

Searching for de Sitter String Vacua

Gary Shiu

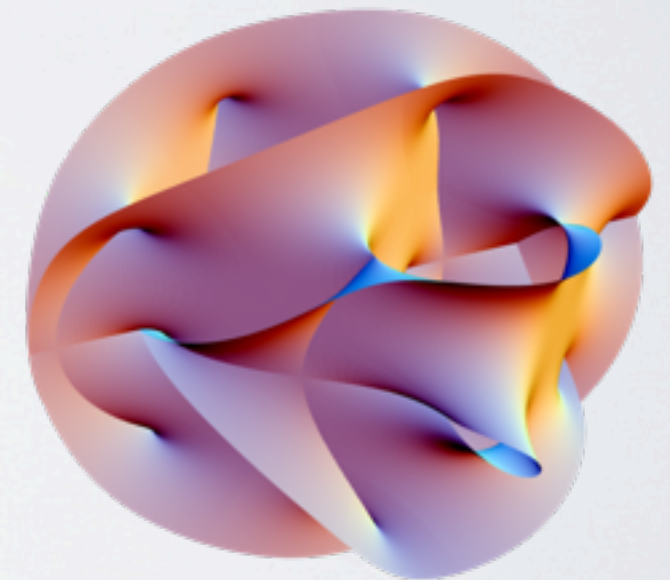
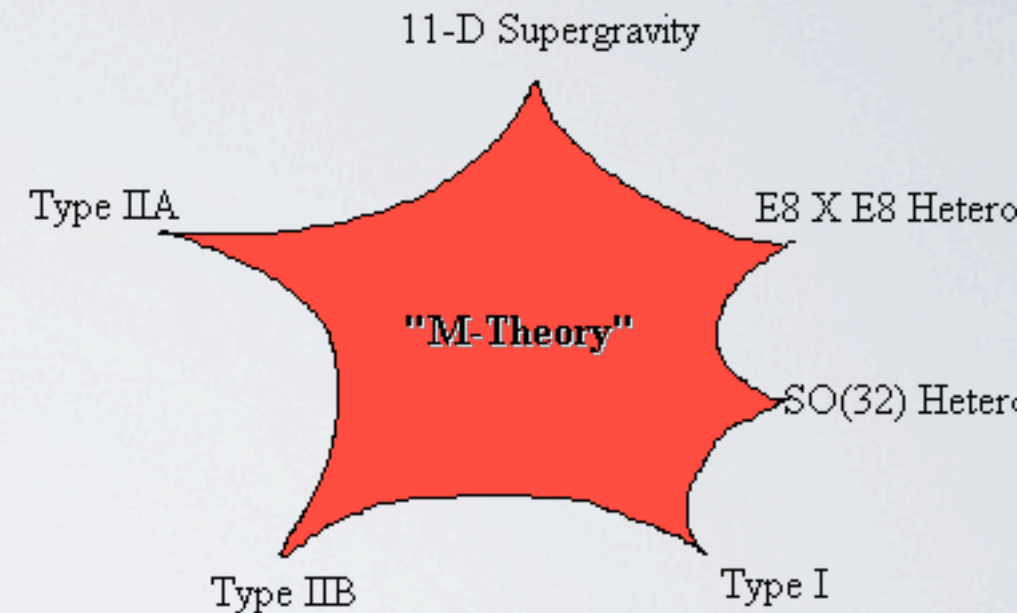
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Outline of these Lectures

- ◆ Lecture 1: No-go theorems for dS and explicit model building
 - ◆ S.S. Haque, GS, B. Underwood, T. Van Riet, Phys. Rev. D79, 086005 (2009).
 - ◆ U.H. Danielsson, S.S. Haque, GS, T. Van Riet, JHEP 0909, 114 (2009).
 - ◆ U.H. Danielsson, S.S. Haque, P. Koerber, GS, T. Van Riet, T. Wrase, Fortsch. Phys. 59, 897 (2011).
 - ◆ GS, Y. Sumitomo, JHEP 1109, 052 (2011).
- ◆ Lecture 2: Two roles of tachyons in String Cosmology
 - ◆ Random (Super)gravities & Implications to the Landscape
 - ◆ X. Chen, GS, Y. Sumitomo, H. Tye, JHEP 1204, 026 (2012)+work in progress
 - ◆ Detectable Primordial Gravity Waves in Small Field Inflation
 - ◆ N. Barnaby, J. Moxon, R. Namba, M. Peloso, GS, P. Zhou, arXiv:1206.6117.

STRING THEORY LANDSCAPE

- Many perturbative formulations:
- In each perturbative limit, many topologies:
- For a fixed topology, many choices of fluxes.

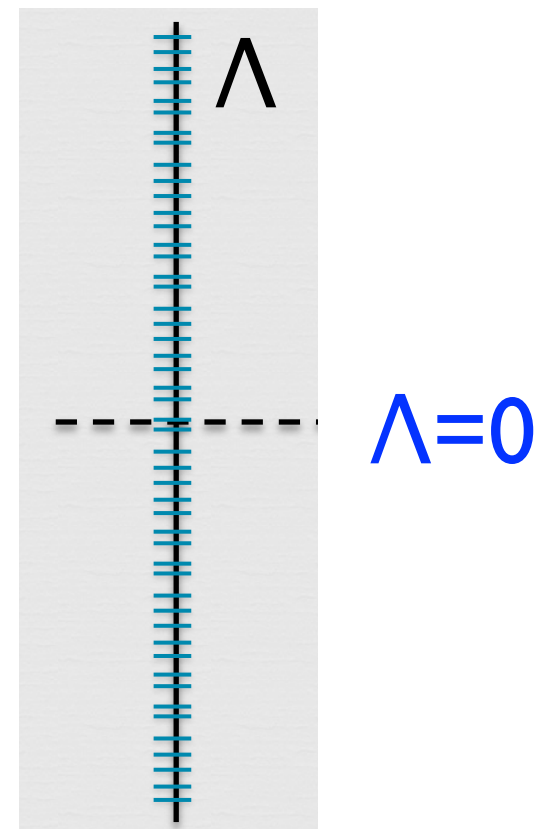
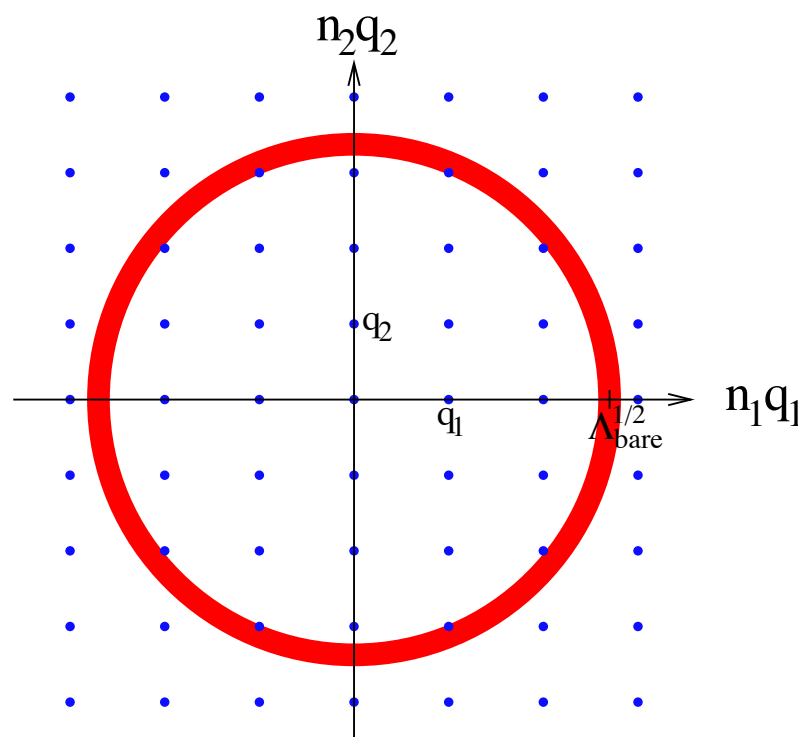


A Flux Landscape

- Quantized fluxes contribute to vacuum energy:

$$\int_{\Sigma} F \in \mathbb{Z} \qquad \Lambda = \Lambda_{\text{bare}} + \frac{1}{2} \sum_i n_i^2 q_i^2$$

- A finely spaced discretuum [Bousso, Polchinski]:

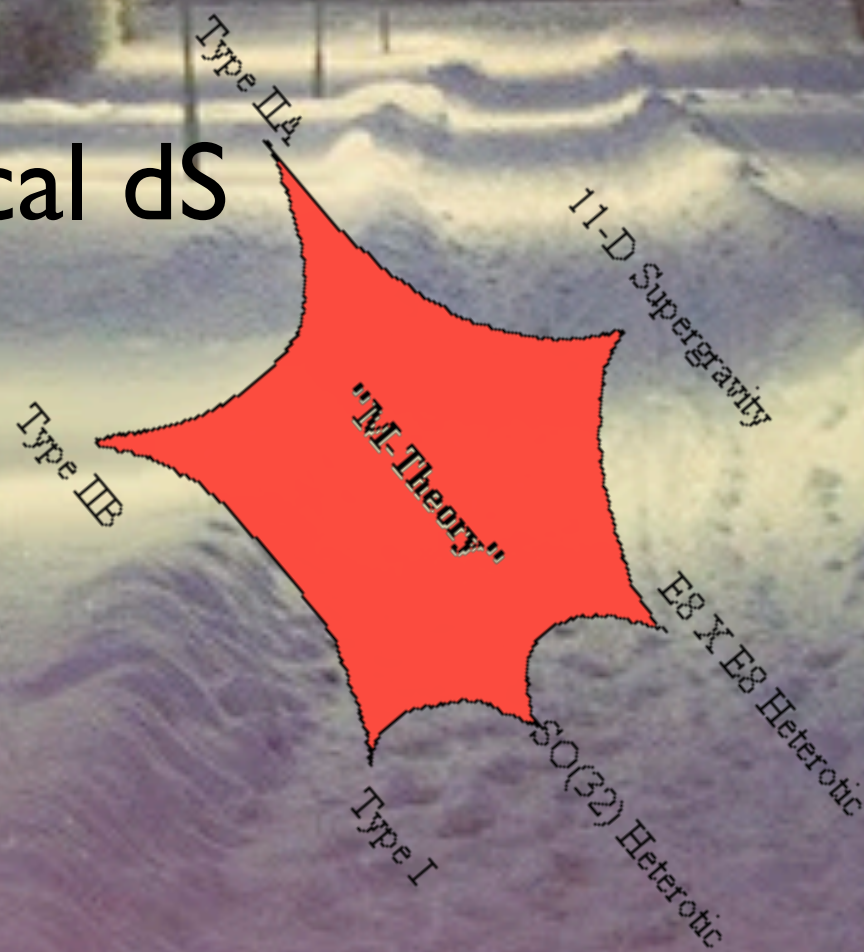


- # solutions $\sim (\# \text{ flux quanta})^{\# \text{ moduli}} \sim m^N \sim 10^{500}$

Explicit Constructions

Classical dS

KKLT, LVS, ...

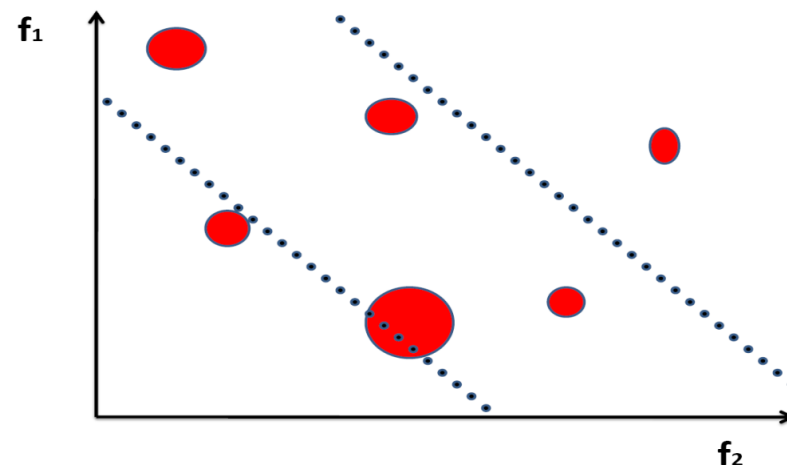


A Mini Landscape



- ❖ # of unipotent 6D group spaces $\sim O(50)$. Among them, only a handful have de Sitter critical points that are compatible with orbifold/orientifold symmetries.
- ❖ Each of these group spaces has $O(10)$ left-invariant modes. Tadpole constraints restrict flux quanta on each cycle $\leq O(10)$.
- ❖ A sample space of $O(10^{10})$ solutions, no dS that is tachyon free.
- ❖ Flux quantization:

Pictorially



For $SU(2) \times SU(2)$ examples, can explicitly check flux quantization demands solutions outside SUGRA.

Probability Estimate



- Consider $V(\phi) = \sum_{j=1}^N V_j(\phi_j)$
- Then $V_{\min}(\Phi) = \sum_j V_{j,\min}(\phi_j)$. If V_j has n_j minima, then there are $\prod n_j$ classical minima. For $n_j \sim n$, # minima = n^N [Susskind]. This is implicit in BP.
- Say V_j has $2n_j$ extrema, roughly half of which are minima.
- Probability for an extremum to be a minimum is

$$\mathcal{P} = 1/2^N = e^{-N \ln 2}$$

- Still, there are $\mathcal{P} \times (\# \text{ extrema}) = e^{N \ln n}$ minima.

Probability for de Sitter Vacua

- We are interested in **dS vacua** from string theory.
- The various Φ_j interact with each other. It is difficult to estimate how many minima there are.
- Explicit form of V is typically very complicated, e.g., in IIA:

$$V = e^K \left(K^{ij} D_{ti} W \overline{D_{tj} W} + K^{K\bar{L}} D_{N^K} W \overline{D_{N^L} W} - 3|W|^2 \right) + \frac{1}{2} (\text{Re} f)^{-1\alpha\beta} D_\alpha D_\beta$$

$$K = -2 \ln \left(-i \int e^{-2\phi} \Omega \wedge \Omega^* \right) - \ln \left(\frac{4}{3} \int J \wedge J \wedge J \right) \quad \begin{aligned} J &= k^i Y_i^{(2-)} \\ \Omega &= \mathcal{F}_K Y_K^{(3-)} + i \mathcal{Z}^K Y_K^{(3+)} \end{aligned}$$

$$\sqrt{2}W = \int \left(\Omega_c \wedge (-iH + dJ_c) + e^{iJ_c} \wedge \hat{F} \right)$$

$$\begin{aligned} f_{\alpha\beta} &= -\hat{\kappa}_{i\alpha\beta} t^i, & \hat{\kappa}_{i\alpha\beta} &= \int Y_i^{(2-)} \wedge Y_\alpha^{(2+)} \wedge Y_\beta^{(2+)}, & J_c &= J - iB = t^i Y_i^{(2-)} \\ D_\alpha &= -\frac{e^{\phi_4}}{\sqrt{2\text{vol}_6}} \hat{r}_\alpha^K \mathcal{F}_K, & dY_\alpha^{(2+)} &= \hat{r}_\alpha^K Y_K^{(3+)}. & \Omega_c &= e^{-\phi} \text{Im}(\Omega) + iC_3 = N^K Y_K^{(3+)} \end{aligned}$$

Stability of Extrema

- The Hessian mass matrix $\mathbf{H} = V_{ij}$ at an extremum $V_i = 0$ must be positive definite for (meta)stability.
- We can use Sylvester's criterion to check whether there are tachyons, but time-consuming for a large Hessian \mathbf{H} (c.f. last lecture).
- If the Hessian is large and complicated, how do we estimate the probability of an extremum to be a min.?



Random MATRIX

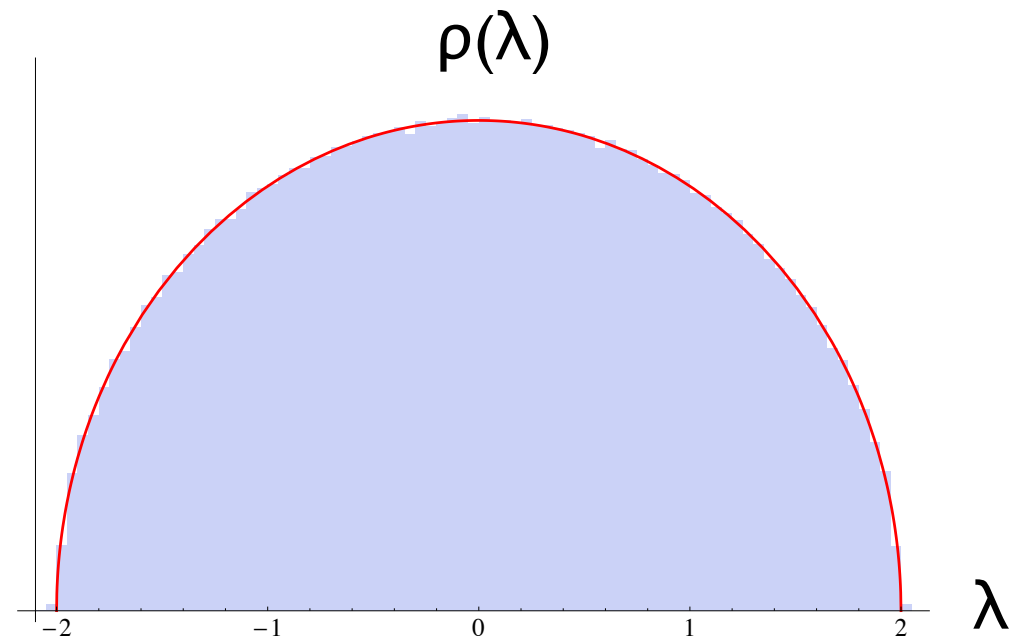
Random Matrix Theory

- A tool to study a large complicated matrix statistically [Wigner, Tracy-Widom,]
- Given a random \mathbf{H} , the theory of fluctuation of extreme eigenvalues allows one to compute the probability of drawing a *positive definite* matrix from the ensemble.
- Eigenvalue repulsion: probability for \mathbf{H} to have no negative eigenvalue is *Gaussianly suppressed*.
- Some initial foray in applying these RMT results to cosmology was made [Aazami, Easter (2005)].

Wigner Ensemble

$$M = A + A^\dagger$$

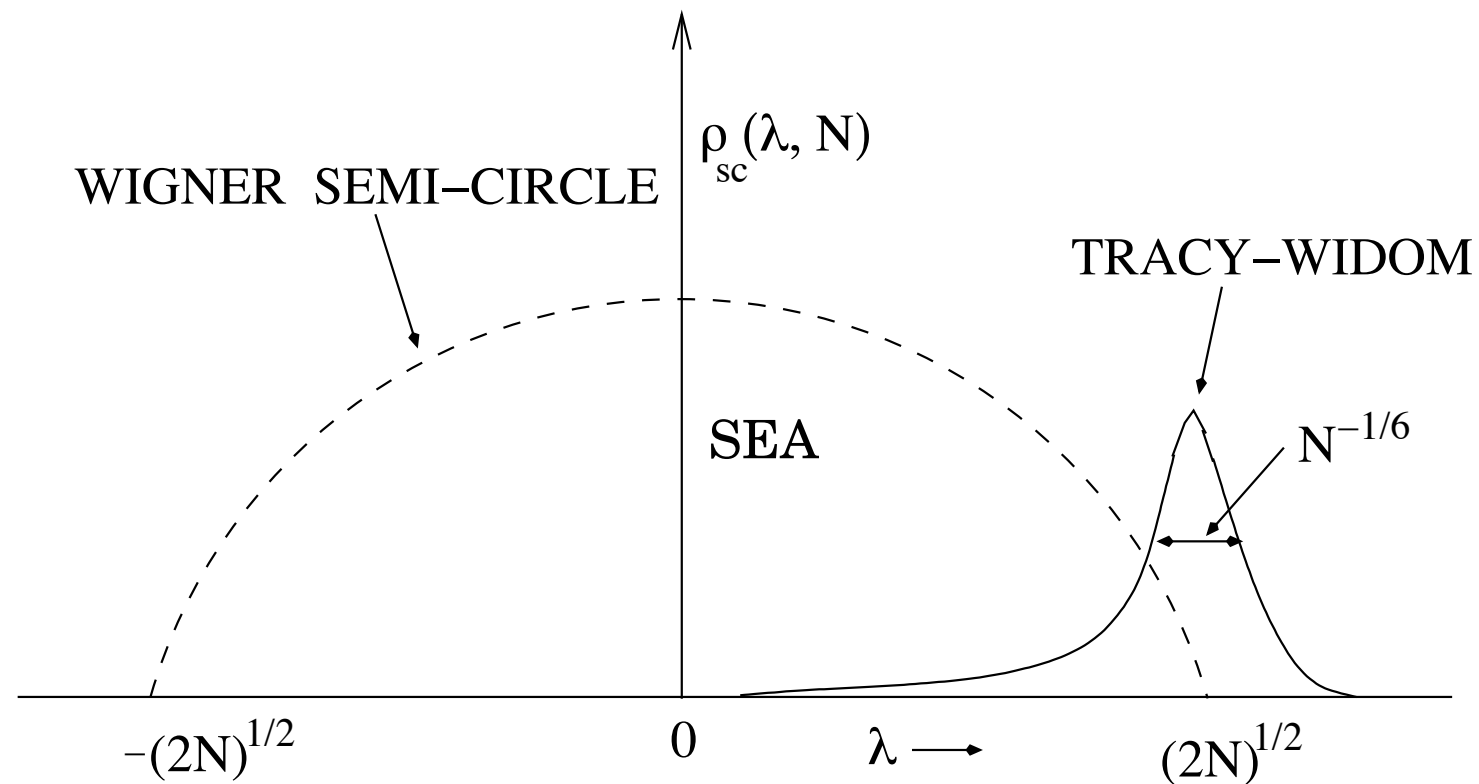
Dyson



Wigner's semi-circle

Elements of A are independent identically distributed variables drawn from some statistical distribution.

Tracy-Widom & Beyond



Study of the fluctuations of the smallest (largest) eigenvalue was initiated by **Tracy-Widom**, and generalized to large fluctuations by **Dean and Majumdar** ([cond-mat/0609651](#)).

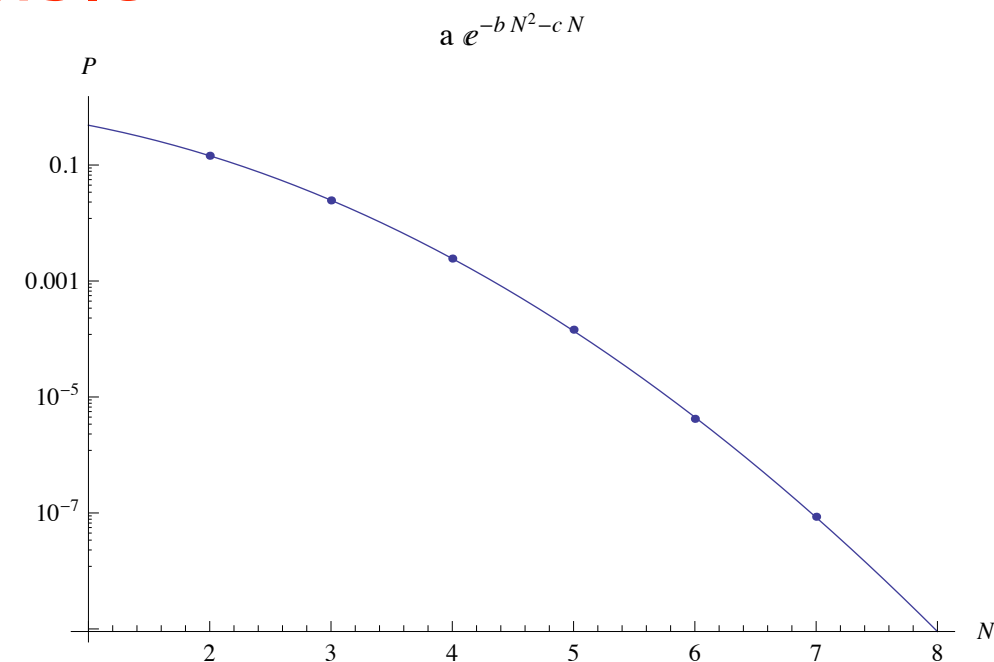
Probability of Stability

Consider a Gaussian orthogonal ensemble

Probability of the form:

$$\mathcal{P} = a e^{-bN^2 - cN} \quad [\text{Chen, GS, Sumitomo, Tye}]$$

seems to work well, and agrees with:



The large N analytic result of Dean & Mujumdar $\mathcal{P} \approx e^{-\frac{\ln 3}{4} N^2}$ and further refinement by Borot et al:

$$\mathcal{P} = \exp \left[-\frac{\ln 3}{4} N^2 + \frac{\ln(2\sqrt{3} - 3)}{2} N - \frac{1}{24} \ln N - 0.0172 \right]$$

If the probability is Gaussianly suppressed, while # extrema goes like e^{cN} (recall 10^{500}), unlikely to find metastable vacua.

Random Supergravities

- Consider the SUGRA potential:

$$V = e^K (D^A W D_A W - 3|W|^2)$$

and its Hessian, which is a function of $D_A W$, $D_A D_B W$, and $D_A D_B D_C W$, as well as W .

- Instead of randomizing elements of \mathbf{H} , one can randomize K , W , and its covariant derivatives [Denef, Douglas];[Marsh, McAllister, Wrase]
- This approach is applicable to F-term breaking, but not to D-term breaking, and models with explicit SUSY breaking.
- Also a different ansatz $\mathcal{P} = ae^{-bN^c}$ was used. Quantitative details differ, but \mathcal{P} less likely than exponential also found.

Random Supergravities

The Hessian is well approximated by a sum of a **Wigner matrix** and two **Wishart matrices**.

$$M = A + A^\dagger$$

$$M = AA^\dagger$$

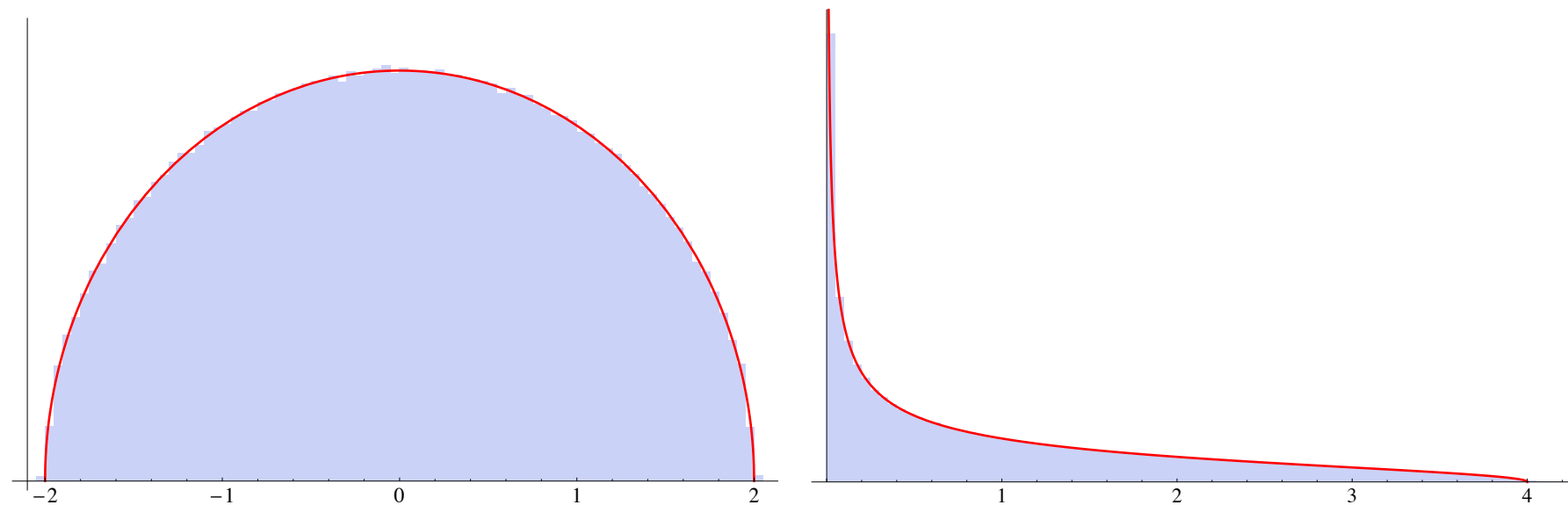


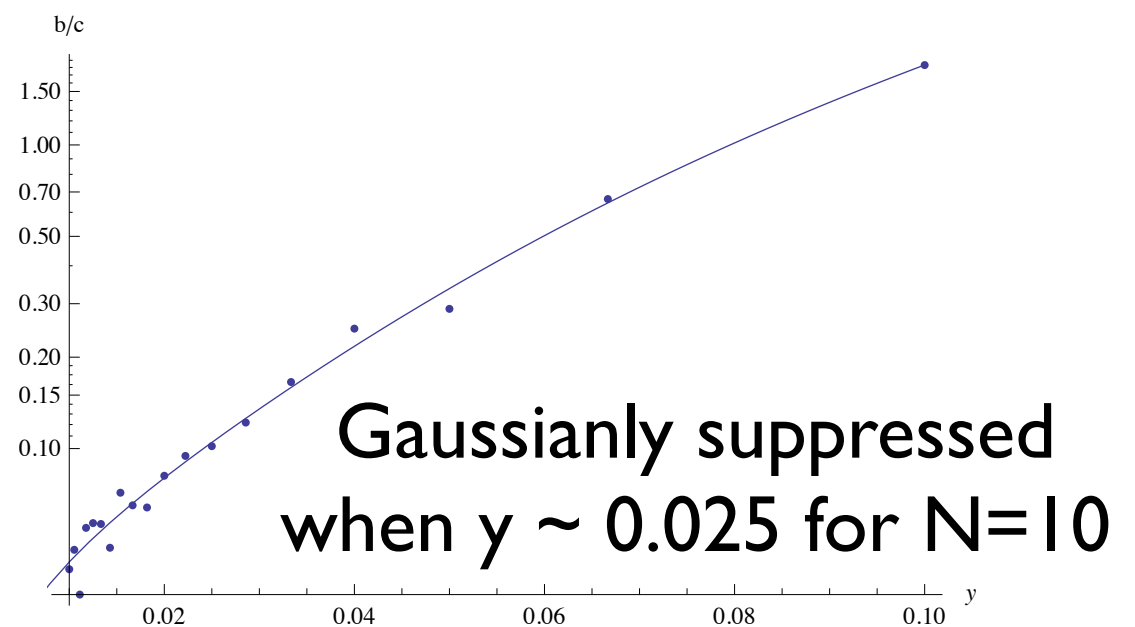
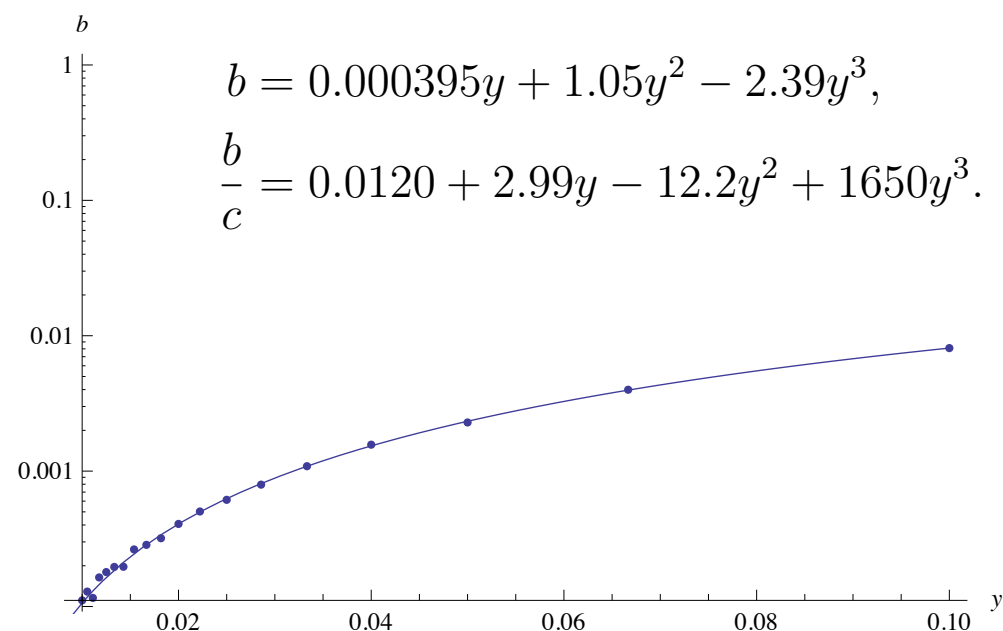
Figure 1: The eigenvalue spectra for the Wigner ensemble (left panel), and the Wishart ensemble with $N = Q$ (right panel), from 10^3 trials with $N = 200$.

IIA Flux Vacua

- An infinite family of AdS vacua are known to arise from flux compactifications of IIA SUGRA [Derendinger et al; Villadoro et al; De Wolfe et al; Camara et al].
- Attempts to construct IIA dS flux vacua often start with similar setups as SUSY AdS ones and then introduce new ingredients to uplift (e.g., negative curvature of internal space).
- We can model the Hessian as $\mathbf{H} = \mathbf{A} + \mathbf{B}$ where \mathbf{A} = diagonal mass matrix at AdS min., \mathbf{B} is uplift contribution.
- \mathbf{A} does not have to be positive definite for stability, as long as the BF bound is satisfied. To play it safe, we start with a SUSY AdS vacuum with \mathbf{A} = *positive definite diagonal matrix*.

IIA Flux Vacua

- We take B to be a randomized **real symmetric matrix**.
- A and B have variances σ_A and σ_B . The relative ratio $y = \sigma_B/\sigma_A$ determines the amount of uplift.
- The ansatz $\mathcal{P} = a e^{-bN^2 - cN}$ works well when the mass matrix is not completely random, but has a hierarchy:



A Type IIA Example

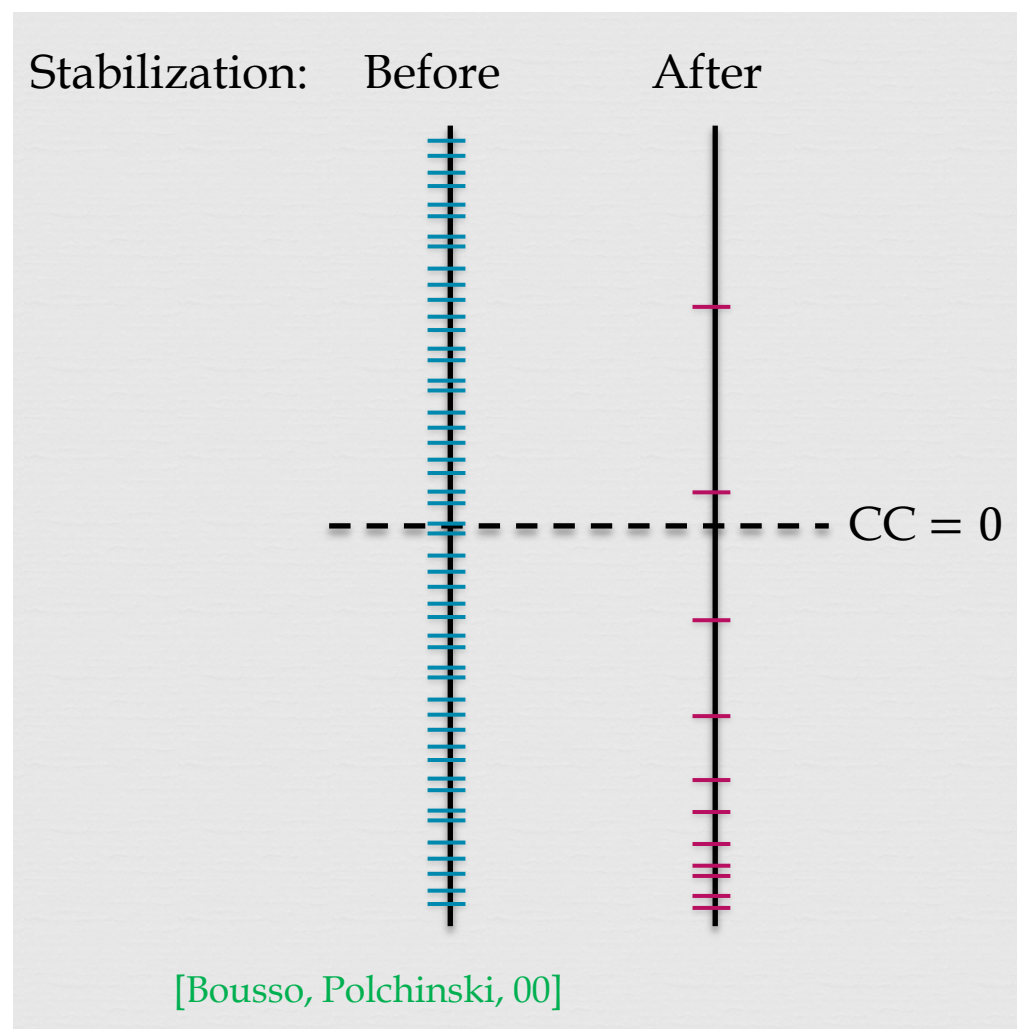
- Return to the $SU(2) \times SU(2)$ group manifold studied earlier in the systematic search of [Danielsson, Haque, Koerber, GS, Van Riet, Wrase]
- This model evades the no-goes for dS extrema and stability in the universal moduli subspace. There are 14 moduli.
- Evaluating the variance: $y \sim \left(\frac{\frac{1}{14 \times 13/2} \sum_{A < B} M_{AB}^2}{\frac{1}{14} \sum_{A=1}^{14} M_{AA}^2} \right)^{1/2} = 0.274. \gg 0.025.$
- There is no surprise that tachyon appears.
- Tachyon appears in a 3x3 sub-Hessian. Chen, GS, Sumitomo, Tye
- In this model, $\eta = V''/V \lesssim -2.4$ at the extremum, so the tachyon becomes more tachyonic as the CC increases.

CC and Stability

- As we lift the CC, the off-diagonal terms become bigger and the extremum becomes unstable.
- In general, we expect some moduli to be very heavy and essentially decouple from the light sector, so $N = N_H + N_L$.
- The # of extrema is controlled by N , while the fraction of stable critical points is controlled by N_L .
- **Example:** a 2-sector SUGRA where some moduli have very large SUSY masses while SUSY is broken in a decoupled sector involving only the light moduli.
- As we go to higher energies, more moduli come into play (larger eff. N) \Rightarrow probability more Gaussianly suppressed.

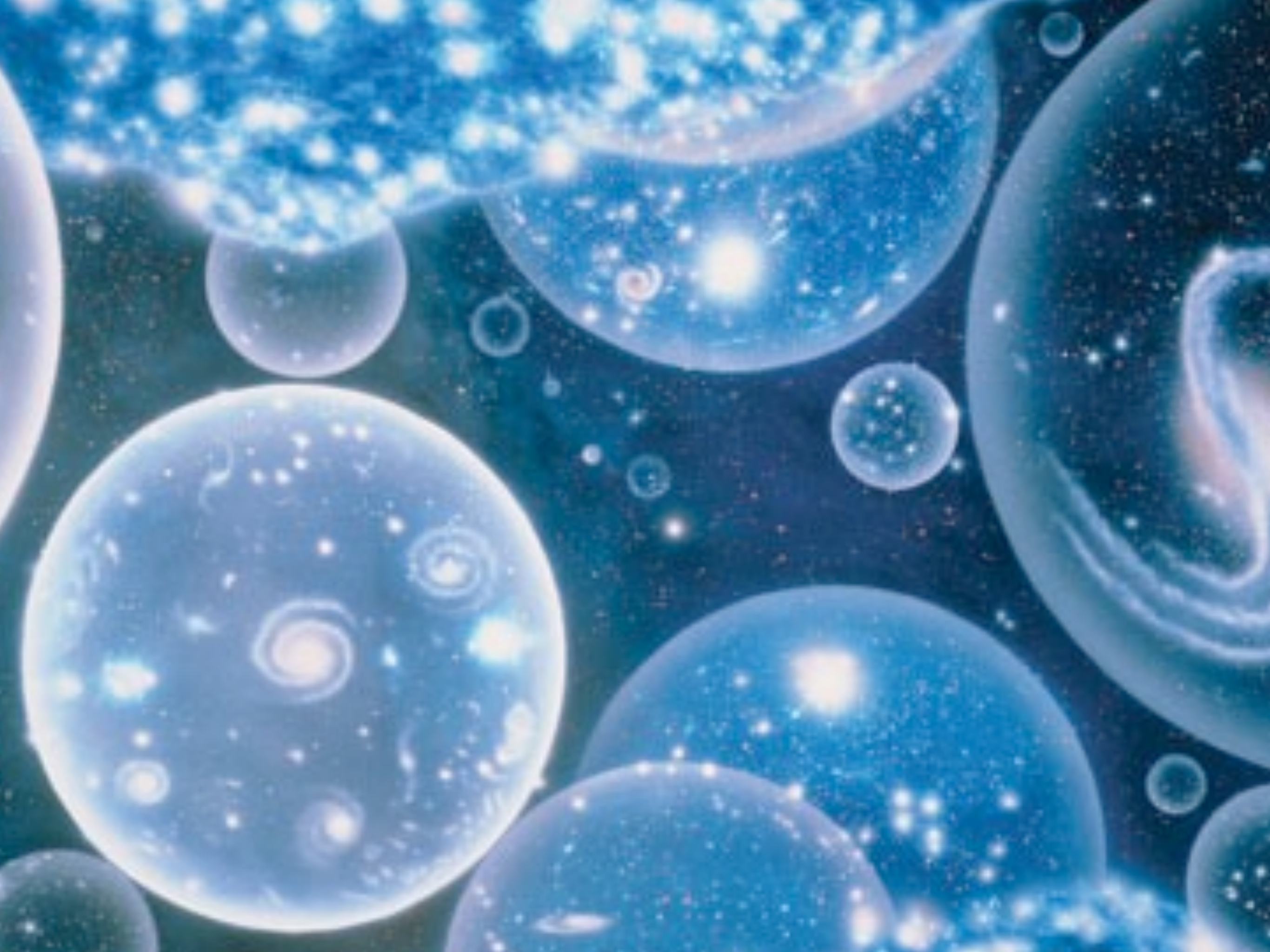
Less Democratic Landscape

Raising the CC destabilizes the classically stable vacua.



Implications to the Landscape?

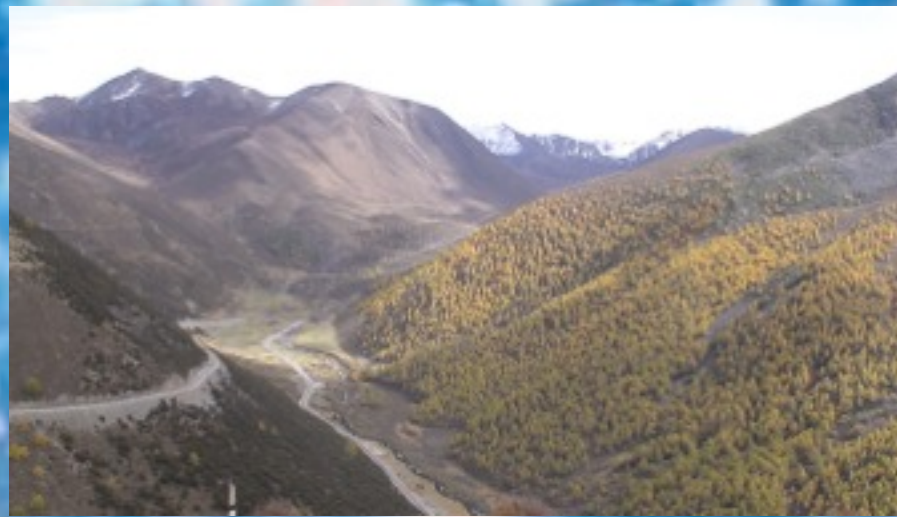




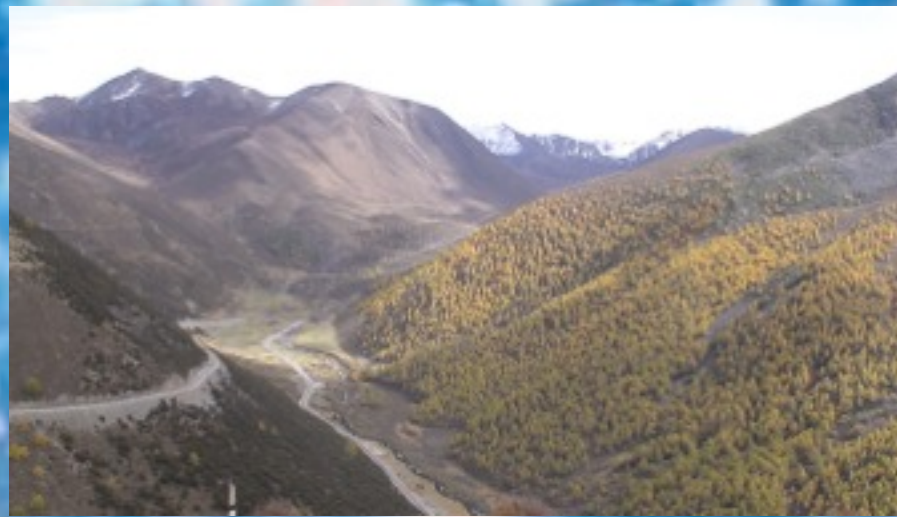












Detectable Primordial Gravity Waves without Large Field Inflation

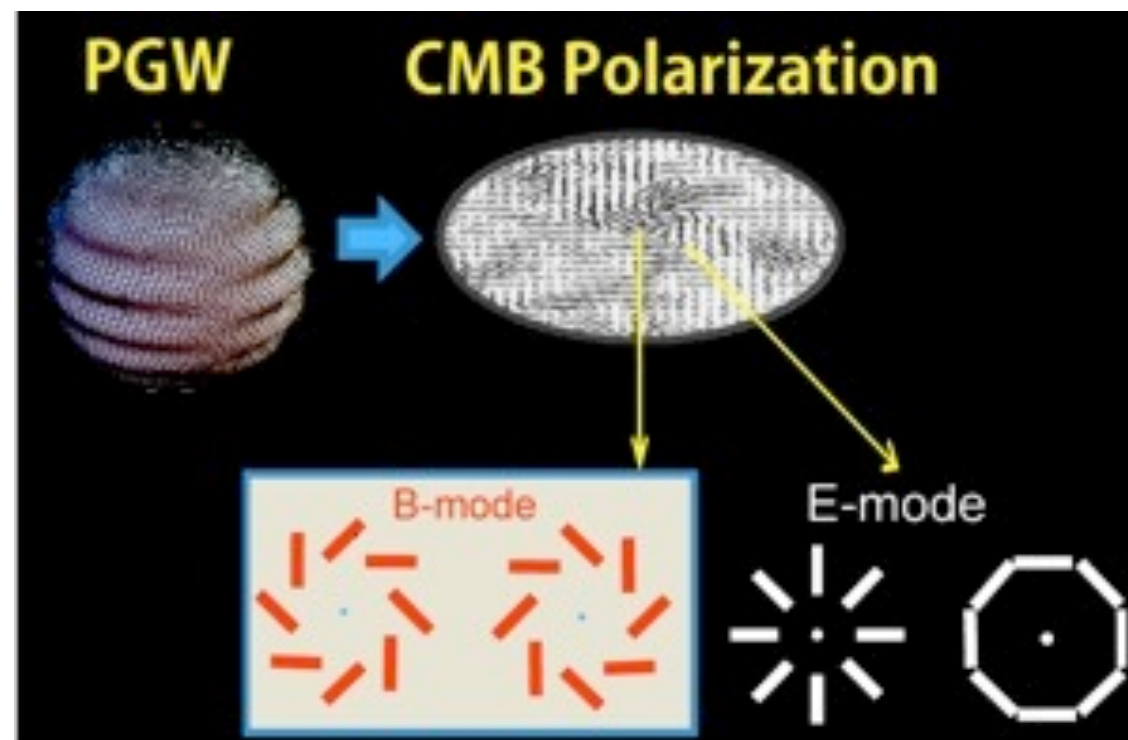
[when having tachyons is a good thing]

Gravitational Waves

- ✦ A consequence of General Relativity, predicted by Einstein in 1916.
- ✦ Remains a Holy Grail of Observational Cosmology.
- ✦ Indirect evidence: e.g., Hulse-Taylor binary.
- ✦ Direct detection has so far come up empty. Present and future interferometers include LIGO, VIRGO, Einstein Telescope, LISA, TAMA, KAGRA, Decigo, ...

Primordial Gravity Waves

- Besides astrophysical sources of GW, even more interesting are perhaps “echoes” from the Big Bang.
- Spacetime metric undergoes quantum fluctuations during inflation leading to production of GW
⇒ B-mode polarization of CMB.



Tensor Modes & Large Field Inflation

- Tensor to scale ratio: $r = P_T/P_S$

$$P_T \sim \frac{H^2}{M_P^2}, \quad P_S \sim H^2 \left(\frac{H}{\dot{\phi}} \right)^2 \quad \Rightarrow \quad r = 16\epsilon$$

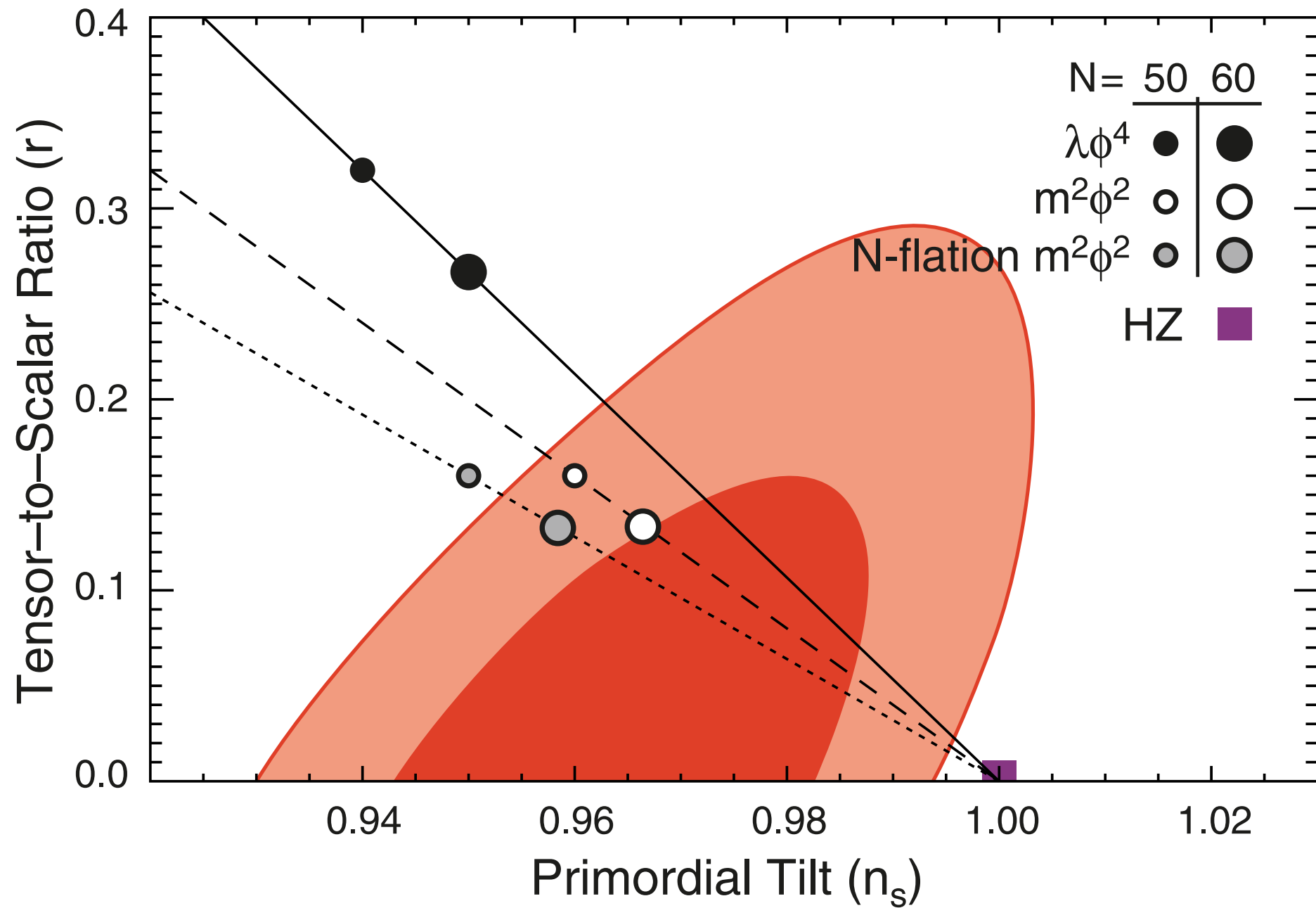
- Current bound (WMAP+SPT+H₀+BAO): $r < 0.17$, while $r \simeq 0.01$ may be detectable in future missions.

- Lyth Bound: $\frac{\Delta\phi}{M_P} = \frac{1}{\sqrt{8}} \int_0^{\mathcal{N}_{\text{end}}} d\mathcal{N} \, r^{1/2} \gtrsim 1.06 \times \left(\frac{r_*}{0.01} \right)^{1/2}$

- Detectable tensors \Leftrightarrow Large field inflation

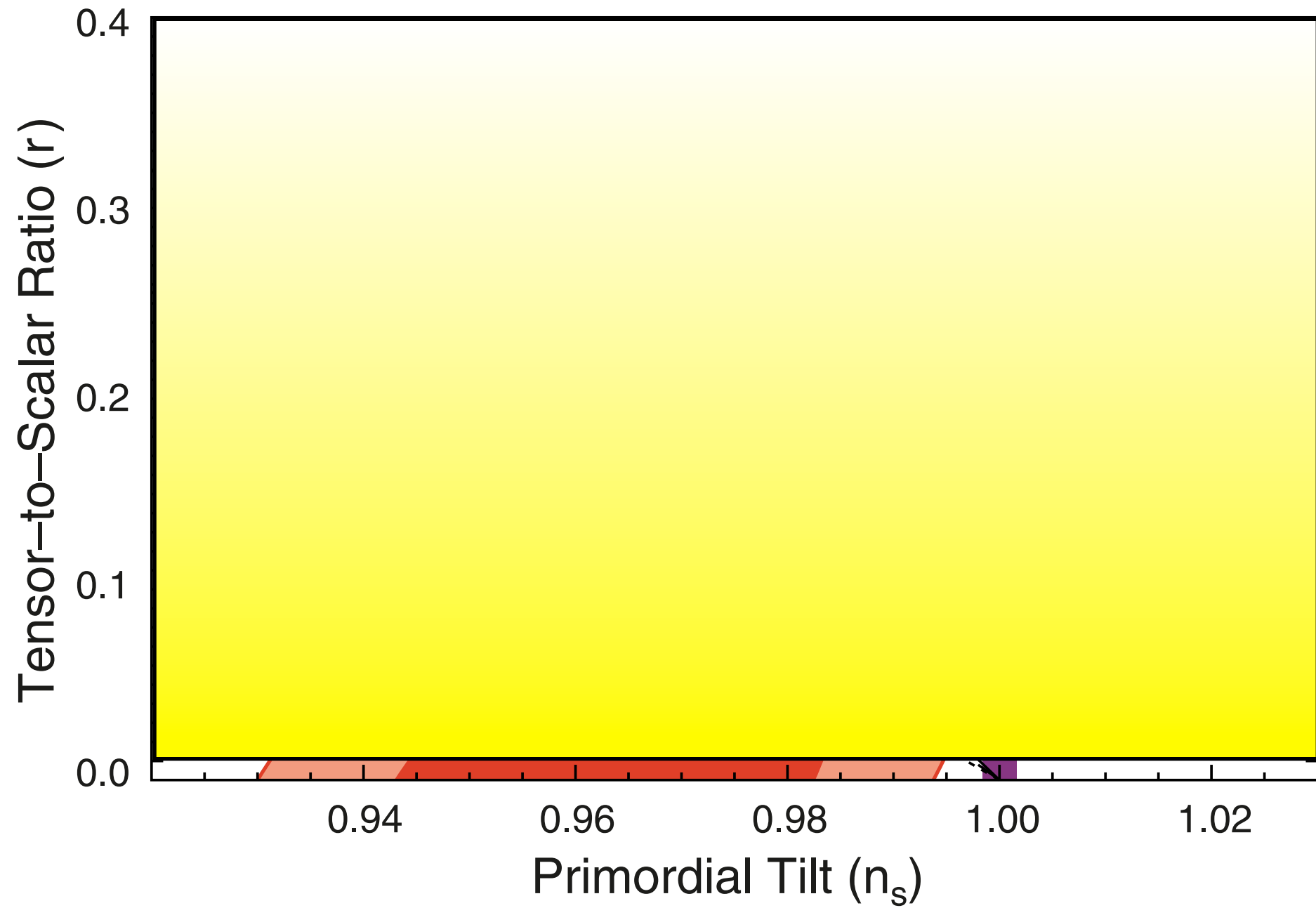
- Need to control an *infinite* set of operators in the EFT of inflation; a UV completion is necessary.

Tensor Modes



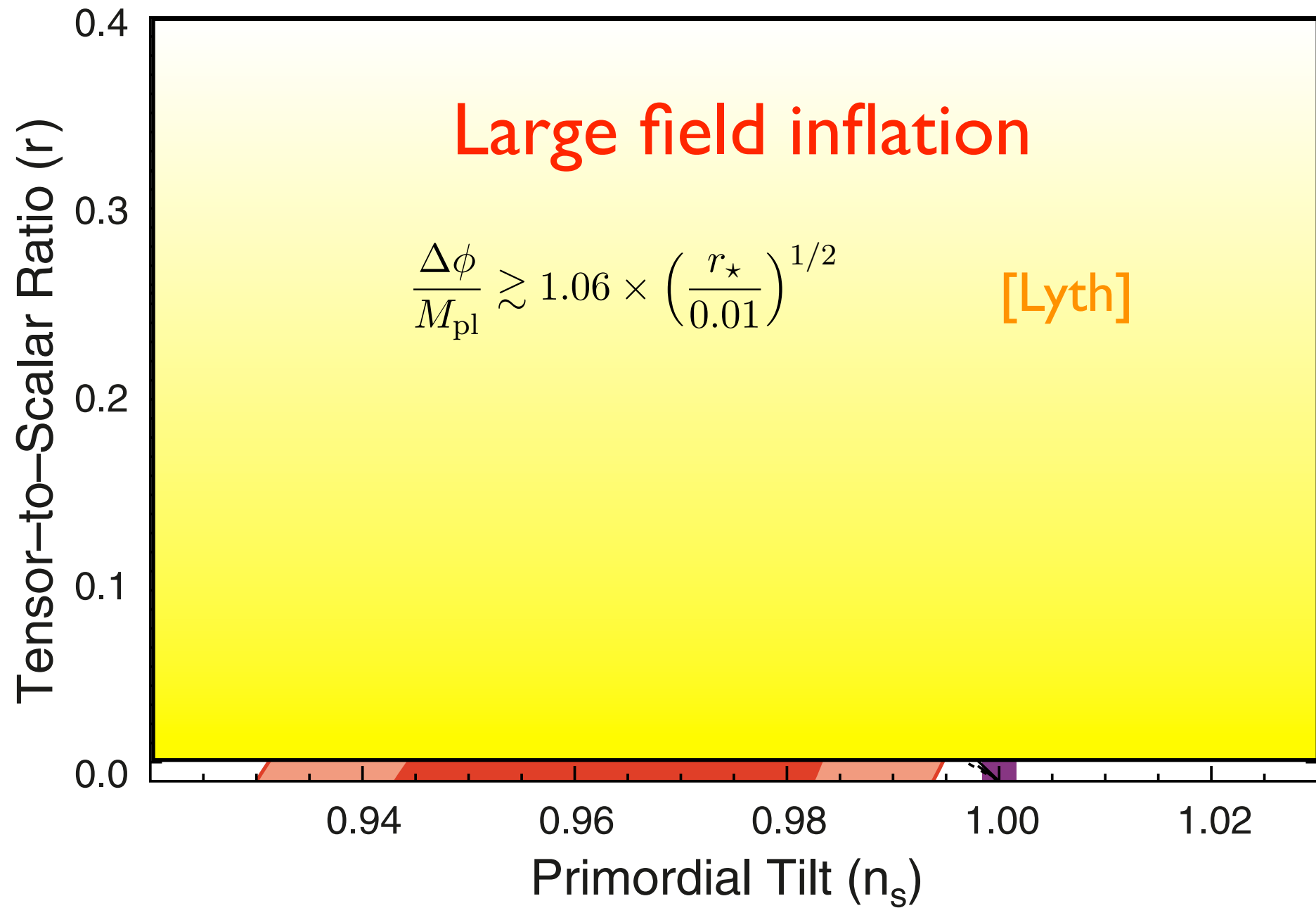
WMAP7

Tensor Modes

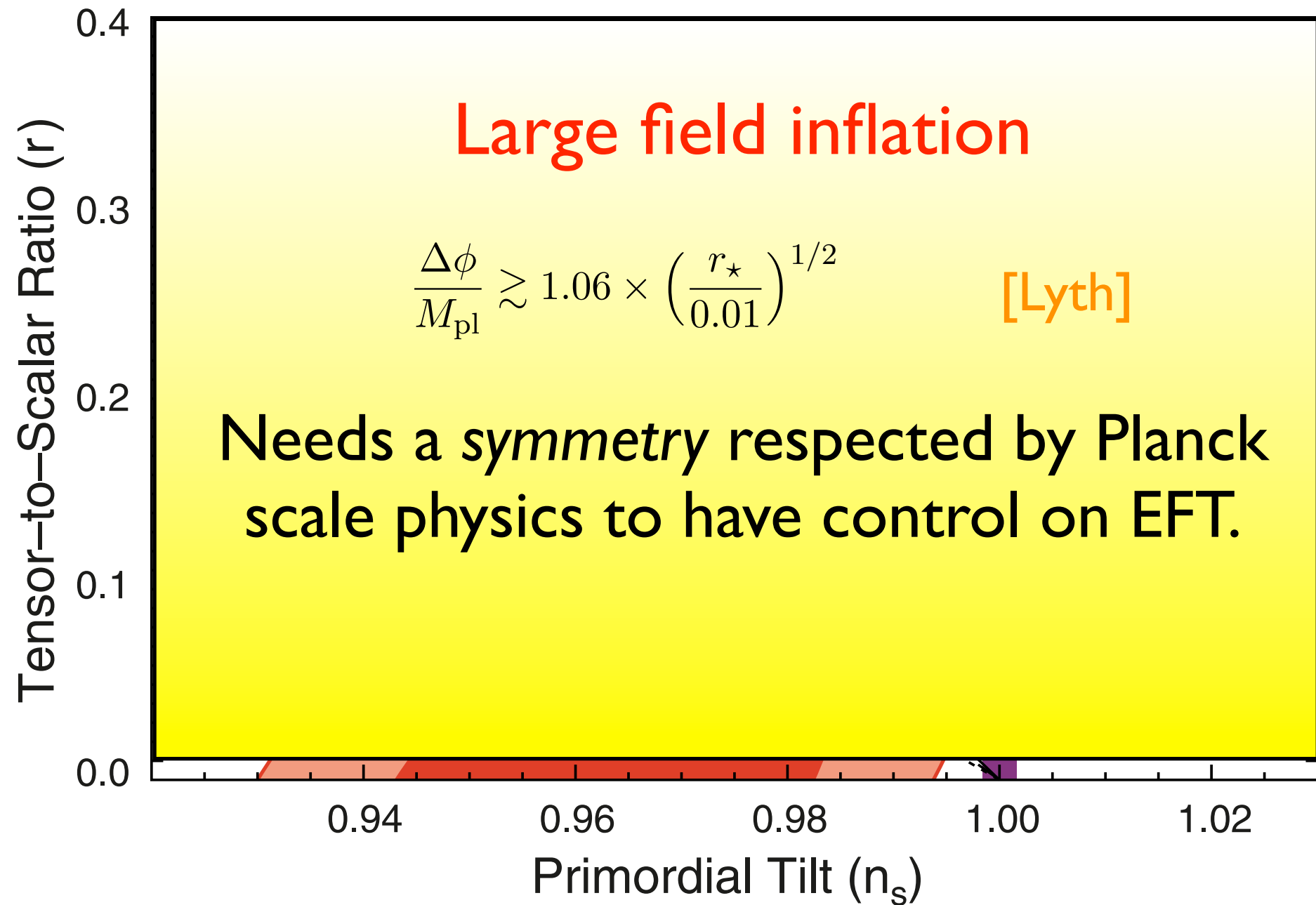


WMAP7

Tensor Modes

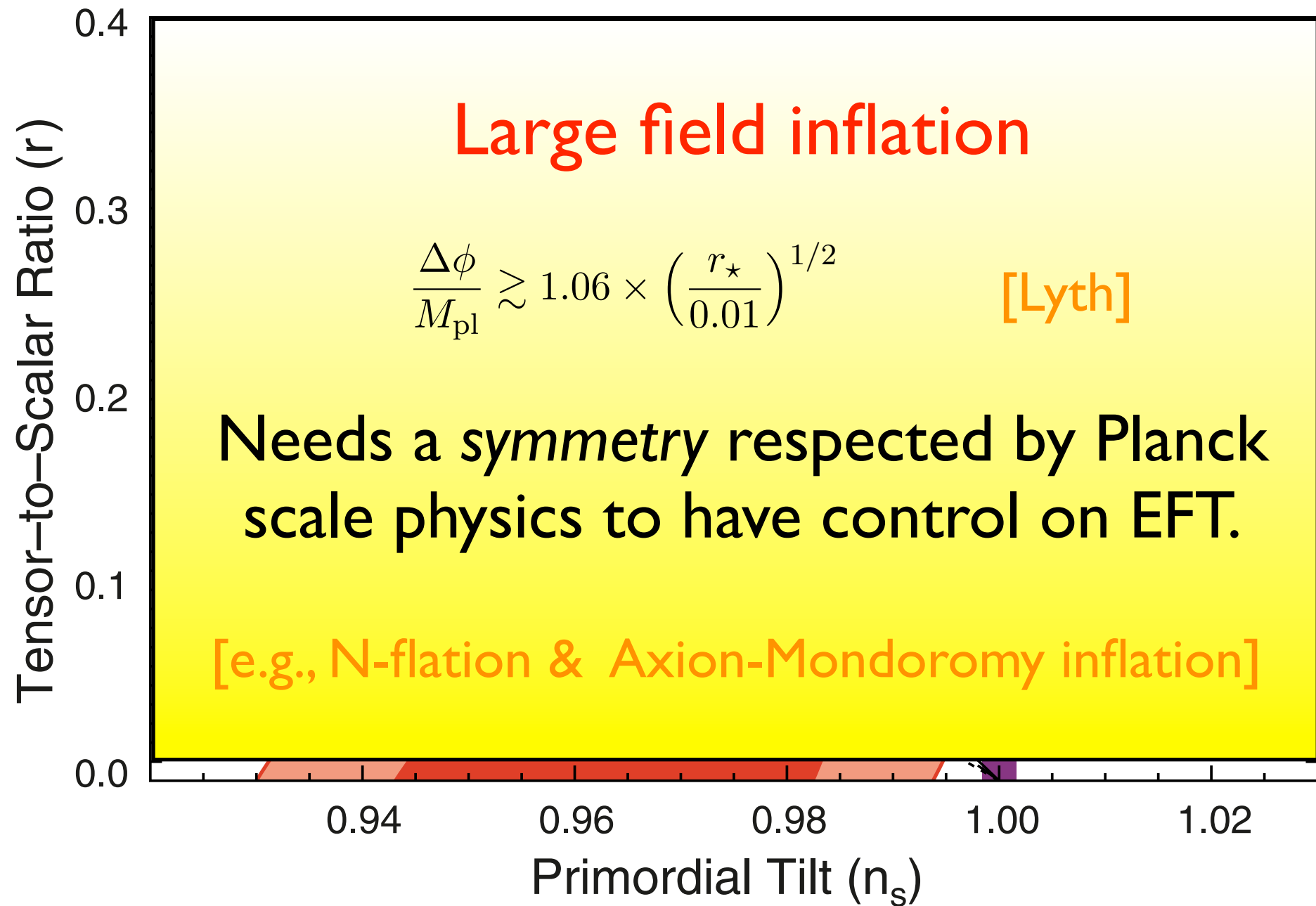


Tensor Modes



WMAP7

Tensor Modes



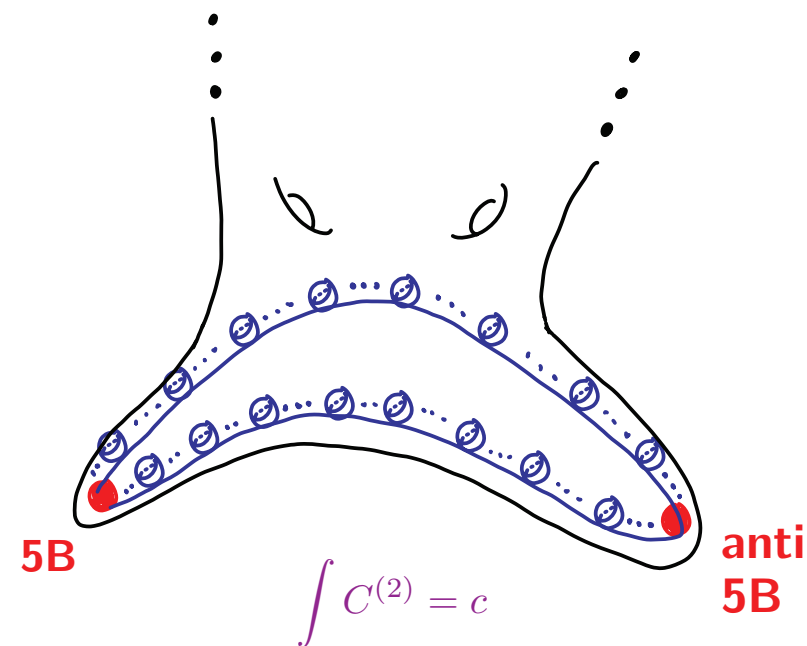
Challenges for Large Field Inflation

- **N-flation** [Dimopoulos, Kachru, McGreevy, Wacker]

$$V(\{\phi_n\}) = \sum_{n=1}^N V_n(\phi_n) = \sum_{n=1}^N \Lambda_n^4 \cos\left(\frac{2\pi\phi_n}{f_n}\right)$$

assisted inflation with $N \sim \mathcal{O}(500)$ axions; backreaction on M_P .

- **Axion-monodromy inflation** [McAllister, Silverstein, Westphal]



- Issues on backreaction and entropy bound [Conlon]
- Seems to be statistically disfavored [Westphal]

Summary of Our Work

- Tachyonic production of gauge fields induced by axion couplings during (even small field) inflation can lead to detectable tensors [Barnaby, Moxon, Namba, Peloso, GS, Zhou]
- Our scenario may find a natural home in string theory.
- Studied also scalar, fermion, vector particle production during inflation due to a non-adiabatic change of mass.
- Particle production sources not only GW but scalar spectrum; only axion model leads to detectable tensors while consistent with constraints on scalar spectrum.

Vector Production by Axion

- A simple model (φ =inflaton, ψ =axion):

$$S = \int d^4x \sqrt{-g} \left[\underbrace{\frac{M_p^2}{2} R - \frac{1}{2}(\partial\varphi)^2 - V(\varphi)}_{\text{inflaton sector}} - \underbrace{\frac{1}{2}(\partial\psi)^2 - U(\psi) - \frac{1}{4}F^2 - \frac{\psi}{4f}F\tilde{F}}_{\text{hidden sector}} \right]$$

- Time dependence of axion leads to particle production:

$$\left[\partial_\tau^2 + k^2 \pm \frac{2k\xi}{\tau} \right] A_\pm(\tau, k) = 0, \quad \xi \equiv \frac{\dot{\psi}^{(0)}}{2Hf}.$$

- One helicity (with tachyonic mass) gets copiously produced:

$$A_+(\tau, k) \approx \left(\frac{-\tau}{8\xi k} \right)^{1/4} e^{\pi\xi - \sqrt{-2\xi k\tau}}, \quad A'_+(\tau, k) \approx \left(\frac{2\xi k}{-\tau} \right)^{1/2} A_+(\tau, k)$$

- Vector particles produced source GW & scalar spectrum.

Background Dynamics

- Energy density of gauge fields & axion must be subdominant:

$$\frac{1}{2} \langle \vec{E}^2 + \vec{B}^2 \rangle \ll \frac{\dot{\psi}^{(0)2}}{2}$$

$$\frac{1}{2} \left(\dot{\psi}^{(0)} \right)^2 + U \left(\psi^{(0)} \right) \ll 3H^2 M_p^2$$

which can be satisfied if the axion decay constant:

$$0.074 \frac{\sqrt{\epsilon \mathcal{P}} e^{\pi \xi}}{\xi^{5/2}} \ll \frac{f}{M_p} \ll \frac{1.2}{\xi} \sqrt{1 - \frac{U(\psi)}{V(\varphi)}}$$

- The parameter ξ is adiabatically evolving if

$$\frac{\ddot{\psi}^{(0)}}{H \dot{\psi}^{(0)}} \ll 1 \quad \Leftrightarrow \quad m_\psi \ll \frac{3H}{2}$$

Scalar Spectrum

- Particle production contribute additionally to power spectrum:

$$P_{\zeta,s}(k) = \frac{\xi e^{4\pi\xi} H^4}{128\pi^2 M_p^4} \int \frac{d^3q}{(2\pi)^3} q^{1/2} |\hat{k} - \vec{q}|^{1/2} \left[1 - (q - |\hat{k} - \vec{q}|)^2\right]^2 \left[1 - \frac{\vec{q} \cdot (\hat{k} - \vec{q})}{q|\hat{k} - \vec{q}|}\right]^2 \mathcal{I}^2 [q, |\hat{k} - \vec{q}|] ,$$

where $\vec{q} \equiv \vec{p}/k$, $\hat{k} \equiv \vec{k}/k$ and


$$\mathcal{I} [a, b] \equiv \int_{-k\tau}^{\infty} dz \frac{\sin z - z \cos z}{z^{1/2}} e^{-2\sqrt{2\xi}z[\sqrt{a}+\sqrt{b}]} \quad z \equiv -k\tau'$$

- Super-horizon regime: $-k\tau \ll 1$, and for $\xi \gtrsim \mathcal{O}(1)$,

$$\mathcal{I} [a, b] \approx \int_0^{\infty} dz \frac{z^{5/2}}{3} e^{-2\sqrt{2\xi}z[\sqrt{a}+\sqrt{b}]} = \frac{15}{32\sqrt{2} (\sqrt{a} + \sqrt{b})^7 \xi^{7/2}}$$

- Numerically evaluating integral gives: $P_{\zeta,s}(k) \approx 4 \cdot 10^{-10} \frac{H^4}{M_p^4} \frac{e^{4\pi\xi}}{\xi^6}$

GW and Scalar Spectrum

- **Power Spectrum:** $P_\zeta \approx \mathcal{P} \left[1 + 2.5 \cdot 10^{-6} \epsilon^2 \mathcal{P} \frac{e^{4\pi\xi}}{\xi^6} \right], \quad \mathcal{P} \equiv \frac{H^2}{8\pi^2 \epsilon M_p^2}$
 Particle production contribution is subdominant.

- **Gravity Wave:**

$$P_+ = P_{+,v} + P_{+,s} \simeq \frac{H^2}{\pi^2 M_p^2} \left[1 + 8.6 \cdot 10^{-7} \frac{H^2}{M_p^2} \frac{e^{4\pi\xi}}{\xi^6} \right]$$

$$P_- = P_{-,v} + P_{-,s} \simeq \frac{H^2}{\pi^2 M_p^2} \left[1 + 1.8 \cdot 10^{-9} \frac{H^2}{M_p^2} \frac{e^{4\pi\xi}}{\xi^6} \right]$$

- Standard “consistency condition” is violated

$$r \equiv \frac{\sum_\lambda P_\lambda}{P_\zeta} \approx 16\epsilon \frac{1 + 3.4 \cdot 10^{-5} \epsilon \mathcal{P} \frac{e^{4\pi\xi}}{\xi^6}}{1 + 2.5 \cdot 10^{-6} \epsilon^2 \mathcal{P} \frac{e^{4\pi\xi}}{\xi^6}}$$

- r interpolates between 16ϵ and 218 , observable signal can be obtained for any ϵ in this model.

Non-Gaussianity

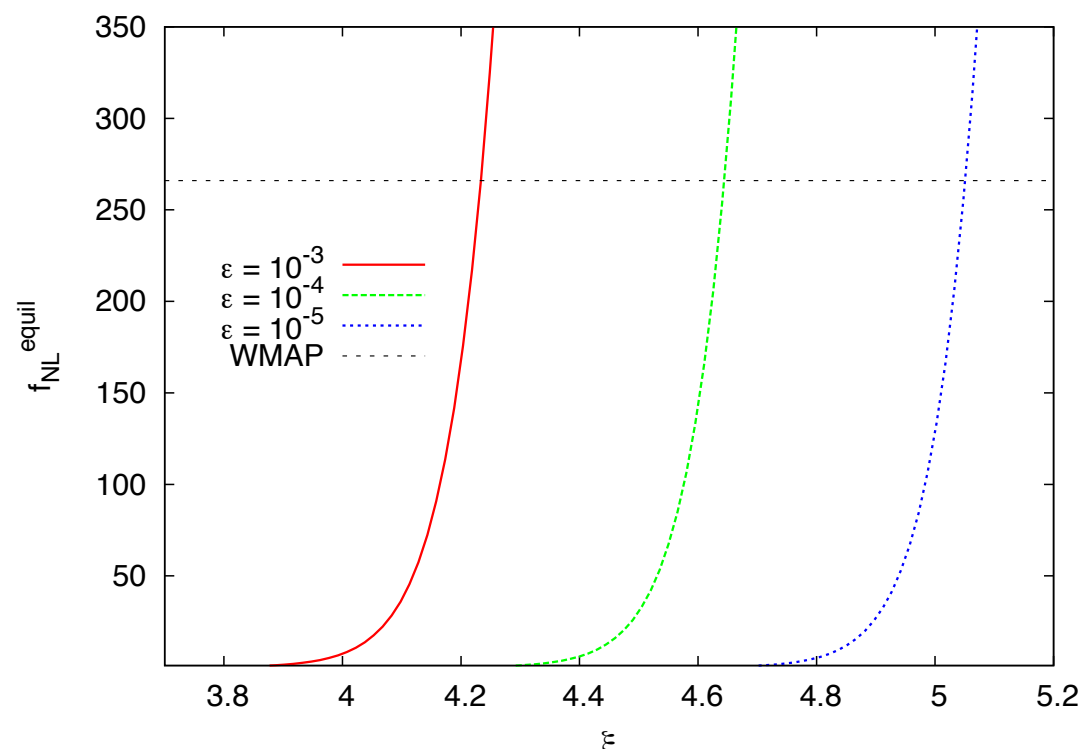
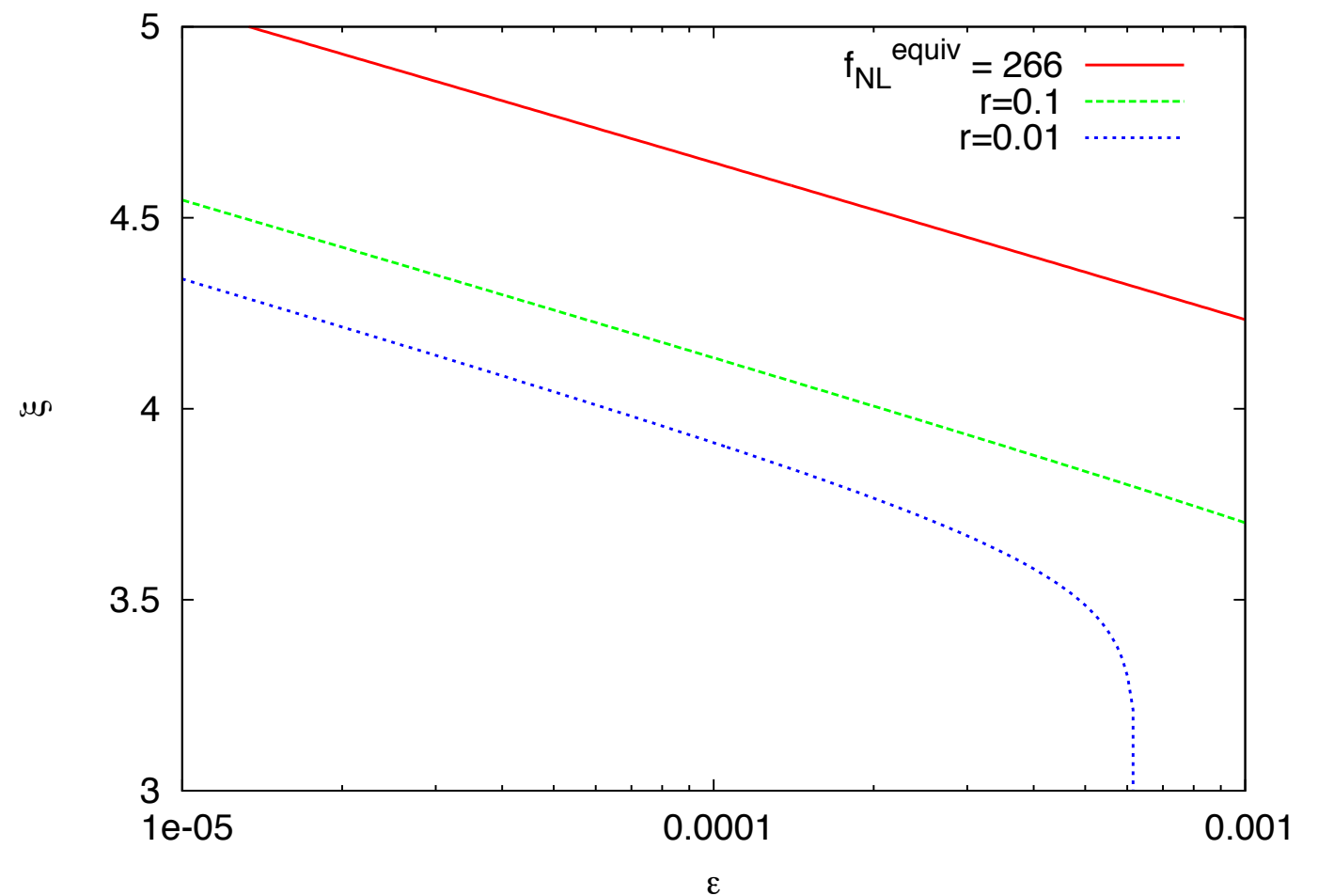
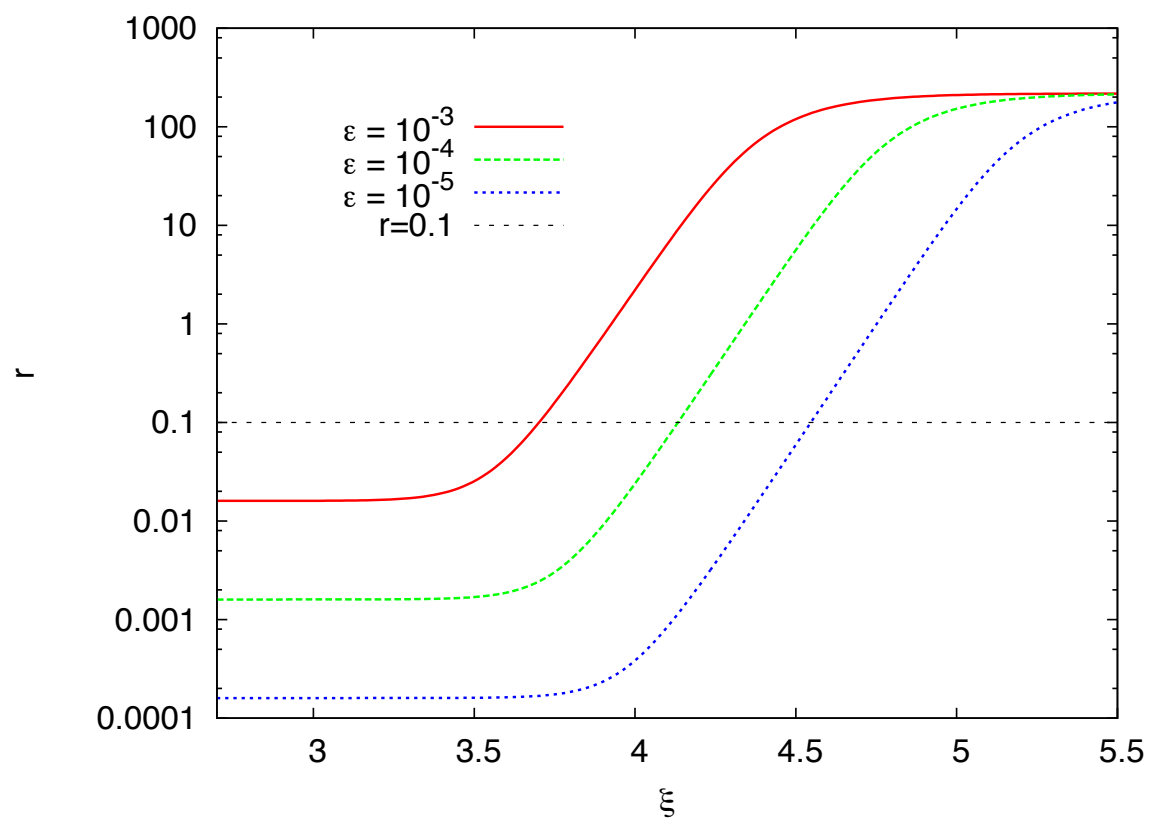
- Non-Gaussianity (bispectrum) is peaked at equilateral limit

$$\langle \zeta(\vec{k}_1) \zeta(\vec{k}_2) \zeta(\vec{k}_3) \rangle = B_\zeta(\vec{k}_i) \delta^{(3)}(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) \quad B_\zeta(k_1 = k_2 = k_3 \equiv k) \approx 2.6 \cdot 10^{-13} \frac{H^6}{M_p^6} \frac{e^{6\pi\xi}}{\xi^9} \frac{1}{k^6}$$

because the source at any moment is dominated by modes with wavelength comparable to horizon at that moment.

- To quantify the size of non-Gaussianity: $f_{NL}^{\text{equil.eff}} \approx 1.5 \cdot 10^{-9} \epsilon^3 \frac{\mathcal{P}^3}{P_\zeta^2} \frac{e^{6\pi\xi}}{\xi^9}$
- Current bound: $-214 < f_{NL}^{\text{equil}} < 266$

Signatures & Constraints

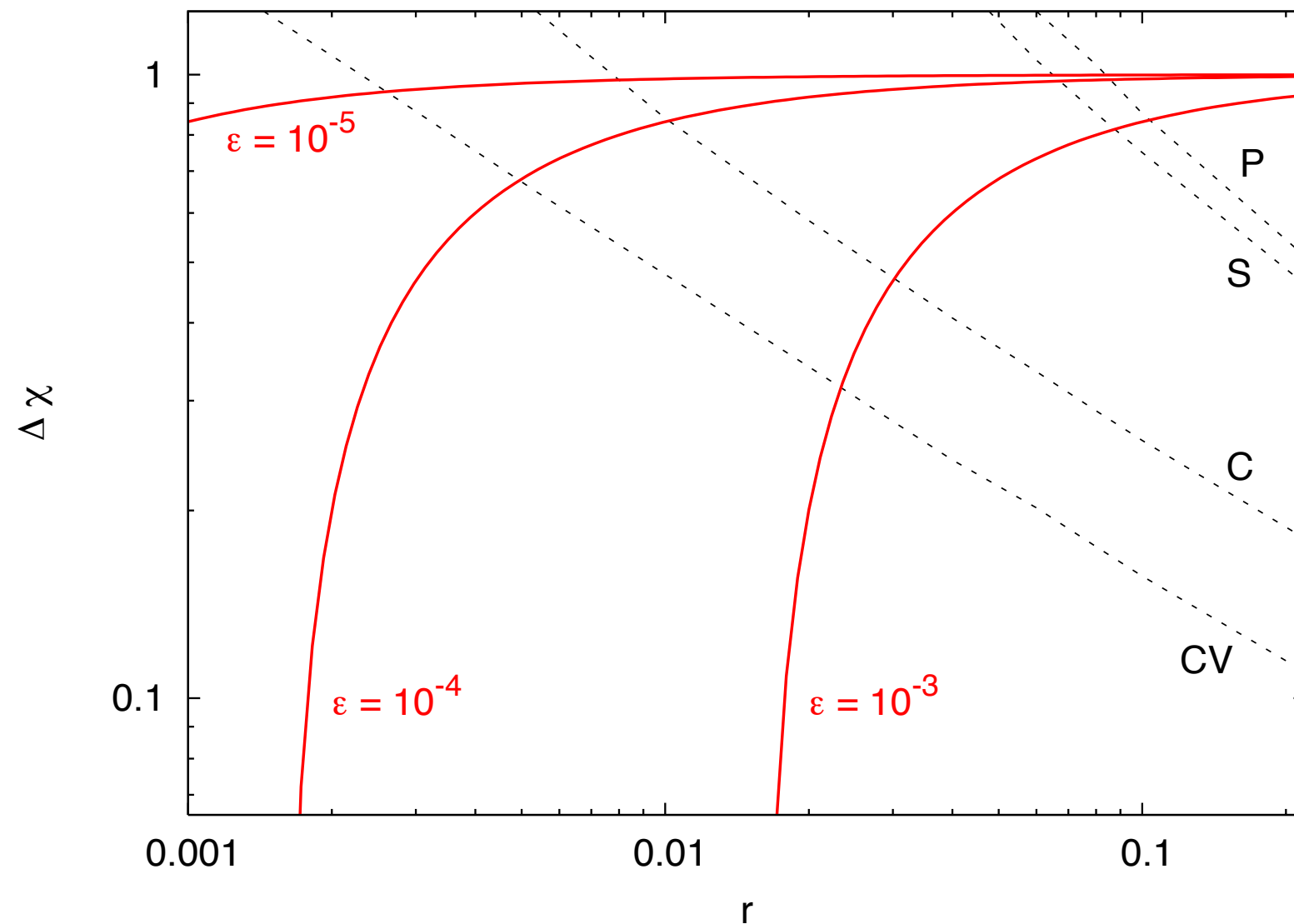


For detectable tensor,
consistency of model requires:

$$10^{-4} \ll \frac{f}{M_P} \lesssim 10^{-2}$$

Parity Violating Effects in GW

$$|\Delta\chi| \equiv \left| \frac{P_+ - P_-}{P_+ + P_-} \right| = \frac{3.4 \cdot 10^{-5} \epsilon \mathcal{P} \frac{e^{4\pi\xi}}{\xi^6}}{1 + 3.4 \cdot 10^{-5} \epsilon \mathcal{P} \frac{e^{4\pi\xi}}{\xi^6}} \simeq 1 - \frac{16\epsilon}{r}$$



P=Planck, S=SPIDER, C=CMB-Pol, CV=cosmic-variance limited expt.

Comments

- Many axion-like fields in string theory, e.g.,

$$a = C_0, \quad a_i = \int_{\Sigma_2^i} C_2, \quad a_I = \int_{\Sigma_4^I} C_4$$

- Typically $f \sim M_{\text{GUT}}$, sits comfortably in our allowed window.
- Pseudoscalar coupling comes from, e.g., $\int_{D5} C_2 \wedge F \wedge \tilde{F}$
- \exists inflation candidates that couple only gravitationally to *hidden sector*, e.g., another axion with:

$$\int \omega_a \wedge * \omega_b = 0, \quad \int \omega_a \wedge \tilde{\omega}_b = 0$$

$\omega_{a,b}$ = p-form associated
with each axion

or D-brane moduli that do not couple to the axion and the D-brane on which the U(1) lives.

Comments

- Detection of GW probes not the scale of inflation, but the nature and dynamics of axions.
- GW sourced by scalar particle production during inflation has recently been explored ([\[Cook, Sorbo\];\[Senatore, Silverstein, Zaldarriaga\]](#)), though constraints from backreaction on scalar spectrum and bispectrum were not known. Thus, effects on LIGO scales were instead considered.
- We computed the backreaction due to particle production on the power spectrum and bispectrum of such models.

Comments

- We derived a *universal formula* for GW sourced by scalar, fermion, vector production due to non-adiabatic change of mass:

$$P_{\lambda,s} \simeq \frac{2 g_s k^3}{15\pi^4 a^2 M_p^4} \tilde{\mathcal{T}}_k^2 \int dp p^6 |\beta_p|^2 \left(|\alpha_p|^2 + (-1)^{2s} |\beta_p|^2 \right)$$

where s =spin of source, g_s = # of dof of a spin- s field

$$m(\tau) = \dot{m}_* (t - t_*) = \frac{\dot{m}_*}{H} \ln \left(\frac{-\tau_*}{-\tau} \right) = -\frac{\dot{m}_*}{H} \ln(-H\tau)$$

$$A_\lambda(k) = \alpha_k(\tau) f_k(\tau) + \beta_k(\tau) f_k^*(\tau) \quad , \quad f_k \equiv \frac{e^{-i \int^\tau d\tau' \omega(\tau')}}{\sqrt{2\omega(\tau)}} \quad \alpha_k(t \gg t_*) \simeq \sqrt{1 + e^{-\frac{\pi k^2}{2\dot{m}_*}}} \quad , \quad \beta_k(t \gg t_*) \simeq -e^{-\frac{\pi k^2}{2\dot{m}_*}}$$

$$\mathcal{T}_k \simeq \frac{a(\tau) \dot{m}_*}{27 H^3} {}_2F_3 \left(\frac{3}{2}, \frac{3}{2}; \frac{5}{2}, \frac{5}{2}, \frac{5}{2}; \frac{-k^2}{4H^2} \right)$$

- We found the GW signal too small on CMB scales.

御清聴有難う御座います

THANKS

