### 1.1 Study of various collision schemes for Super KEKB

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### 1.1.1 Introduction

KEKB will achieve the integrated luminosity of $1 \mathrm{ab}^{-1}$ this or next year. We are planning an upgrade of KEKB. The integrated luminosity should target $10 \mathrm{ab}^{-1}$ next 510 years. The peak luminosity should be 10 times higher than the present value of $1.7 \times 10^{34} \mathrm{~cm}^{-2} \mathrm{~s}^{-1}$. Various collision schemes are proposed to boost the luminosity performance. Every collision schemes should be studied for the upgrade. Here we present the trials for the collision schemes.

### 1.1.2 Collision schemes for $B$ factories

### 1.1.2.1 Crossing angle

Various collision schemes are proposed for high luminosity B factories. In recent colliders, multi-bunch collision is crucial to get gain the multiplicity of the number of bunches. The crossing angle is introduced to avoid parasitic encounters.

An essential of crossing angle is expressed by transformations as shown in Figure 1. The electro-magnetic field is formed perpendicular to the traveling direction. The transformation which particles in the beam experience is expressed by [1,2]

$$
\begin{align*}
& \Delta p_{x}=-F_{x}(x+2 s \phi, y) \\
& \Delta p_{y}=-F_{y}(x+2 s \phi, y)  \tag{1}\\
& \Delta \delta=-\phi F_{x}(x+2 s \phi, y)
\end{align*}
$$

where $\mathrm{s}=\left(\mathrm{z}-\mathrm{z}_{\mathrm{c}}\right) / 2$ and $\phi$ is the half crossing angle. The transformation is separated by three parts.

$$
\begin{equation*}
e^{\phi p_{x} z} \circ e^{-: H_{b b}: \circ} \circ e^{-\phi p_{x} z} \tag{2}
\end{equation*}
$$

where $\mathrm{H}_{\mathrm{bb}}$ is Hamiltonian for the beam-beam interaction. The first transformation is given by

$$
\begin{align*}
e^{-\phi p_{x} z z} x & =x-\phi\left[p_{x} z, x\right]=x+\phi z \\
e^{-\phi p_{x} z} \delta & =\delta-\phi\left[p_{x} z, \delta\right]=x-\phi p_{x} \tag{3}
\end{align*}
$$

The residual of the first and third transformations gives the transformation for $\delta$ in Eq.(1). This expression, which is called Lie operator expression, is presented in [3]. Note the operator order; o denotes the multiplication of transformations, which is inverse order of Lie operator multiplication.

Both beams are transferred by the same transformation. The term $\phi z_{c}$ appears from $2 \mathrm{~s} \phi$ in Eq.(1). This transformation is actually equivalent to the appearance of z dependent dispersion $\left(\zeta_{x}\right)$ at the collision point: i.e., the revolution matrix including the crossing transformation is expressed by

$$
\begin{equation*}
M=e^{\phi D_{D^{2}} z} \circ M_{0} \circ e^{-\phi D_{x^{2}} z} \tag{4}
\end{equation*}
$$

where $\mathrm{M}_{0}$ is the revolution matrix of the lattice. Now the beam envelope matrix has a finite element of $\langle x z\rangle=\zeta_{x} \sigma_{z}=\phi \sigma_{z}[4]$, for the weak limit of the beam-beam interaction. The collision is now regarded as head-on collision with tilt beams in $x-z$ plane as shown in Figure 2. Electro-magnetic field is the perpendicular to the moving direction now.

Another important point of the crossing angle is that the collision area in the two beams is limited. For long bunch compare than beta function, tune shift enlargement due to the hourglass of the beta function is avoidable. The long bunch scheme is called superbunch scheme [5]. This feature is great merit for collision with extreme small beta function. The bunch length and beta function can be chosen independently in this scheme. The relations of optics and beam-beam parameters are summarized in as follows.

Table 1:

|  | Short bunch | Long bunch |
| :---: | :---: | :---: |
| Requirement 1 | $\sigma_{x} / \phi>\sigma_{z}$ | $\sigma_{x} / \phi<\sigma_{z}$ |
| Requirement 2 | $\sigma_{z}<\beta_{y}$ | $\sigma_{x} / \phi<\beta_{y}$ |
| $L\left(\frac{f_{\text {rep }}}{4 \pi} \times\right)$ | $\frac{N^{2}}{\sqrt{\varepsilon_{x} \beta_{x} \varepsilon_{y} \beta_{y}}}$ | $\frac{N^{2}}{\phi \sigma_{z} \sqrt{\varepsilon_{y} \beta_{y}}}$ |
| $\xi_{x}\left(\frac{r_{e}}{2 \pi \gamma} \times\right)$ | $\frac{N}{\varepsilon_{x}}$ | $\frac{N \beta_{x}}{\left(\phi \sigma_{z}\right)^{2}}$ |
| $\xi_{y}\left(\frac{r_{e}}{2 \pi \gamma} \times\right)$ | $N \sqrt{\frac{\beta_{y}}{\varepsilon_{x} \beta_{x} \varepsilon_{y}}}$ | $\frac{N}{\phi \sigma_{z}} \sqrt{\frac{\beta_{y}}{\varepsilon_{y}}}$ |



Figure 1: Transformation for crossing angle.


Figure 2: Collision with crossing angle is equivalent to head-on collision with tilt beam.

### 1.1.2.1 Crab crossing

The crab crossing $[1,6]$ is basically meaningful for the short bunch scheme. A transformation, which is equivalent to the crossing angle, is applied before and after the collision,
thus the effective transformation is the same as that for the head-on collision. To realize the transformation, crab cavities, which gives the transformation, $e^{-V^{\prime}: x z: / E_{0}}$, are placed at locations where linear transformation $\mathrm{T}_{\mathrm{A}}$ is satisfied to,

$$
\begin{equation*}
e^{\phi_{p} z}=T_{A} \circ e^{-V^{\prime}: x z / E_{0}} \circ T_{A}^{-1}=e^{-\left(V^{\prime} \mid E\right) T \circ x z ;}, \tag{6}
\end{equation*}
$$

where

$$
\begin{equation*}
T_{A} \circ x=\sqrt{\frac{\beta_{x}^{*}}{\beta_{x, c}}} x \cos \varphi_{x}+\sqrt{\beta_{x}^{*} \beta_{x, c}} p_{x} \sin \varphi_{x} \tag{7}
\end{equation*}
$$

$\varphi_{\mathrm{x}}$ is the horizontal betatron phase difference between the collision point and crab cavity position, and $\beta_{\mathrm{x}}{ }^{*}$ and $\beta_{\mathrm{x}, \mathrm{c}}$ are horizontal beta functions at the collision point and crab cavity position.

The well-known formula for crab angle and voltage is given by choosing the betatron phase difference of $\pi / 2$.

$$
\begin{equation*}
\phi=\frac{\omega_{c r a b} V}{c E_{0}} \sqrt{\beta_{x, c} \beta_{x}^{*}} \tag{8}
\end{equation*}
$$

Only one crab cavity can be possible to realize the transformation

$$
\begin{equation*}
e^{\phi p_{x} z} \circ M_{0} \circ e^{-\phi p_{x} z}=T_{B} \circ e^{-V: x z z: E_{0}} \circ T_{B}^{-1} \circ M_{0} \tag{9}
\end{equation*}
$$

Basically this procedure is really $6 \times 6$ optics matching for the dispersion $\xi_{x}$.

### 1.1.2.2 Crab waist scheme

A transformation with the form $\exp \left(-a: p_{\mathrm{y}}{ }^{2}: / 2\right)$ controls the vertical waist position with keeping minimum beta function. The transformation is represented by matrix as

$$
\binom{y}{p_{y}}=T_{a}\binom{y}{p_{y}} \quad T_{a}=\left(\begin{array}{ll}
1 & a  \tag{10}\\
0 & 1
\end{array}\right)
$$

Twiss parameters at the collision point are transferred by

$$
\left(\begin{array}{cc}
\beta & -\alpha  \tag{11}\\
-\alpha & \gamma
\end{array}\right)=T\left(\begin{array}{cc}
\beta & 0 \\
0 & 1 / \beta
\end{array}\right) T_{a}^{t}=\left(\begin{array}{cc}
\beta+a^{2} / \beta & a / \beta \\
a / \beta & 1 / \beta
\end{array}\right)
$$

This transformation is equivalent to shift the waist position of $a$.
The waist position is shifted so as to linearly depend on the horizontal coordinate x under the presence of the crossing angle in the crab waist scheme [7]. The transformation at the collision point is expressed by

$$
\begin{equation*}
e^{\alpha x p_{y}^{2}} \circ e^{\phi p_{x} z} \circ e^{-: H_{b b}:} \circ e^{-\phi p_{x} z} \circ e^{-\alpha x p_{y}^{2}} \tag{12}
\end{equation*}
$$

The transformation is rewritten as

$$
\begin{align*}
& e^{\phi p_{x} z} \circ e^{-\phi p_{x} z} \circ e^{a x p_{y}^{2}} \circ e^{\phi p_{x} z} \circ e^{-: H_{b b}: \circ e^{-\phi p_{x} z} \circ e^{-a x p_{y}^{2}} \circ e^{\phi p_{x} z} \circ e^{-\phi p_{x} z}} \\
& \quad=e^{\phi p_{x} z} \circ e^{a(x-\phi z) p_{y}^{2}} \circ e^{-: H_{b b}: \circ} \circ e^{-a(x-\phi z) p_{y}^{2}} \circ e^{-\phi p_{x} z} \tag{13}
\end{align*}
$$

Choosing $\mathrm{a}=1 / 2 \phi$, the waist position is $\mathrm{s}=\mathrm{z} / 2-\mathrm{x} / 2 \phi$. Beam particles satisfying $\mathrm{x}=\mathrm{z} \phi$, which collide with central axis of another beam at $\mathrm{s}=0$, have the waist at $\mathrm{s}=0$. Particles with $\mathrm{x}=\mathrm{z} \phi+\Delta \mathrm{x}$, which collide with the centre at $\mathrm{s}=-\Delta \mathrm{x} / 2 \phi$, have the waist $\mathrm{s}=-\Delta \mathrm{x} / 2 \phi$. This feature minimizes the beam-beam effect for colliding particles. The transformation $\exp \left(-: \operatorname{xp}_{y}^{2}: / 2 \phi\right)$ is realized by sextupole magnet: that is, at least two sextupole magnets are located at both side of the collision point. The betatron phase difference is $n \pi$ for $x$ and $(1 / 2+\mathrm{n}) \pi$ for y , and the strength is determined by Eq. (7).


Figure 3: Deviation of collision point for x and waist position in crab waist scheme.

Characteristic of the crab waist scheme can be seen following picture. Coordinates x and $y$ are transferred by the crab waist action near the collision point as follows,

$$
\begin{gather*}
y(s)=y_{0}+x p_{y} / 2 \phi+p_{y} s \approx x p_{y} / 2 \phi+p_{y} s \\
x(s)=x_{0}+p_{x} s \approx x_{0} \tag{14}
\end{gather*}
$$

Beam distribution is Gaussian except for the collision point. Near the collision point, the distribution is distorted by Eq.(14). The distribution is roughly given by

$$
\begin{equation*}
\exp \left[-\frac{x^{2}}{2 \varepsilon_{x} \beta_{x}}-\frac{\beta_{y} p_{y}^{2}}{2 \varepsilon_{y}}\right]=\exp \left[-\frac{x^{2}}{2 \varepsilon_{x} \beta_{x}}-\frac{\beta_{y} y^{2}}{2 \varepsilon_{y}(x / 2 \phi+s)^{2}}\right] \tag{15}
\end{equation*}
$$

where $\exp \left(-y_{0}{ }^{2} / 2 \varepsilon_{y} \beta_{y}\right)$ is neglected, because $p_{y}$ is dominant for $s>\beta_{y}$. Figure 3 shows the contour of the distribution. Particles located at x collide with another beam at their waist position as shown in Figure 3.


Figure 3: Particle distribution of colliding beam in the crab waist scheme. Collision arises at the point with the minimum y size. Another beam distributes symmetric for x .

### 1.1.2.3 Travel focus scheme

Beam particles with $z$ collide with the center of another beam at $s=z / 2$ in the travel focus scheme [8]. The particles with $z$ should have the waist position at $s=z / 2$ to minimize the beam-beam effect. The transformation $\exp \left(-p_{y}{ }^{2} z / 4\right)$ realizes the travel focusing:

$$
\begin{equation*}
e^{z p_{y}^{2} / 4} \circ e^{-: H_{b b}: \circ} \circ e^{-z p_{y}^{2} / 4} \tag{16}
\end{equation*}
$$

RF focusing is used for the transformation. However heavy development works are necessary for the RF device. We know the crab cavity exchanges x and z .

$$
\begin{gather*}
e^{-\phi p_{x} z} \circ e^{x p_{y}^{2} / 4 \phi} \circ e^{\phi p_{x} z} \circ e^{-: H_{b b}: \circ e^{-\phi p_{x} z} \circ e^{-x p_{y}^{2} / 4 \phi} \circ e^{\phi p_{x} z}} \\
=e^{(x-\phi z) p_{y}^{2} / 4 \phi} \circ e^{-: H_{b b}: \circ e^{-(x-\phi z) p_{y}^{2} / 4 \phi}} \tag{17}
\end{gather*}
$$

The first and last operator $\exp \left(+-\phi p_{\mathrm{x}} \mathrm{z}\right)$ at the first line of Eq.(17) are actions of the crab cavities, while $3^{\text {rd }}$ and $5^{\text {th }}$ are the crossing angle. The $2^{\text {nd }}$ and $6^{\text {th }}$ operators are from two sextupole magnets located at the both sides of the collision point. Additional two sextupole magnets in both sides are added to cancel the residual nonlinear term [9].

$$
\begin{gather*}
e^{x p_{y}^{2} / 4 \phi} \circ e^{-(x-\phi z) p_{y}^{2} / 4 \phi} \circ e^{-: H_{b b}:} \circ e^{-(x-\phi z) p_{y}^{2} / 4 \phi} \circ e^{x p_{y}^{2} / 4 \phi} \\
=e^{z p_{y}^{2} / 4} \circ e^{-: H_{b b}: \circ e^{-z p_{y}^{2} / 4}} \tag{18}
\end{gather*}
$$

Realistic arrangement of IR is given by chosen betatron phase so as to realize the transformation as is done in Eq. (7). Two pairs of crab cavities, which are inserted between two sextupole magnets, are located at the horizontal betatron phase difference of $(1 / 2+\mathrm{n}) \pi$. The sextupole magnets are located at the vertical betatron phase difference of $(1 / 2+n) \pi$. The phase difference of two sextupole magnets is $\pi$ or $2 \pi$ depending on the sign of magnets. In this scheme two crab cavity is necessary.

In the travel focus scheme, the waist position shifts for $z$ but does not for $s$ : that is, particles at z have waist position for the variation of s , and the waist position is located at the centre ( $\mathrm{z}=0$ ) of the colliding beam. The hourglass effect is not avoidable even in the travel waist scheme.

### 1.1.3 Study of the collision schemes in Super KEKB

These collision schemes has to be studied to upgrade KEKB. The crab cavity has been studied since 2007 at KEKB. The crab cavity was expected to boost the luminosity twice higher [10]. Figure 4 shows the beam-beam parameter as a function of the bunch population of HER, where the transparency condition is assumed.

The beam-beam parameter, which is regarded as a normalized luminosity, is defined by

$$
\begin{equation*}
\xi_{n}=\frac{2 r_{e} \beta_{y, \pm} L}{N_{ \pm} \gamma_{ \pm} f_{c o l}} . \tag{19}
\end{equation*}
$$

The luminosity is $4.5 \times 10^{35} \mathrm{~cm}^{-2} \mathrm{~s}^{-1}$ for the nominal parameter, $\mathrm{N}(\mathrm{HER})=5.5 \times 10^{10}$ and 2 ns collision repetition.

Figure 5 shows the beam-beam parameter as a function of the travel focus strength. The beam-beam parameter does little depend on the strength. The vertical beam size, which is simple $2^{\text {nd }}$ order moment, has a minimum at the optimum strength. The tail distribution should be improved by the travel focus, while the luminosity performance is not remarkable.


Figure 4: Beam-beam parameter with and without crab cavity given by a strong-strong simulation. Number of the longitudinal slice in the simulation is 5 .


Figure 5: Effect of travel focusing in the simulation. The optimum is $\mathrm{Kz}=-0.5$, where $\exp \left(K z: p_{y}{ }^{2} z / 2\right.$ :)

Using the travel-focusing scheme, higher luminosity is targeted. Figure 6 shows the beam-beam parameters as a function of the bunch population of HER beam given by both of strong-strong and weak-strong simulations. The corresponding luminosity is $8.0 \times 10^{35} \mathrm{~cm}^{-2} \mathrm{~s}^{-1}$ for the nominal parameter, $\mathrm{N}(\mathrm{HER})=5.5 \times 10^{10}$ and 2 ns collision repetition.


Figure 6: Beam-beam parameters given by strong-strong and weak-strong simulations.
Superbunch and crab waist scheme have been studied. The simulation for superbunch scheme is very hard; because a bunch has to be sliced into many pieces and a number of collisions between slices, square of the number of slices, have to be calculated per one revolution. Figure 7 shows the luminosity evolution in a strongstrong simulation for Super B parameters [11]. This luminosity is given for the collision repetition of 2 ns . Since it is 4 ns for the present design of Super B, the luminosity is $0.7 \times 10^{36} \mathrm{~cm}^{-2} \mathrm{~s}^{-1}$. The simulation, which is preliminary, is very hard and can contain
numerical difficulties. Since better simulations will give better luminosity, this result can be considered as a lower bound for an ideal machine. The beam-beam parameter is 0.08 using Eq. (19), where $\beta_{y}=0.22 / 0.39 \mathrm{~mm}, \mathrm{~N}=5.52 \times 10^{10}$ and $\mathrm{E}=4 / 7 \mathrm{GeV}$.


Figure 7: Luminosity evolution in a strong-strong simulation for Super B parameters.

### 1.1.4 References

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