $\sigma$  of the potential by  $M$  random walks according to the following formula:

$$\bar{\phi} = \frac{1}{M} \sum_{k=1}^M \phi_k, \quad \sigma = \frac{(\text{Standard Deviation})}{\sqrt{M}}. \quad (5)$$

# Advantages of FRW

1. No meshing (i.e. no space discretization)
2. Simple algorithm,
3. No large amount of computer memory needed,
4. High parallelization efficiency (← Monte Carlo method)
5. Calculation accuracies also estimated,
6. Higher accuracy with larger statistics of random walks.

# Disadvantage of FRW

**Larger number of computations or operations** are needed than in the deterministic methods, such as finite-element, finite-difference, and finite-integration techniques.

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Can be overcome by adopting

**GPGPU** (General-Purpose computing on Graphics Processing Units)

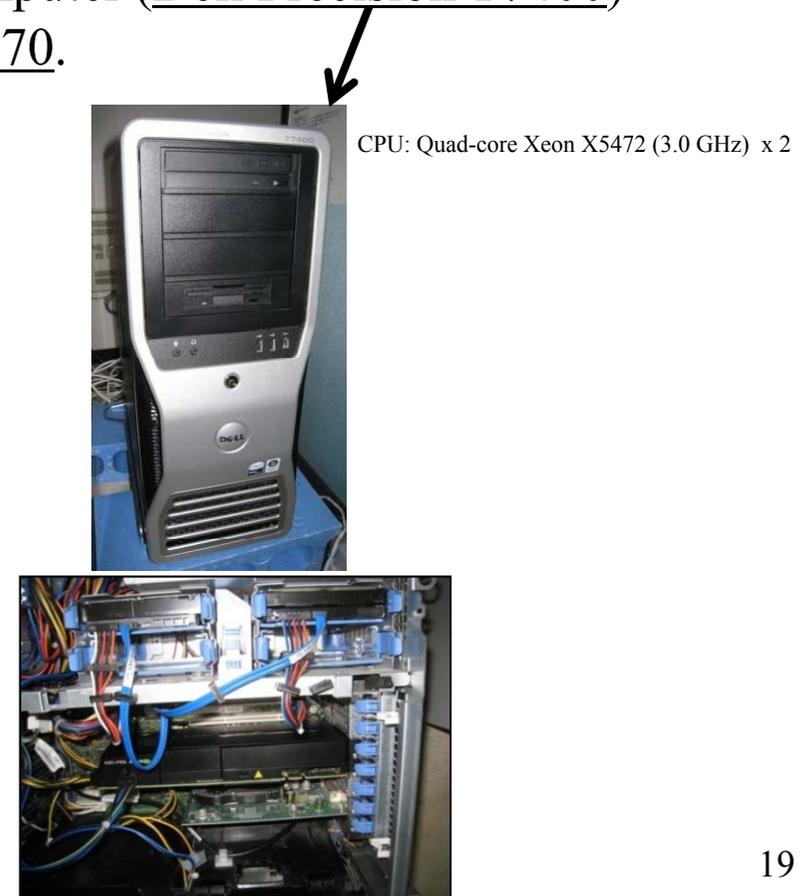
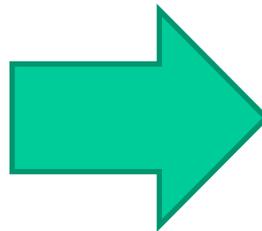
- *Many cores*
- *Rapidly-advancing field in computer science*

It should be noted that GPGPU is weak in complicated algorithms.

# GPGPU Computing

The FRW algorithm to calculate local fields is implemented in my own computer program for GPGPU written in CUDA Fortran / Fortran 2003.

This program was executed in a personal computer (Dell Precision T7400) with a GPGPU board of NVIDIA Tesla C2070.



# Two Simulation Parameters in FRW

$$\delta n$$

*Tiny distance from the conductor surface to compute electric fields:*

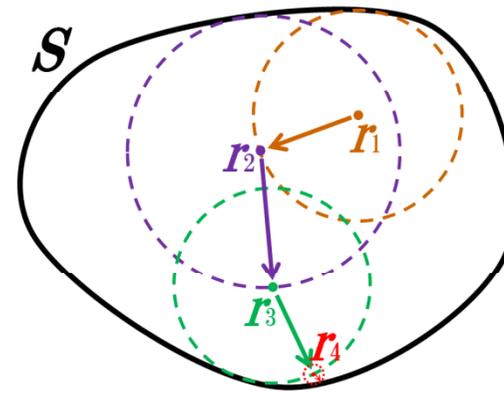
$$E = ( 1.0V - V_{\text{FRW}}(\delta n) ) / \delta n$$

$V_{\text{FRW}}(\delta n)$ : potential at a distance of  $\delta n$  from the conductor surface

$$r_{\text{min}}$$

*Very tiny distance to terminate random walks*

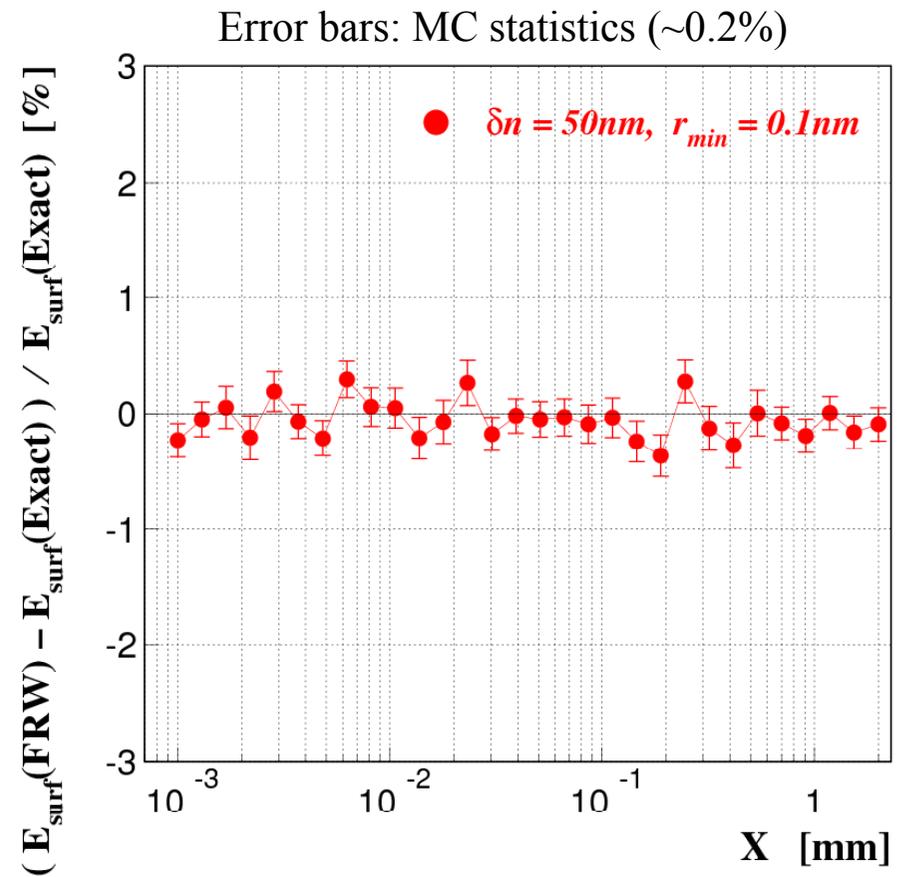
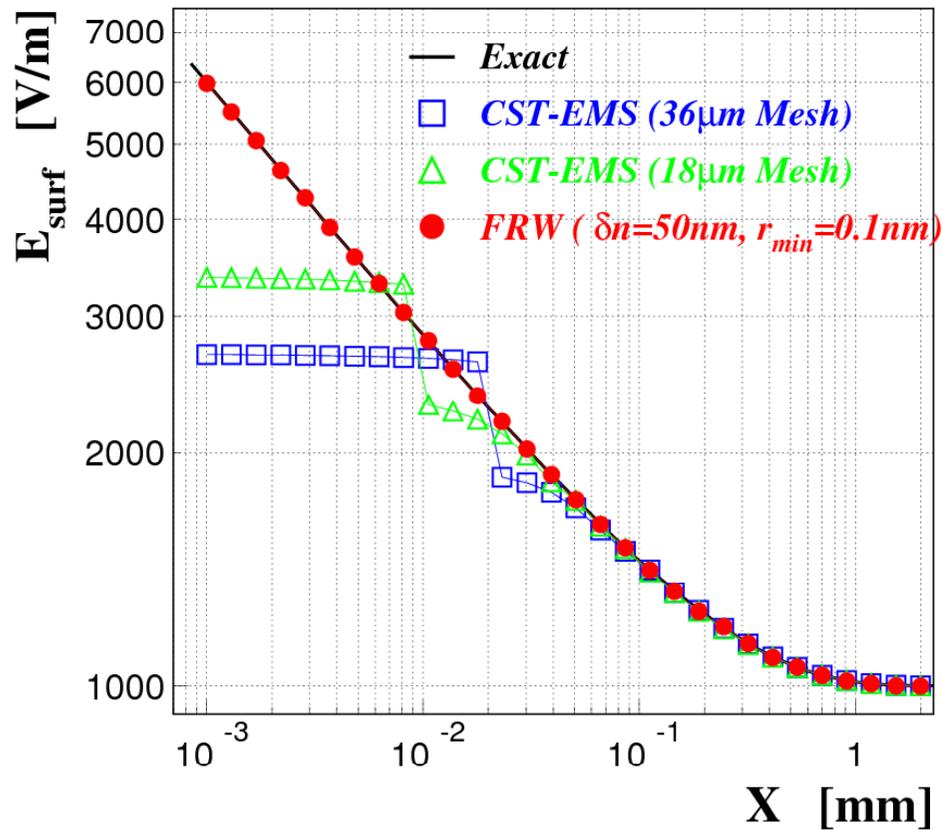
e.g



- If  $r_4 < r_{\text{min}}$ ,
- This random walk terminated
  - The potential at the nearest boundary used

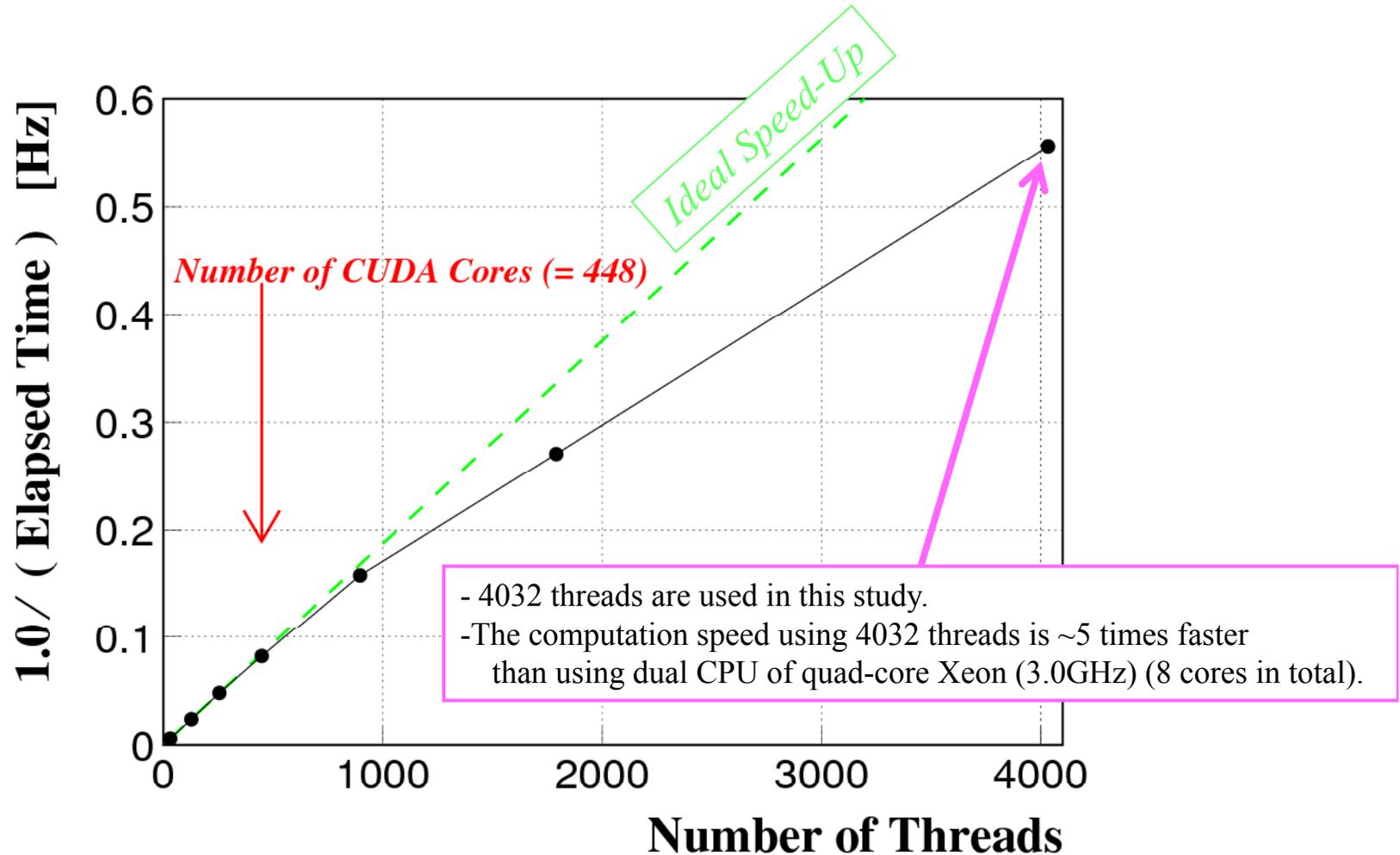
***How to Determine these Two Parameters ?***

*In this study,*  
 *$(\delta n, r_{\min})$  is set to be  $(50\text{nm}, 0.1\text{nm})$*   
*so as to have a  $1\mu\text{m}$ -Resolution Computational Probe*  
*for the benchmark test.*



# Parallelization Efficiency

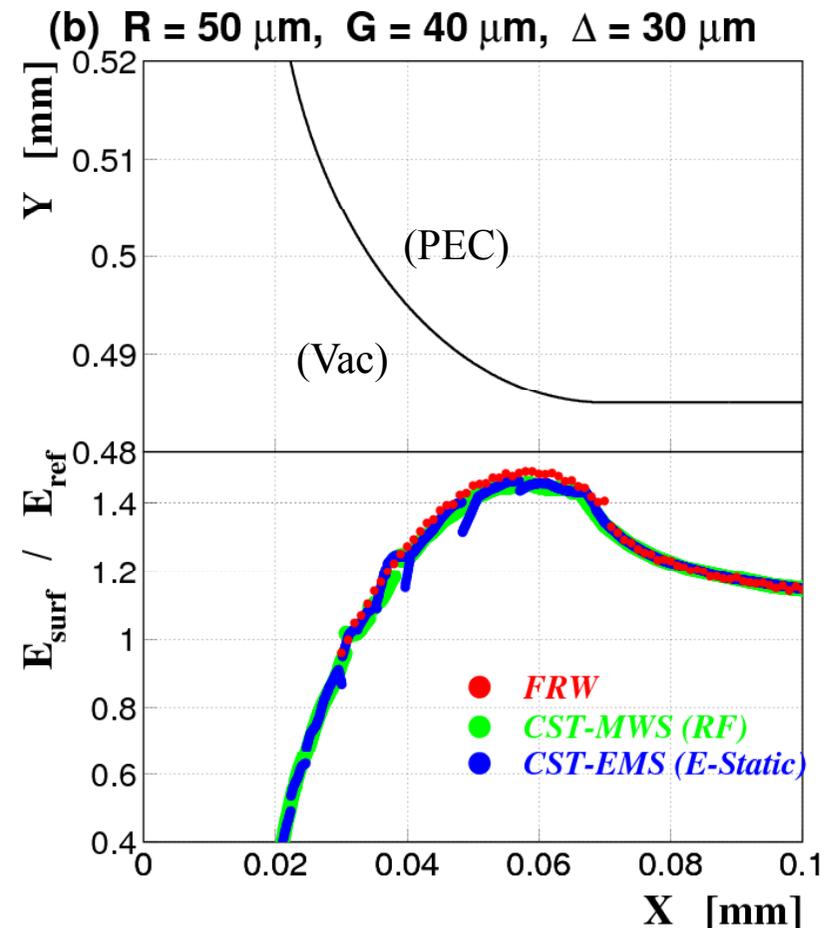
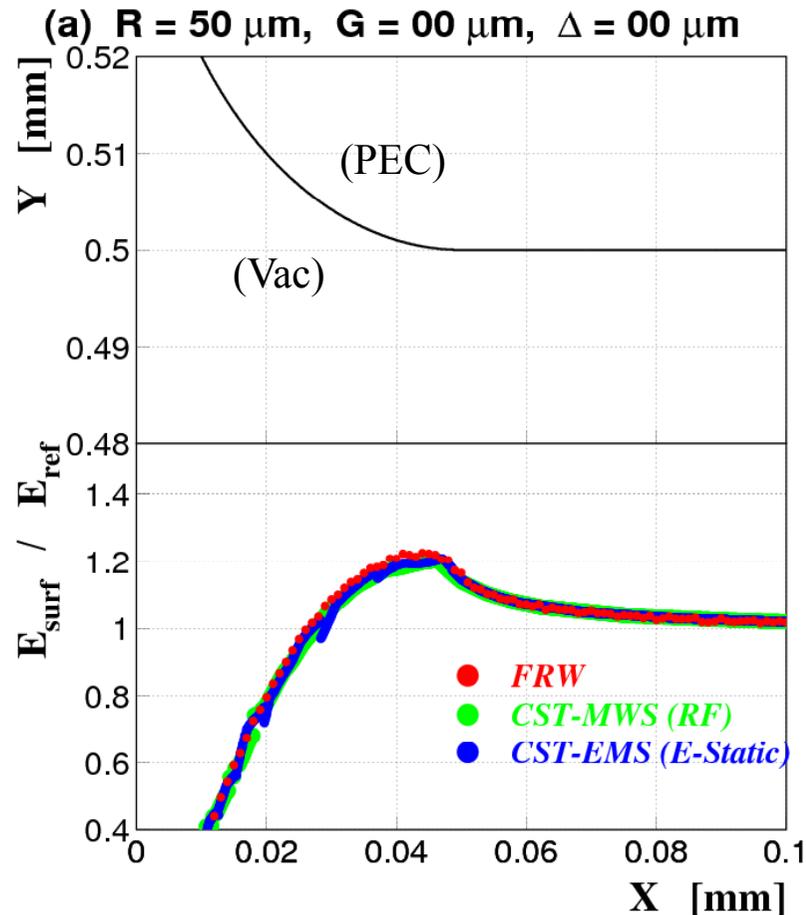
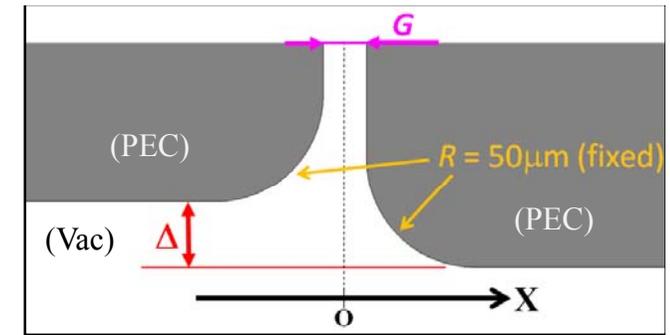
*For a FRW computation on the benchmark test using one GPGPU board (NVIDIA Tesla C2070)*



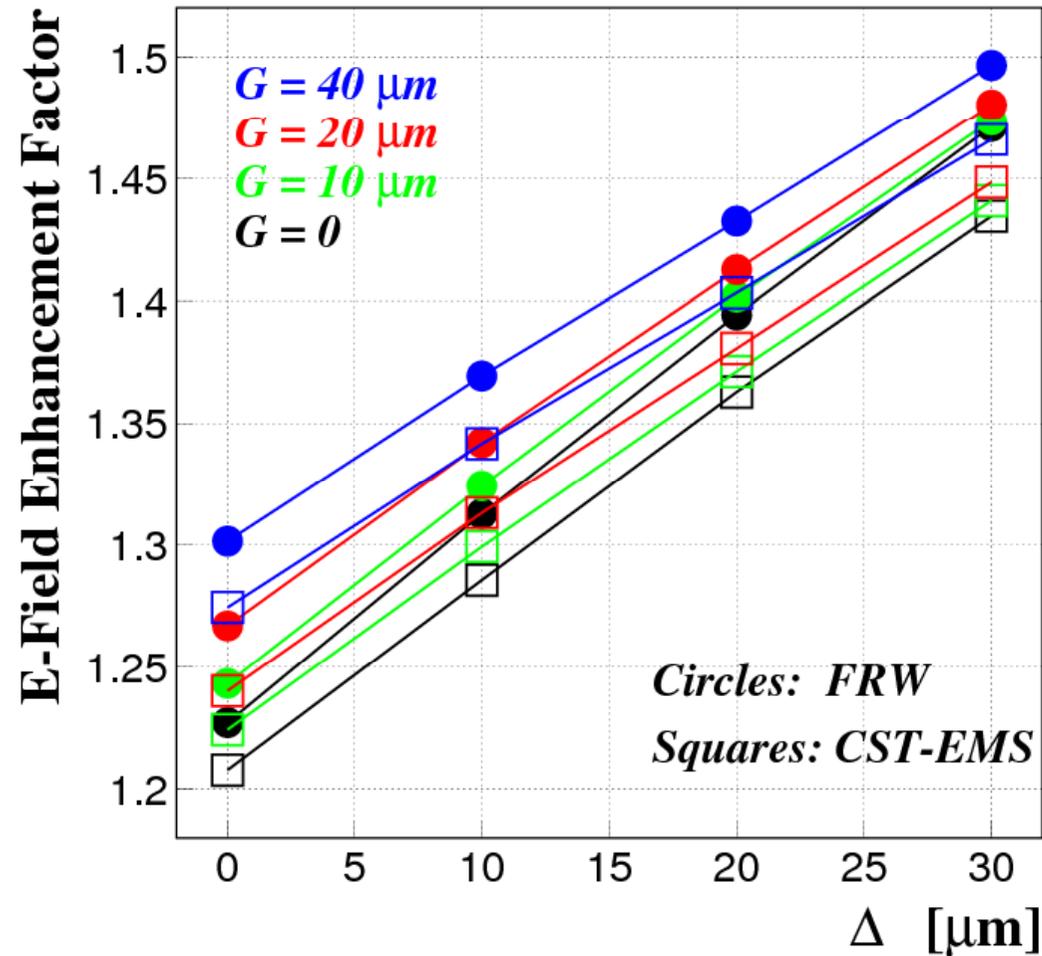
# Comparisons with the FIT-based Simulations

FRW

- ✓ MC statistics:  $\sim 0.4\%$
- ✓ Number of random walks / point :  $\sim 1$  billion (=M)
- ✓ Elapsed time of computation / point :  $\sim 1$  minute



# Results



- ✓ Theoretically the equivalent calculations performed on the FRW and CST-EMS (E-static)
- ✓ Systematically a few % smaller by CST-EMS than using the FRW

# Conclusions for Method\_3 (FRW)

- **It has been found that**
  - The FIT-based simulations using CST-MWS/EMS systematically give a few % lower field-enhancement factors than using the FRW.
- **It has been demonstrated that the FRW method**
  - Can give highly-accurate calculations of local surface fields for microscopic objects,
  - Practical and Promising because of its suitability for GPGPU computing.
    - Significant speed-up to be achieved by further code improvements and using a GPU cluster
      - Better-resolution computational probe
- **This FRW method is applicable to any structures.**
  - Next step: Surface field calculations for real conductor surfaces (3D) damaged by breakdowns/discharges, etc.
  - What kind of shapes makes large field enhancements?

*To be Continued...*