

Soft Physics in Relativistic Heavy Ion Collisions

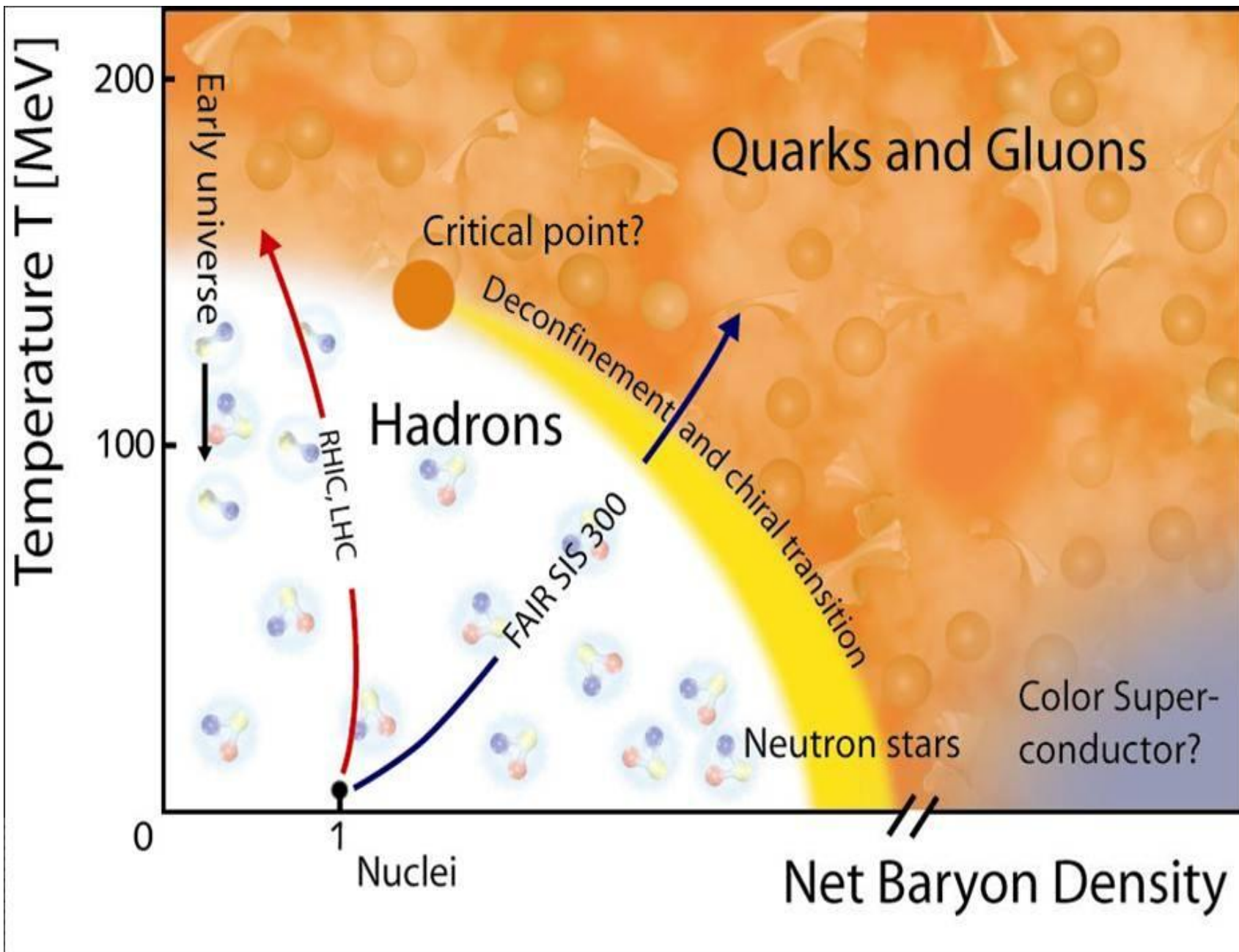
Huichao Song

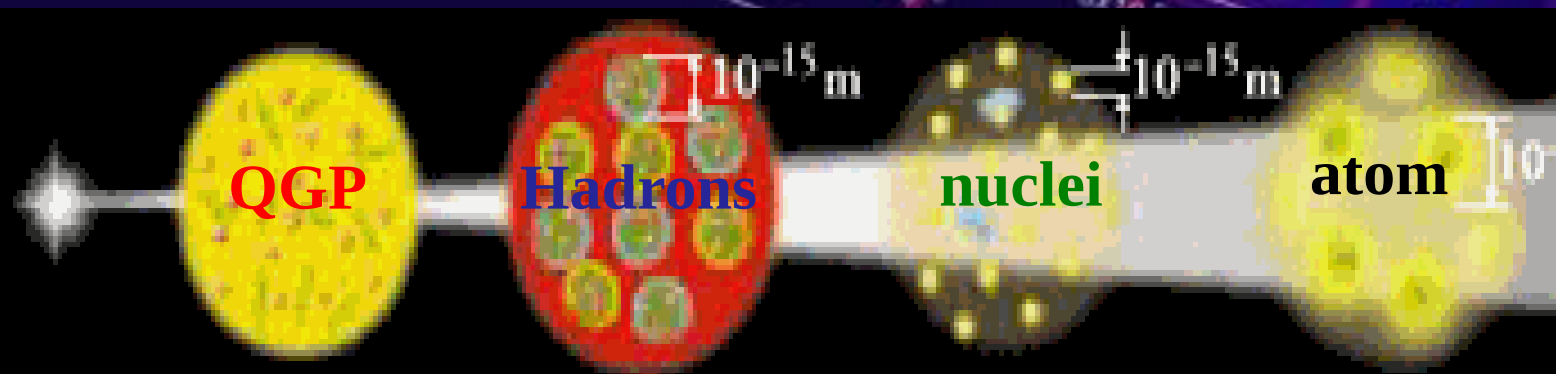
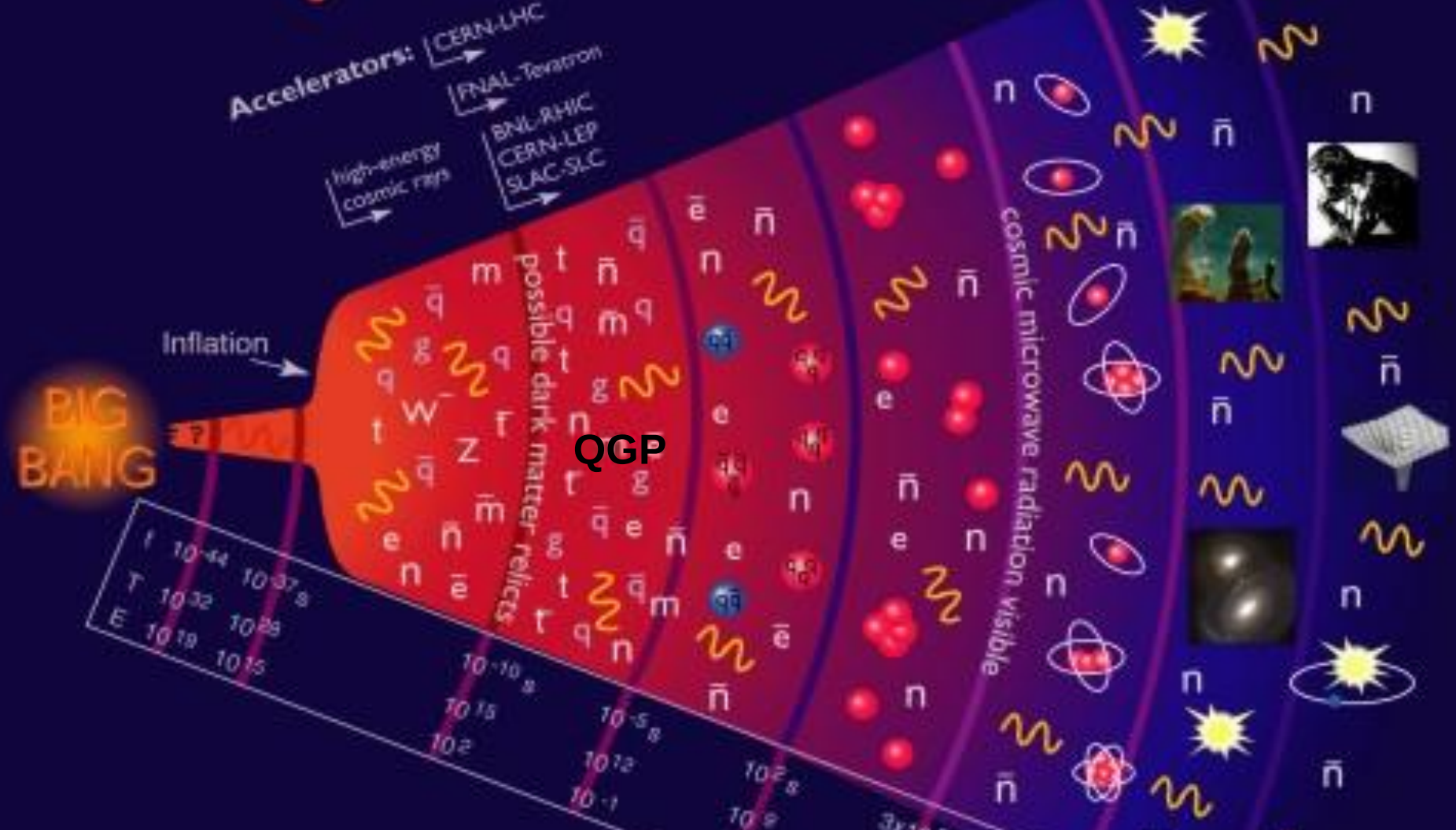
宋慧超

Peking University

Hadron and Nuclear Physics in 2017
KEK, Tsukuba, Japan, Jan.7-10, 2017

Jan. 09, 2017





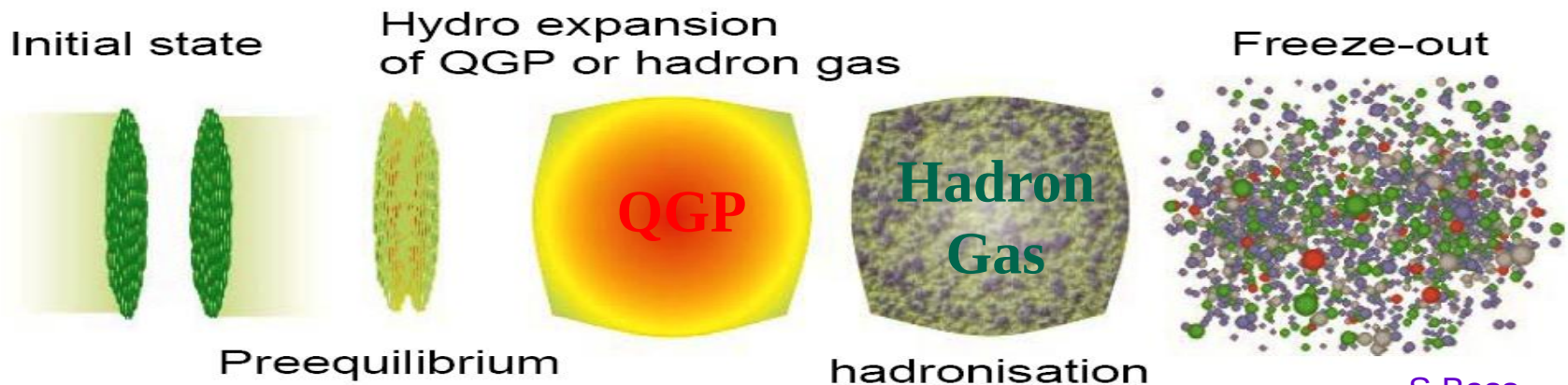
RHIC, BNL



LHC, CERN



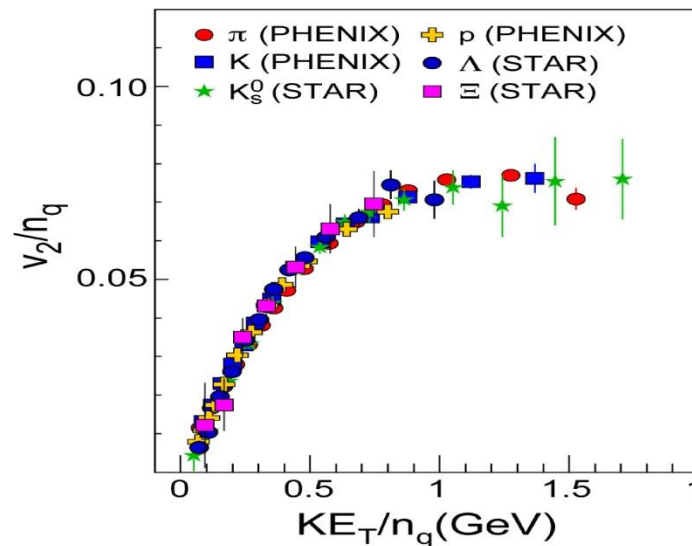
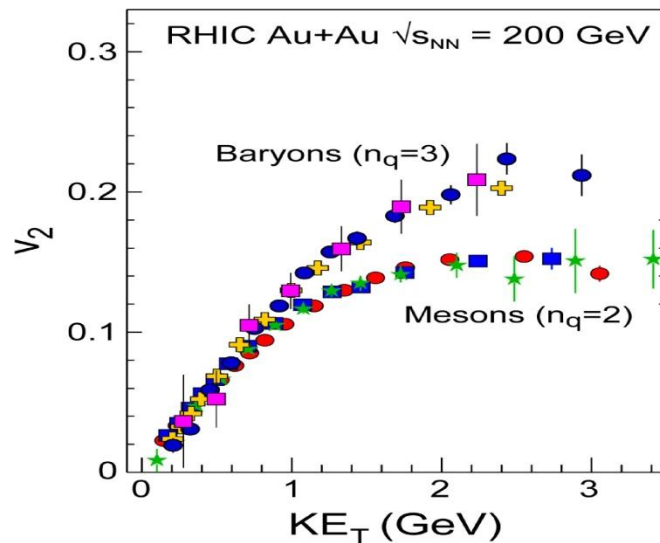
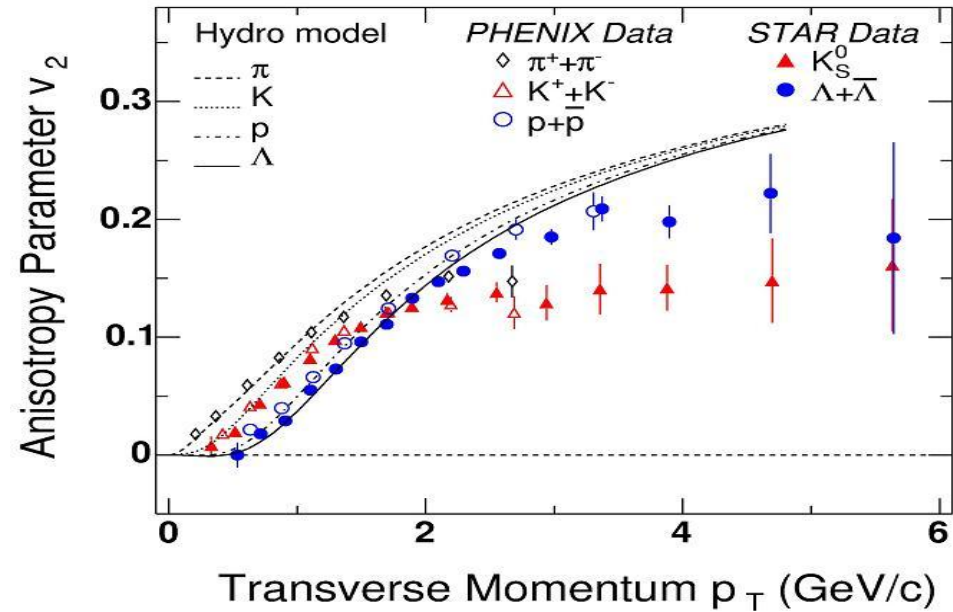
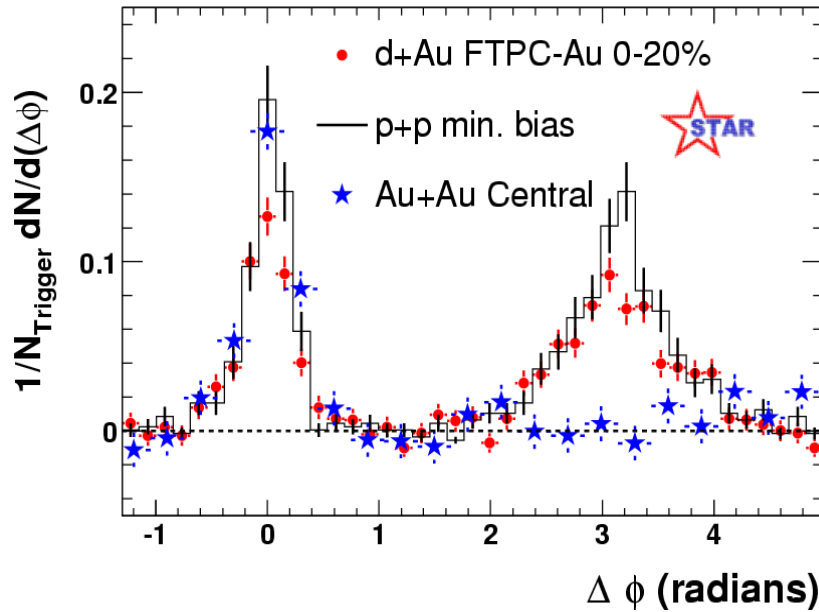
little bang: the different stage for a relativistic heavy ion collision



S.Bass

The QGP was discovered

RHIC (2000--)



QGP-the most perfect fluid in the world?

BNL News, 2005

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RHIC Scientists Serve Up "Perfect" Liquid

New state of matter more remarkable than predicted -- raising many new questions

April 18, 2005

TAMPA, FL -- The four detector groups conducting research at the [Relativistic Heavy Ion Collider](#) (RHIC) -- a giant atom "smasher" located at the U.S. Department of Energy's Brookhaven National Laboratory -- say they've created a new state of hot, dense matter out of the quarks and gluons that are the basic particles of atomic nuclei, but it is a state quite different and even more remarkable than had been predicted. In [peer-reviewed papers](#) summarizing the first three years of RHIC findings, the scientists say that instead of behaving like a gas of free quarks and gluons, as was expected, the matter created in RHIC's heavy ion collisions appears to be more like a *liquid*.

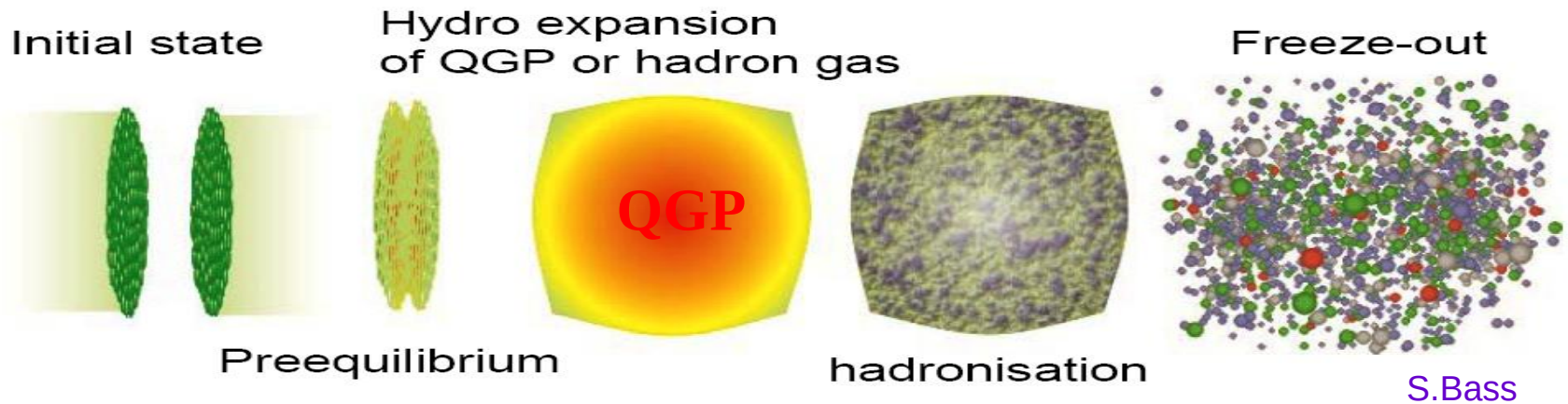
"Once again, the physics research sponsored by the Department of Energy is producing historic results," said Secretary of Energy Samuel Bodman, a trained chemical engineer. "The DOE is the principal federal funder of basic research in the physical sciences, including nuclear and high-energy physics. With today's announcement we see that investment paying off."

"The truly stunning finding at RHIC that the new state of matter created in the collisions of gold ions is more like a liquid than a gas gives us a profound insight into the earliest moments of the universe," said Dr. Raymond L. Orbach, Director of the DOE Office of Science.

Also of great interest to many following progress at RHIC is the emerging connection between the collider's results and calculations using the methods of string theory, an approach that attempts to explain

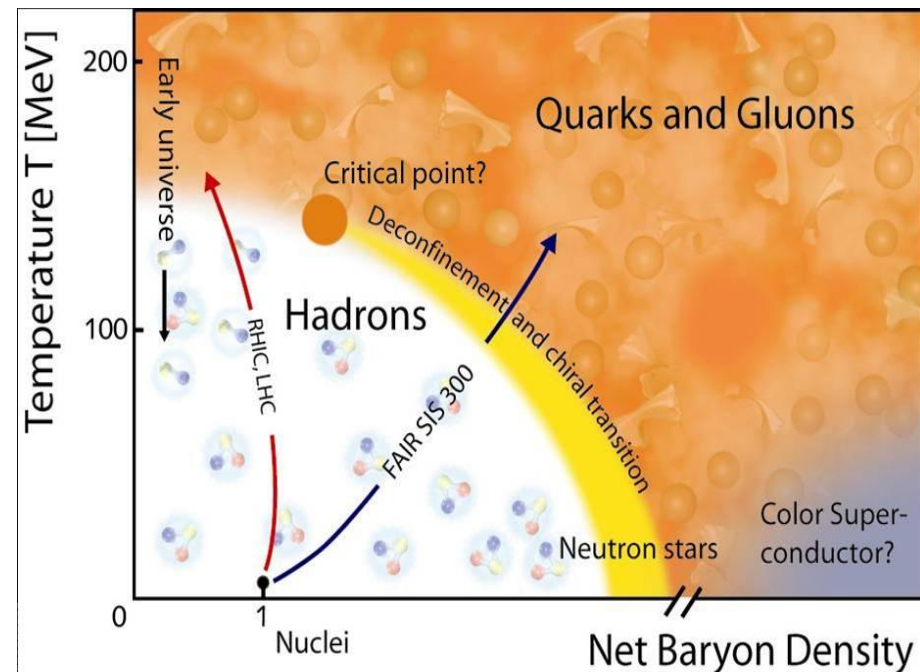


Secretary of Energy
Samuel Bodman



Soft Physics for Relativistic Heavy Ion Collisions

- Hydrodynamics & hybrid model
- QGP viscosity
- multi-strange hadrons & early freeze-out
- initial state fluctuations. & final state correlations
- Correlated fluctuations near the QCD critical point



Hydrodynamics & its Hybrid Model

Viscous Hydrodynamics

$$\partial_\mu T^{\mu\nu}(x) = 0$$

$$\tau_\pi \Delta^{\alpha\mu} \Delta^{\beta\nu} \dot{\pi}_{\alpha\beta} + \pi^{\mu\nu} = 2\eta\sigma^{\mu\nu} - \frac{1}{2} \pi^{\mu\nu} \frac{\eta T}{\tau_\pi} \partial_\lambda \left(\frac{\tau_\pi}{\eta T} u^\lambda \right)$$

$$\tau_\Pi \dot{\Pi} + \Pi = -\zeta(\partial \cdot u) - \frac{1}{2} \Pi \frac{\zeta T}{\tau_\Pi} \partial_\lambda \left(\frac{\tau_\Pi}{\zeta T} u^\lambda \right)$$

Net baryon density: Frankfurt (2014) , BNL(2015)

Heat conductivity: McGill on-going work

2nd order viscous hydro (I-S)

-2+1-d: OSU, INT, Stony Brook, Purdue,
Calcutta (2008), Crakow, Frankfurt(2010) ...

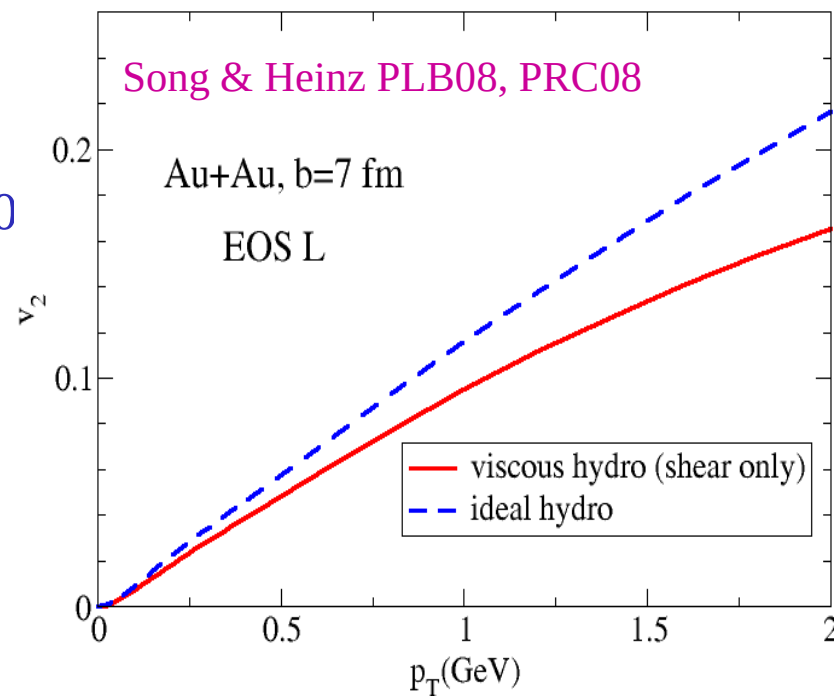
-3+1-d: McGill(2011), MSU(2012), Crakow(20
Nagoya(2013), Frankfurt(2013)

Anisotropic hydrodynamics

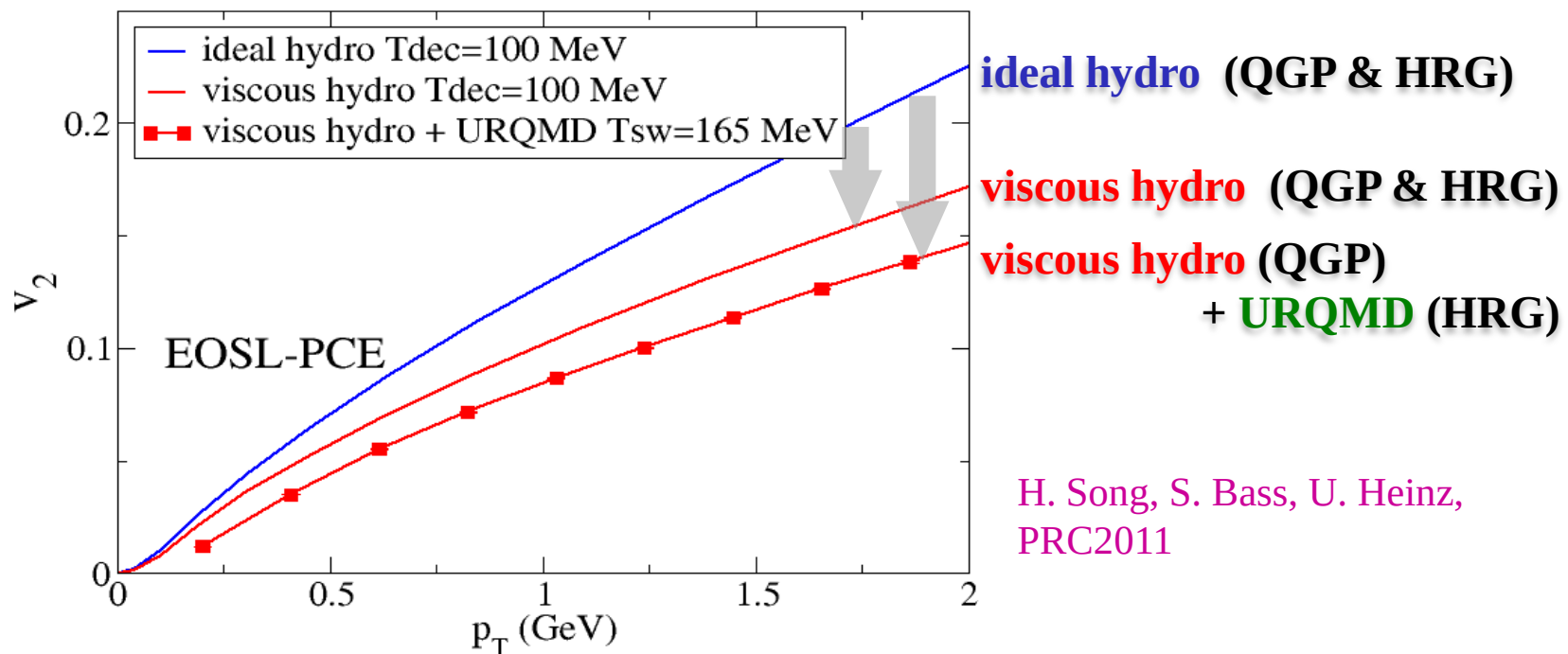
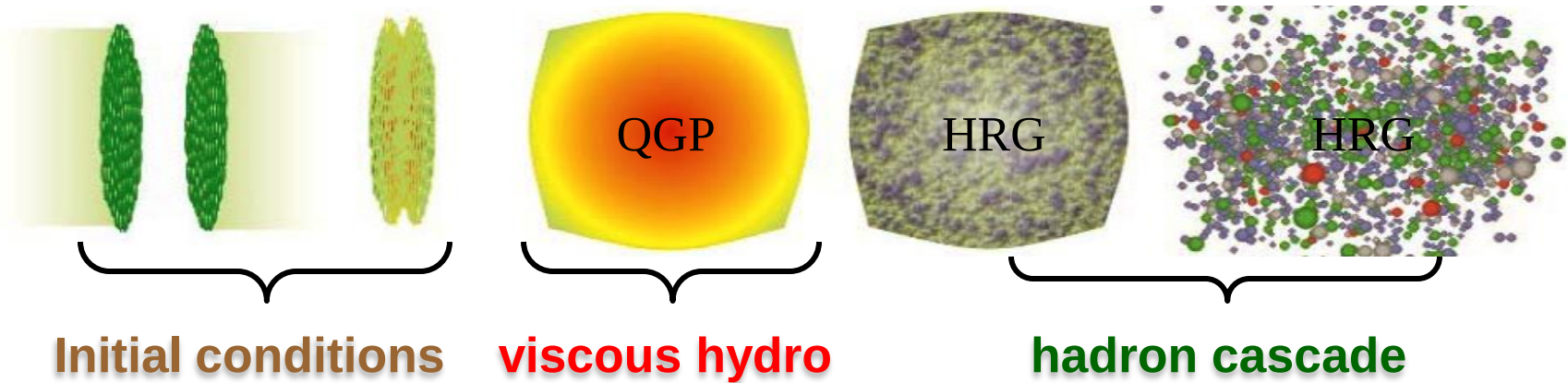
Frankfurt(2010), Cracow(2012) , Kent, OSU
(2013)

Hydrodynamics with thermal fluctuations

Sophia (2014)



Viscous Hydro + Hadron Cascade Hybrid Model

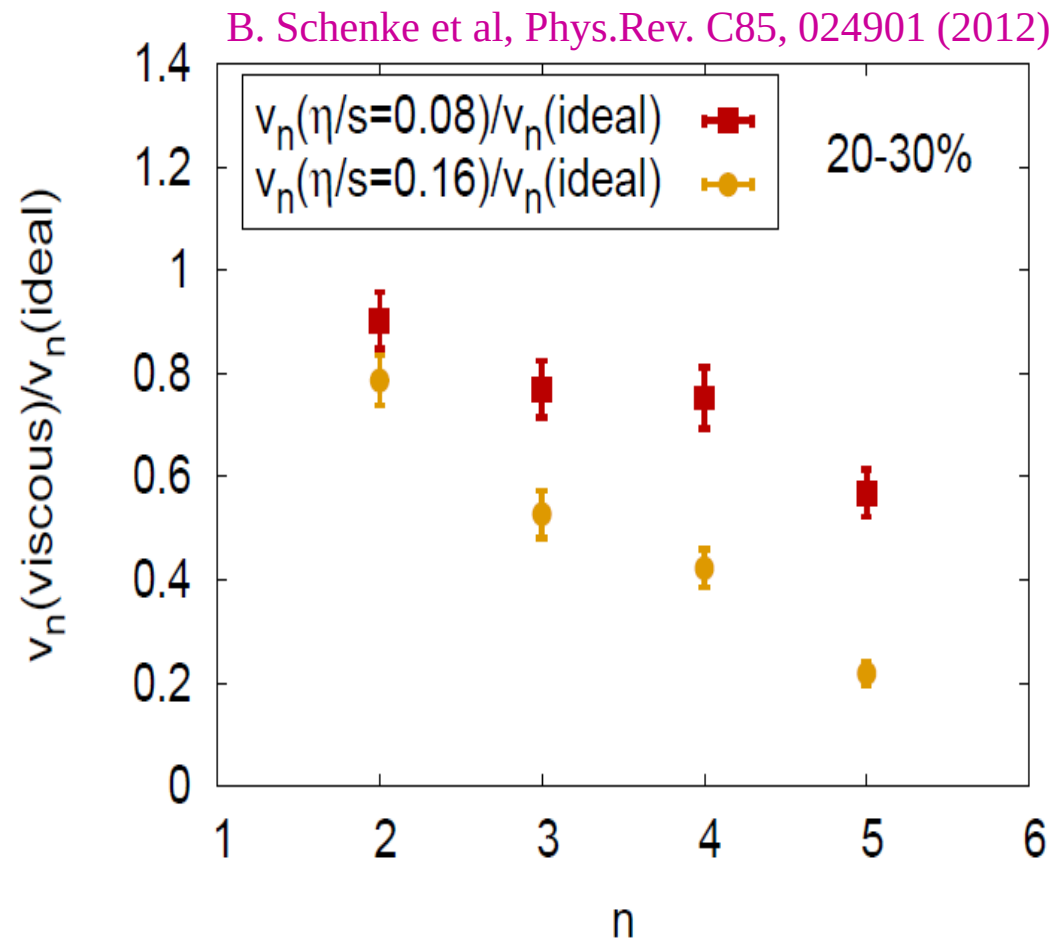
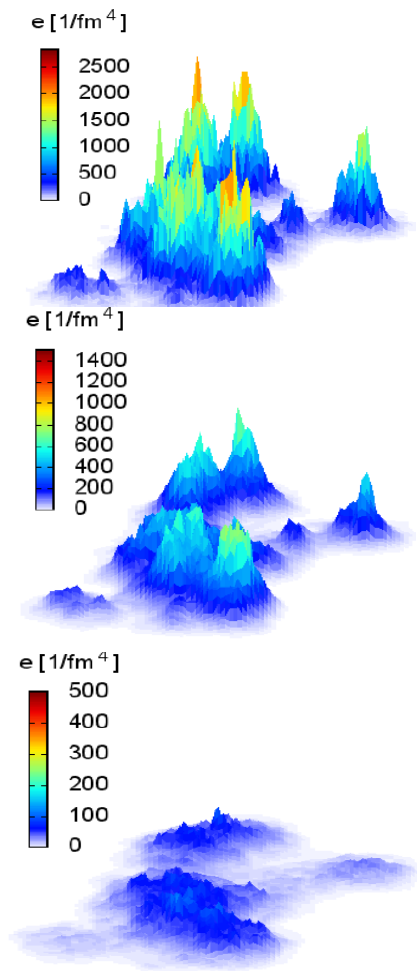


H. Song, S. Bass, U. Heinz,
PRC2011

Event-by-event hydrodynamics

Single shot simulations: smoothed initial conditions (before 2010)

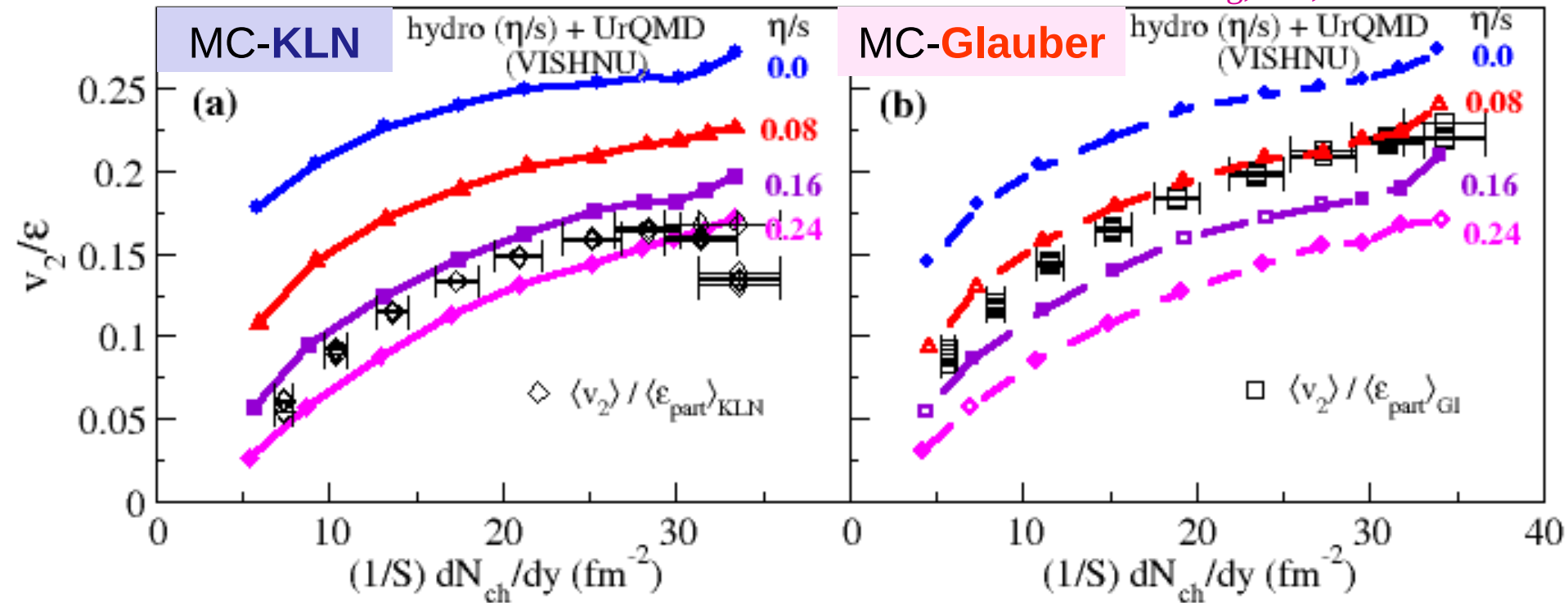
E-b-E simulations: fluctuating initial conditions (since 2010)



QGP viscosity from flow data

VISHNU hybrid model & QGP viscosity

H. Song, et.al, PRL2011



$$1 \times (1/4\pi) \leq (\eta/s)_{\text{QGP}} \leq 2.5 \times (1/4\pi)$$

- Main uncertainties come from initial conditions
- Other uncertainties (much smaller)
 - Initial flow, bulk viscosity, single shot vs. e-b-e calculations
 - (each of them shift V_2 by a few percent, partial cancellation among them)

Massive Data evaluation

Exp Observables

- particle yields
- spectra
- elliptic flow
- triangular flow & higher order flow harmonics
- event by event v_n distributions
- higher-order event plane correlations

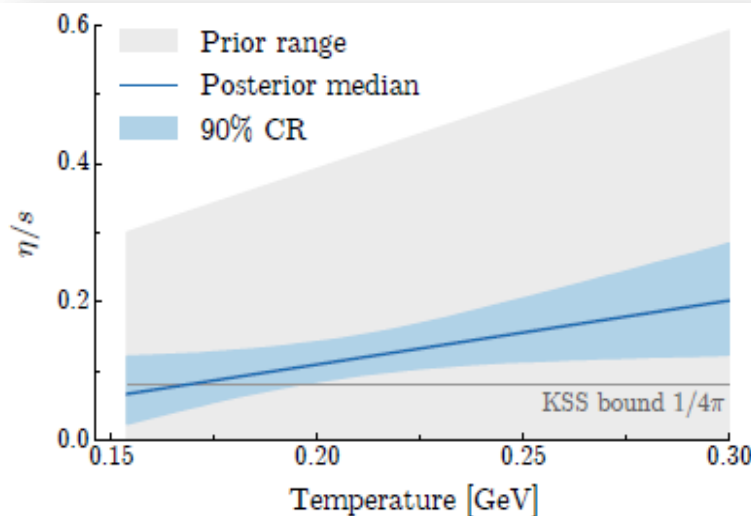
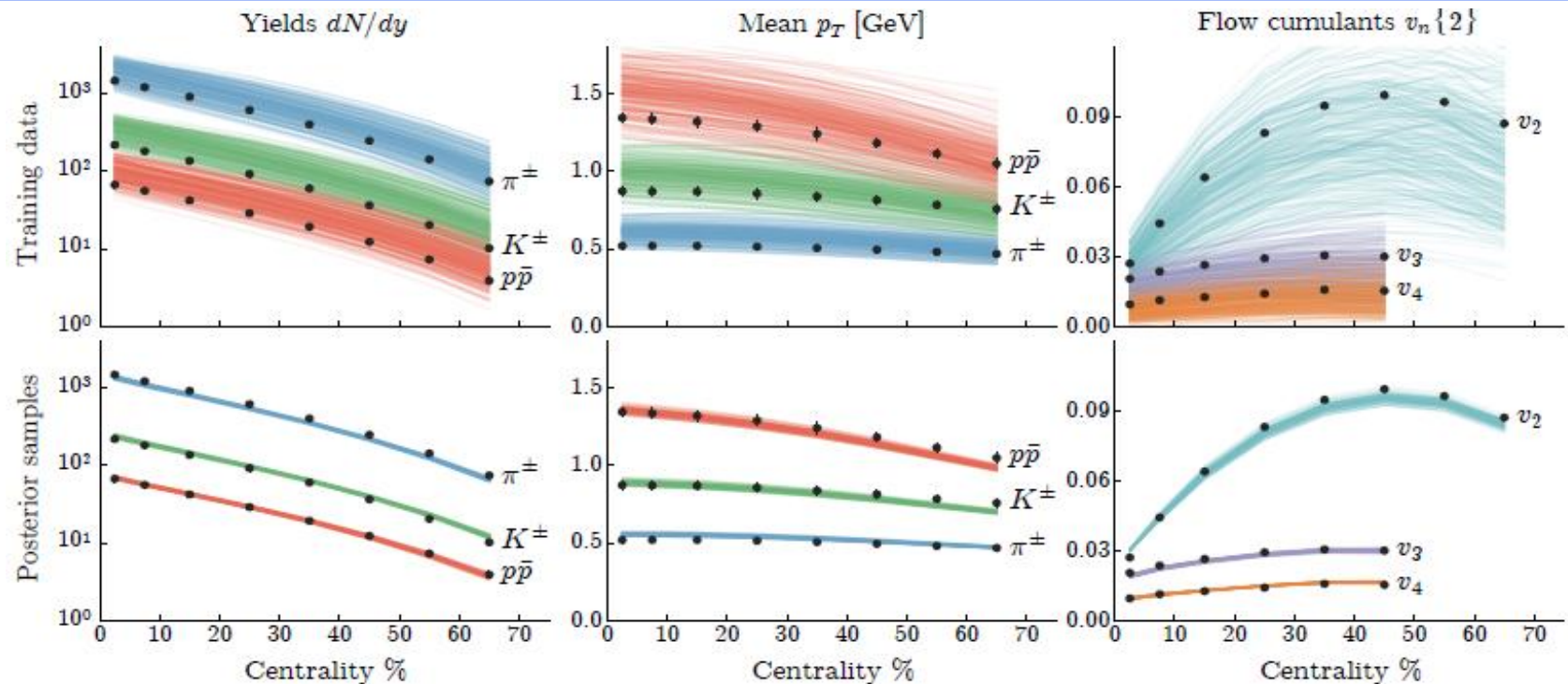
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Theoretical Inputs:

- type of initial conditions
- initial flow
- starting time
- EoS
- shear viscosity
- bulk viscosity
- relaxation times
- freeze-out/switching cond.

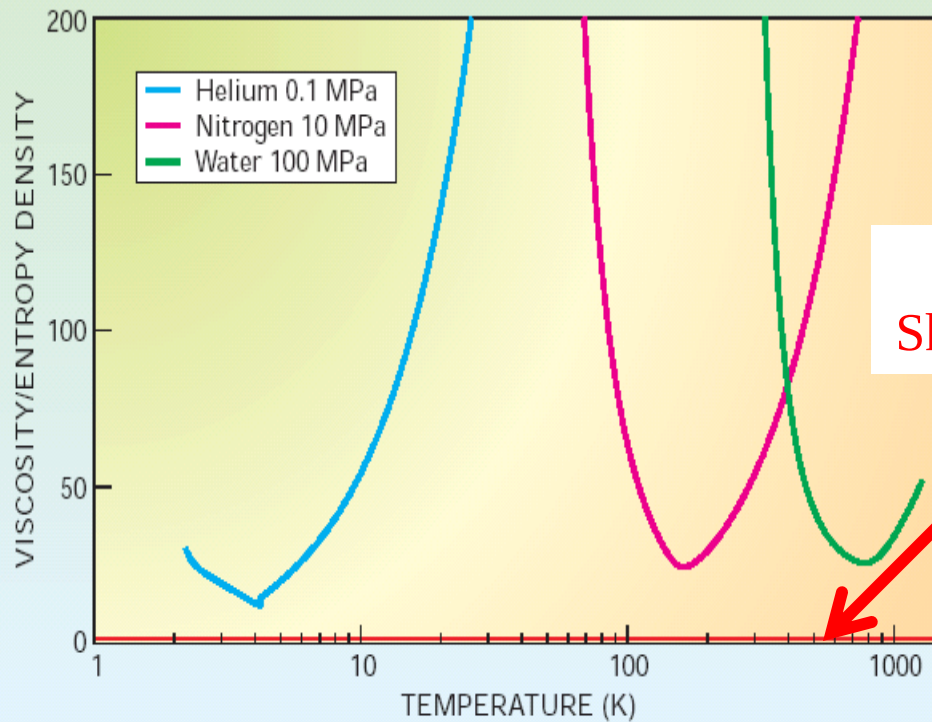
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An quantitatively extract the QGP viscosity



-An quantitatively extraction of the QGP viscosity with iEBE-VISHNU and the massive data evaluation
 - $\eta/s(T)$ is very close to the KSS bound of $1/4\pi$

J. Bernhard, S. Moreland, S.A. Bass, J. Liu, U. Heinz, PRC 2015

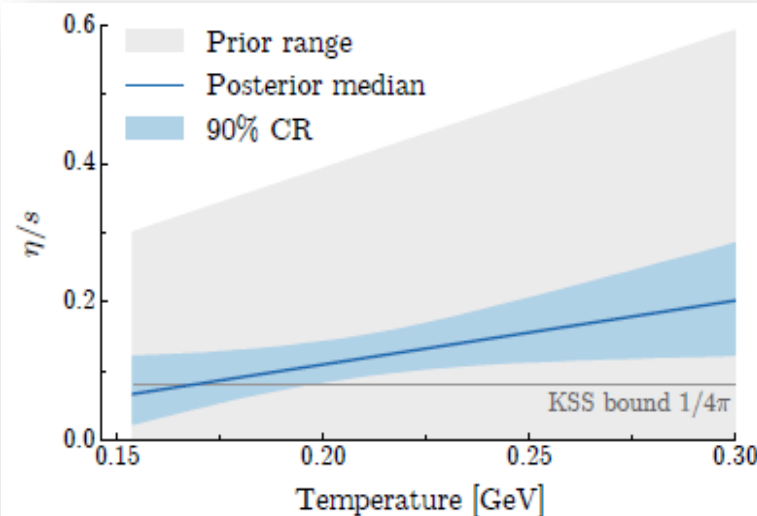


QGP specific
Shear viscosity



Centrality %

Centrality %



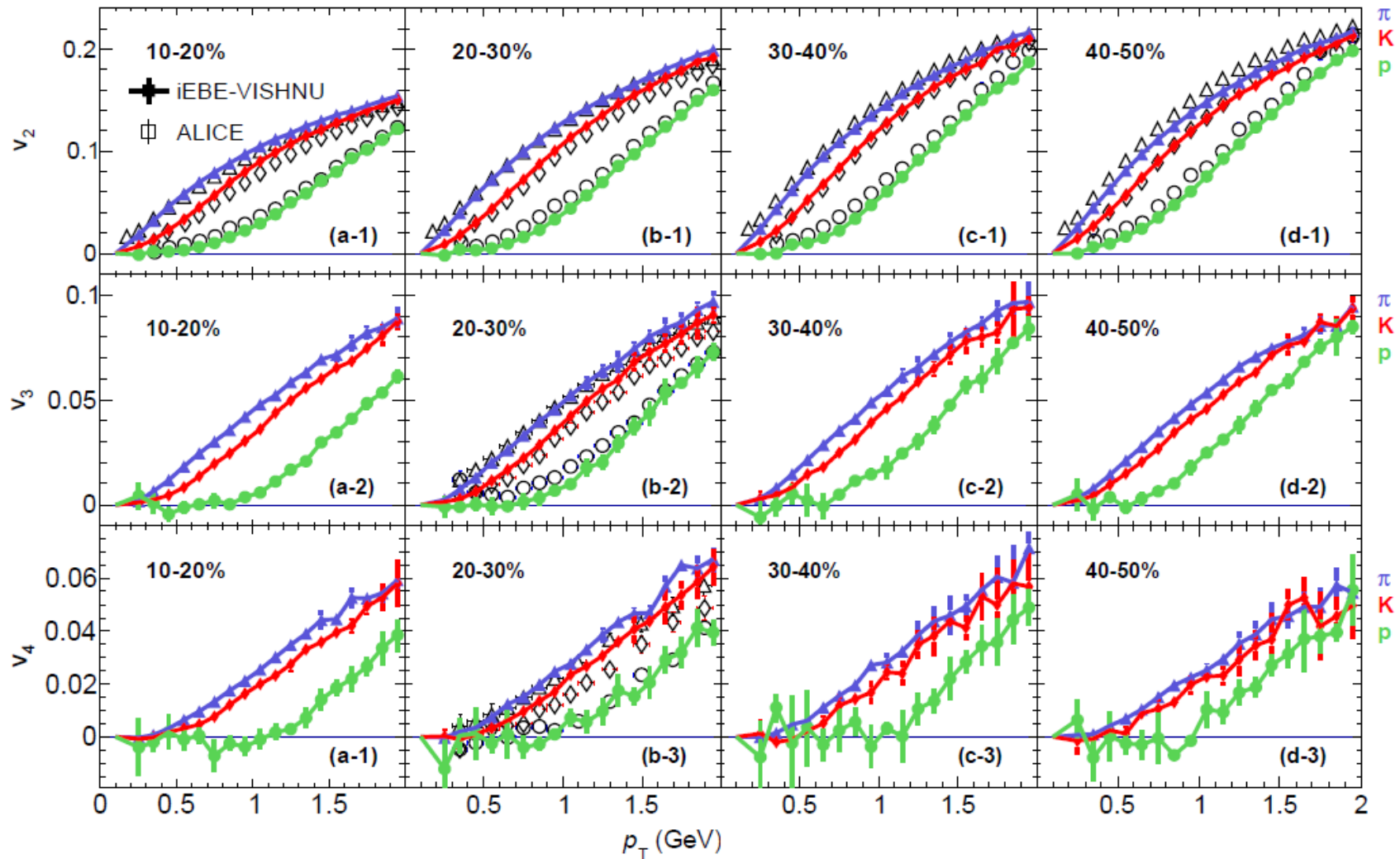
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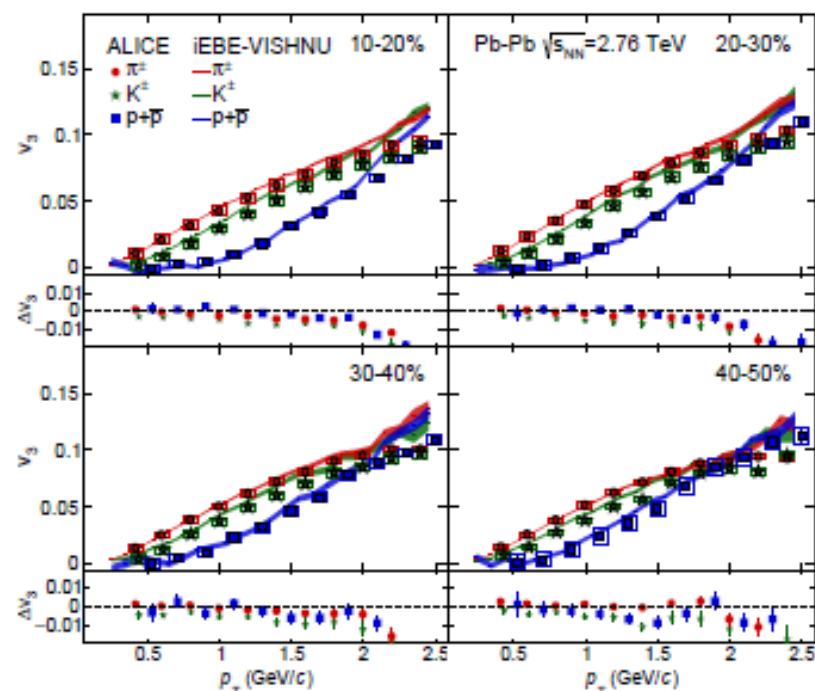
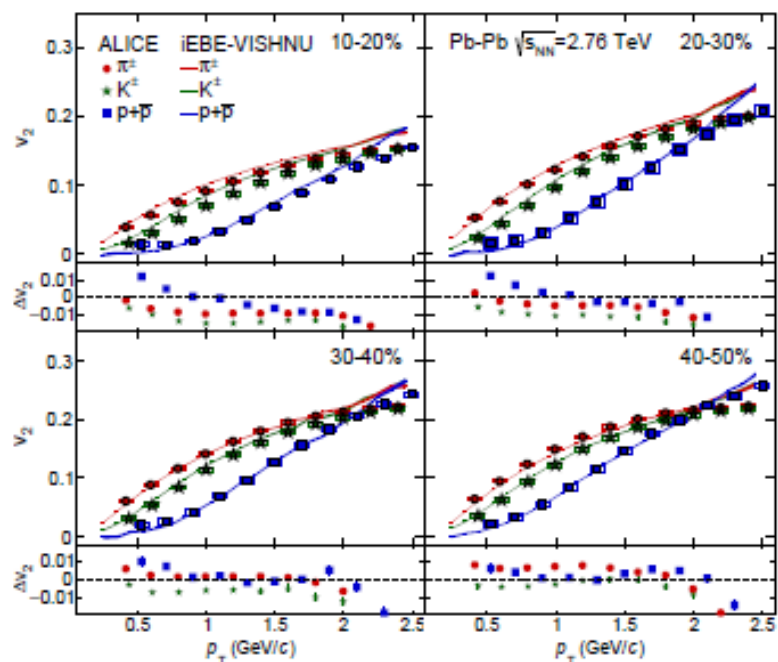
V_2 , V_3 , V_4 of identified hadrons

Pb+Pb 2.76 A TeV

Xu, Li, Song PRC 2016



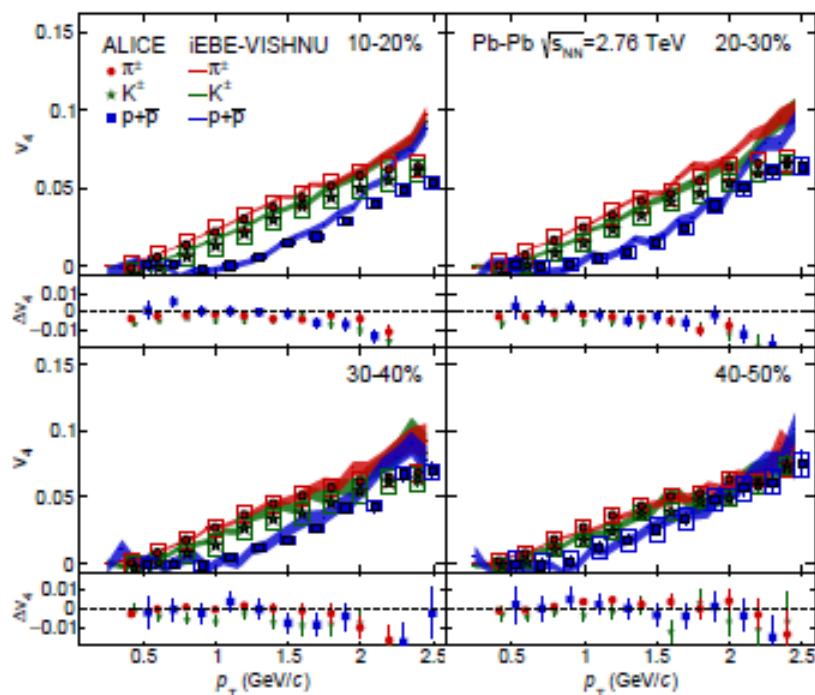
$-V_3$ & V_4 shows similar mass orderings as V_2 for various centrality



ALICE: 1606.06507

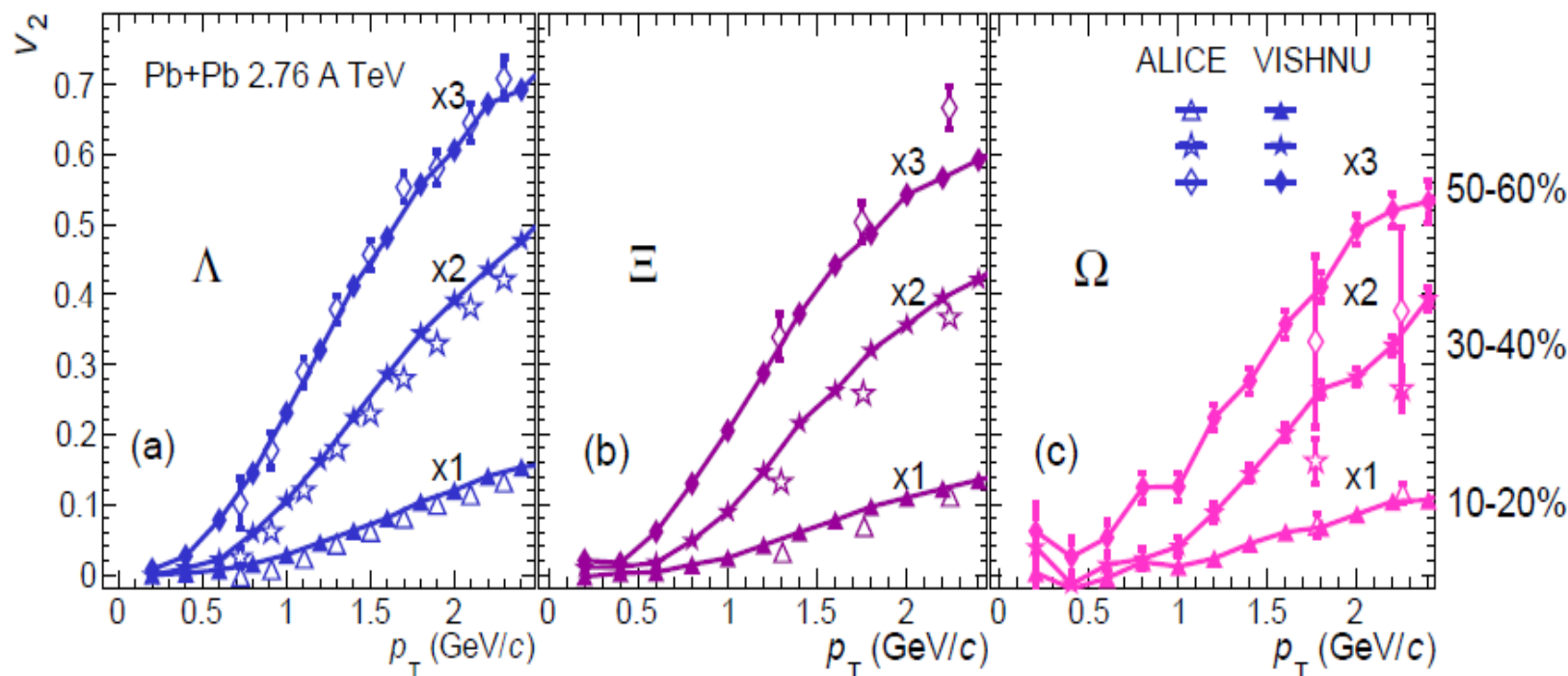
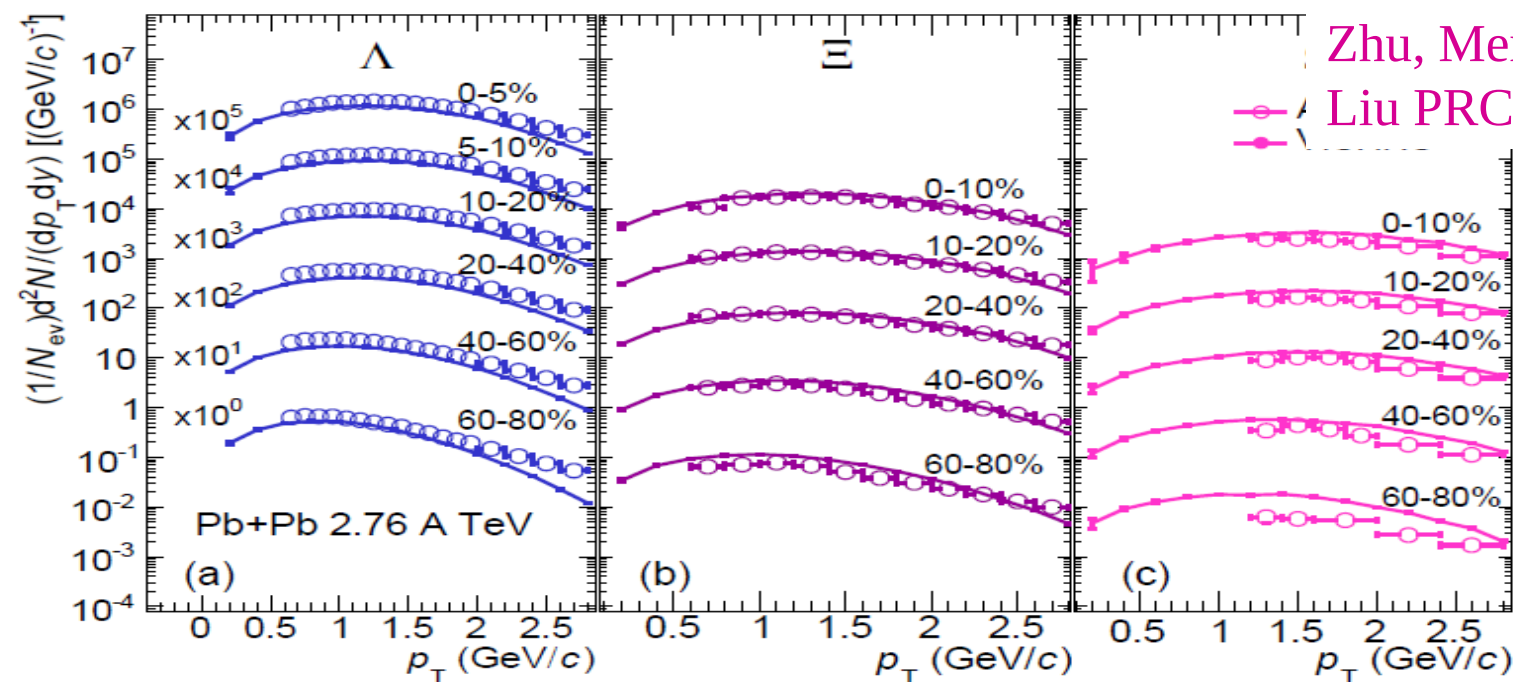
iEBE- VISHNU: Xu, Li, Song PRC 2016

-iEBE-VISHNU (AMPT initial conditions) nicely describe the ALICE V_n of pions, kaons and protons at various centralities



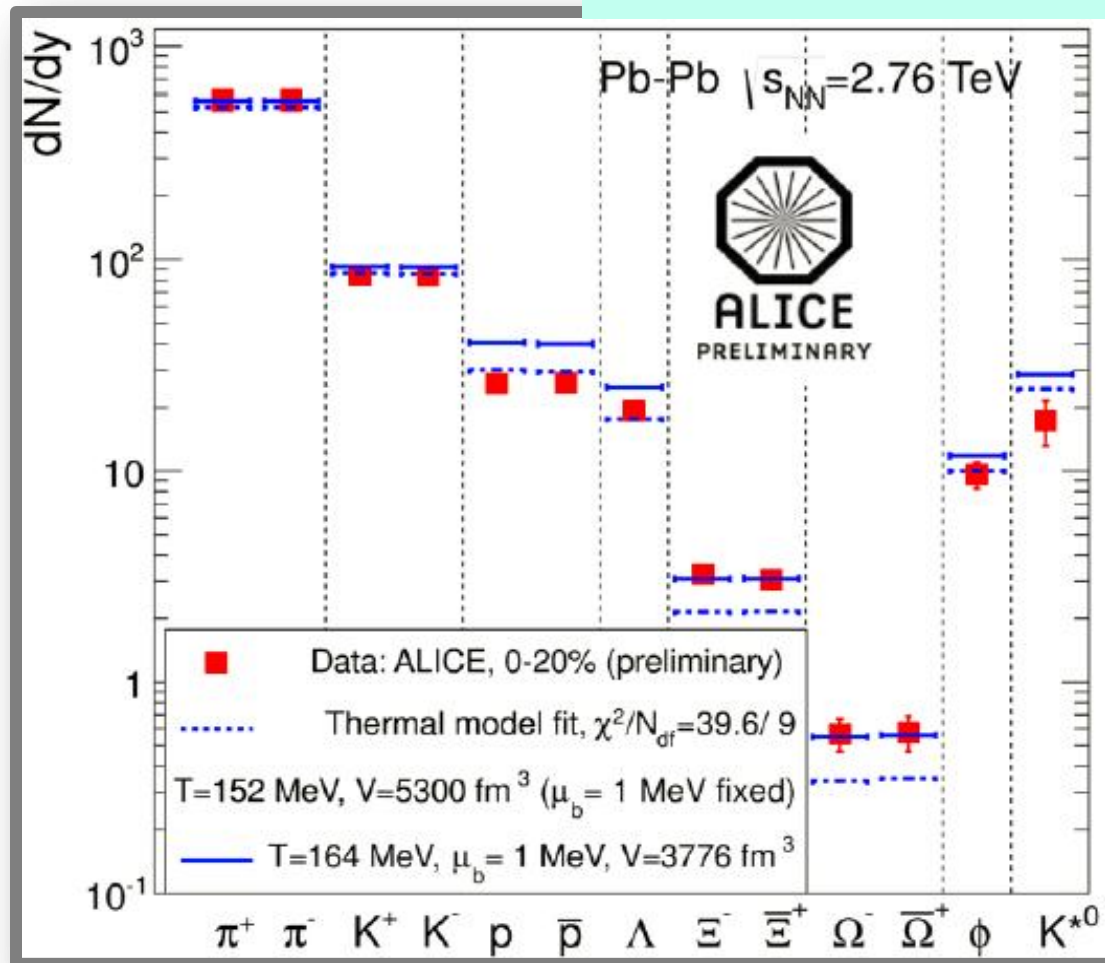
Strange & Multi-strange hadrons

-Chemical & Thermal freeze-out

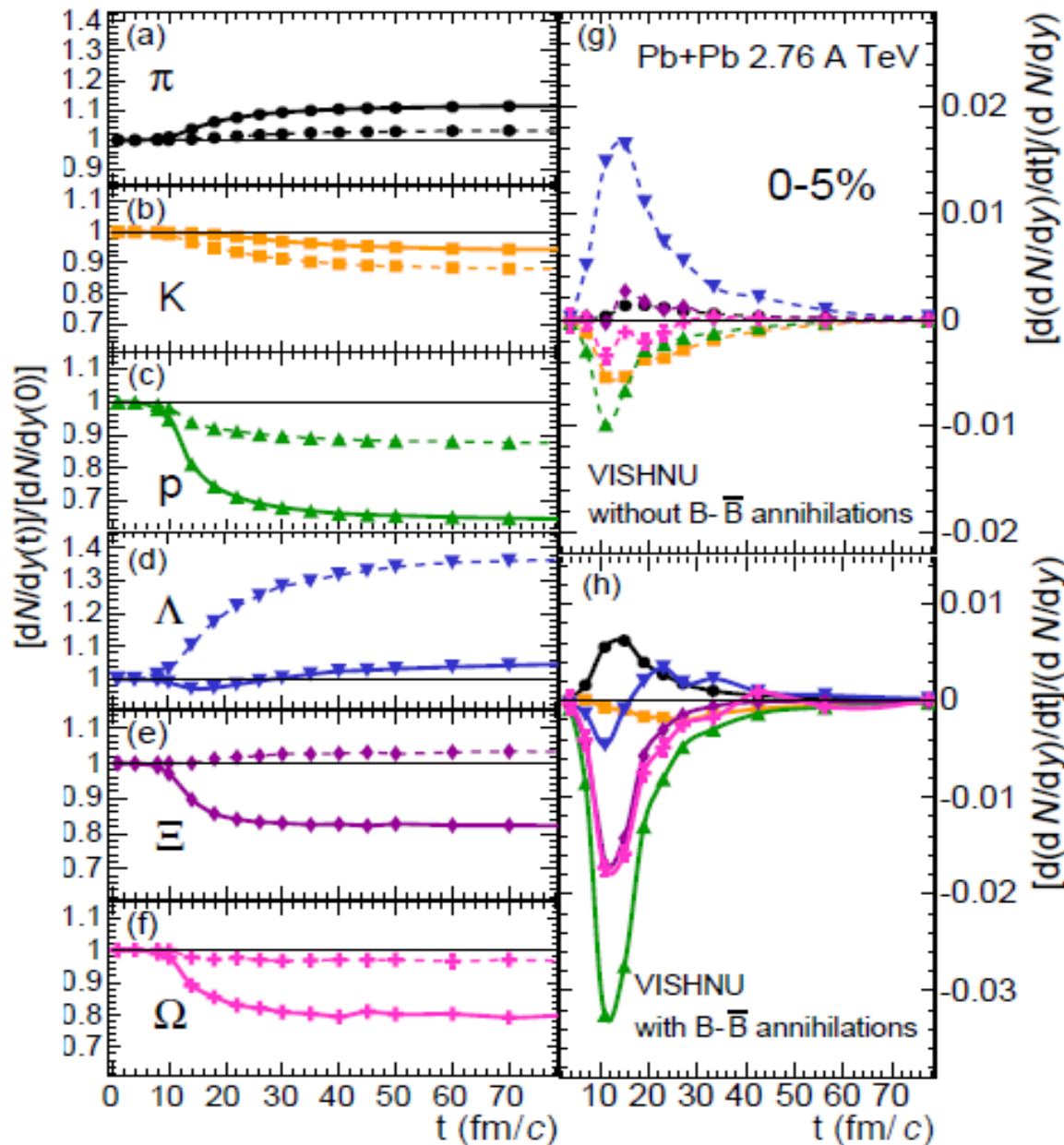


Chemical freeze-out for various hadrons

Statistical Model



Chemical freeze-out for various hadrons

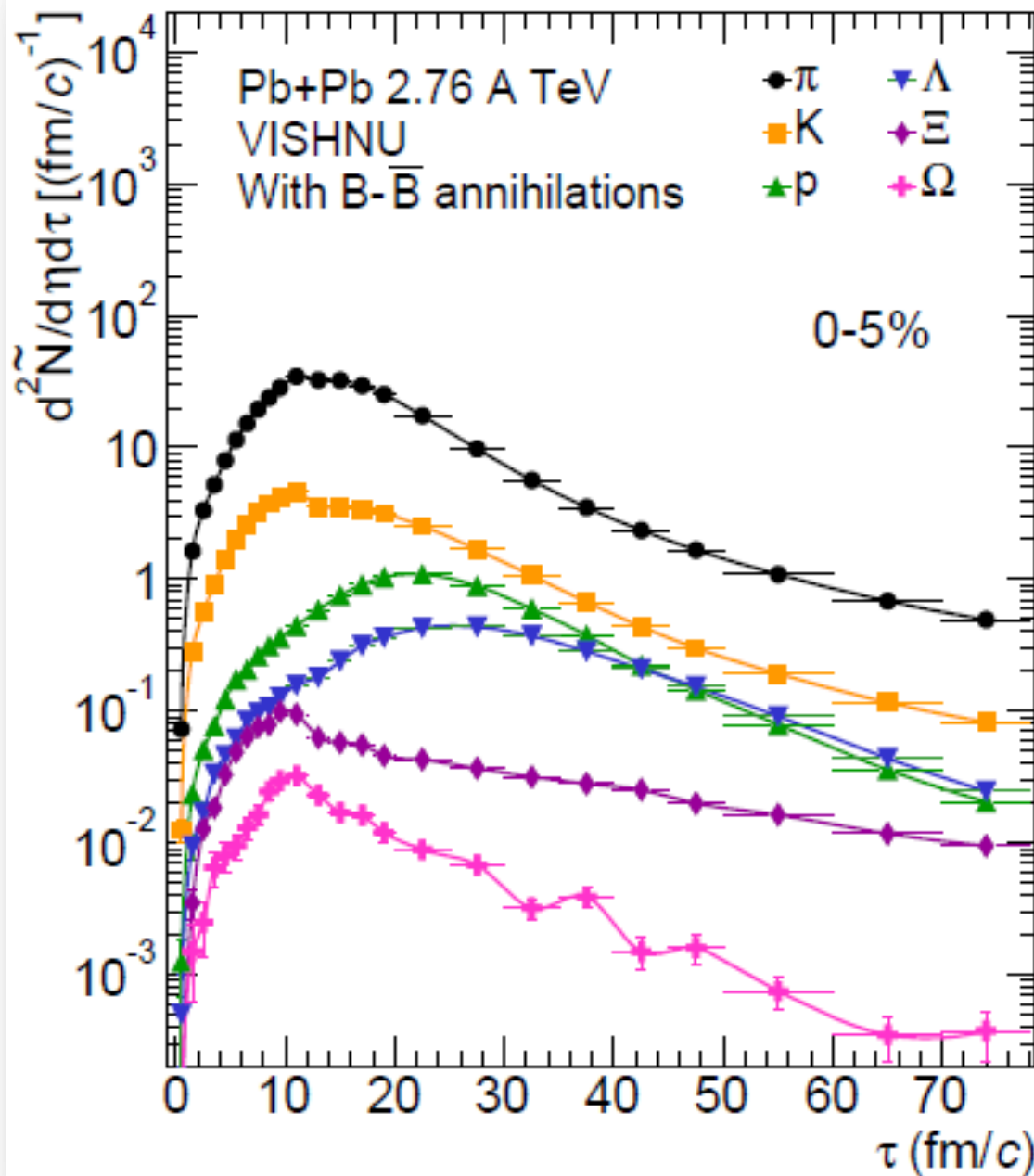


VISHNU hybrid model

- Earlier Chemical freeze-out of Xi and Omega!
- Different hadrons may have different effective chemical freeze-out temperature

Zhu, Meng, Song,
Liu PRC 2015

Thermal freeze-out of various hadrons



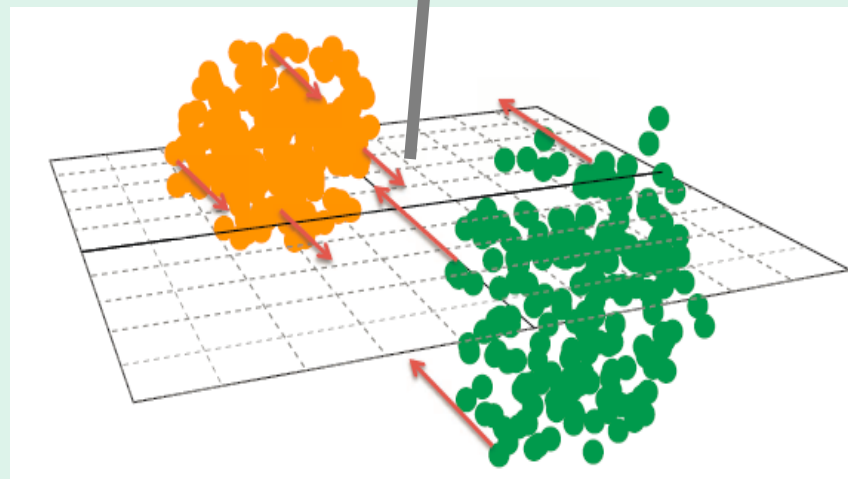
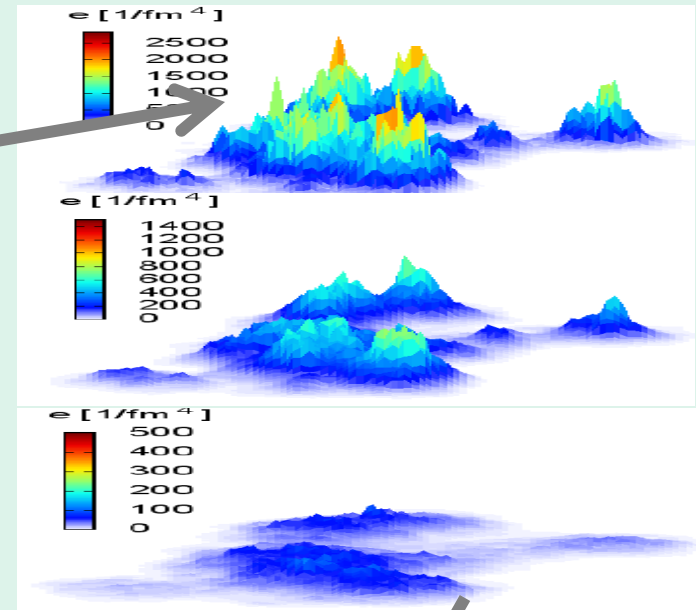
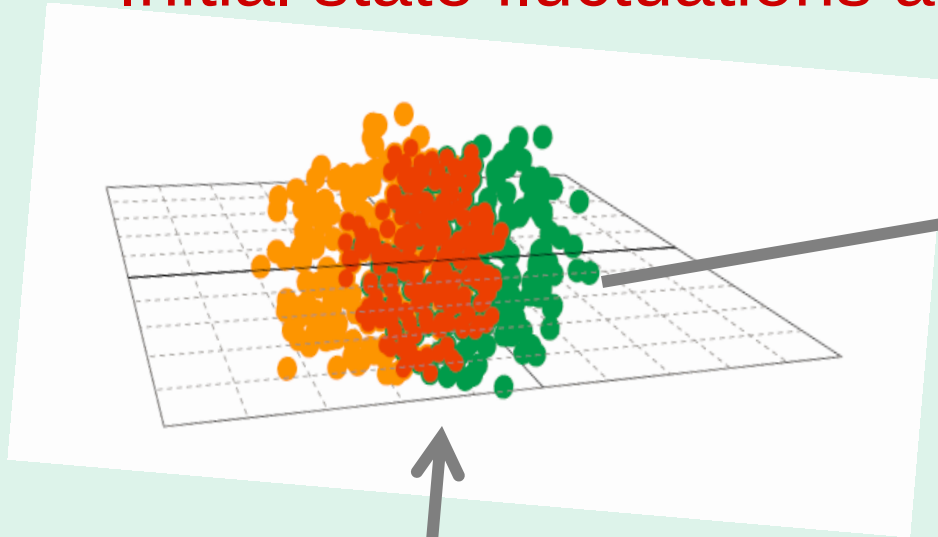
Zhu, Meng, Song,
Liu PRC 2015

-thermal freeze-out time
distributions widely
spread for various
hadrons

-Earlier thermal freeze-
out of Xi and Omega!

EbE-Simulations

-Initial state fluctuations and final state correlations



$$E \frac{dN}{d^3 p} = \frac{dN}{dy p_T dp_T d\phi}$$

$$= \frac{1}{2\pi} \frac{dN}{dy p_T dp_T} [1 + 2v_1(p_T, b) \cos(\phi) + 2v_2(p_T, b) \cos(2\phi) + 2v_3(p_T, b) \cos(3\phi) \dots]$$

Initialization & Pre-equilibrium

-fluctuations of nucleon positions: **MC-Glauber, MC-KLN**

-fluctuations of color charges (in the framework of CGC):

IP-Glasma: B. Schenke et al., Phys.Rev. C85, 024901 (2012).

Correlated Fluctuation: B. Muller & A. Schafer, Phys.Rev. D85,114030 (2012).

-fluctuations of local gluon numbers (in the framework of MC-KLN):

Multiplicity fluctuations: A. Dumitru and Y. Nara, Phys. Rev. C 85, 034907 (2012).

-Pre-equilibriums:

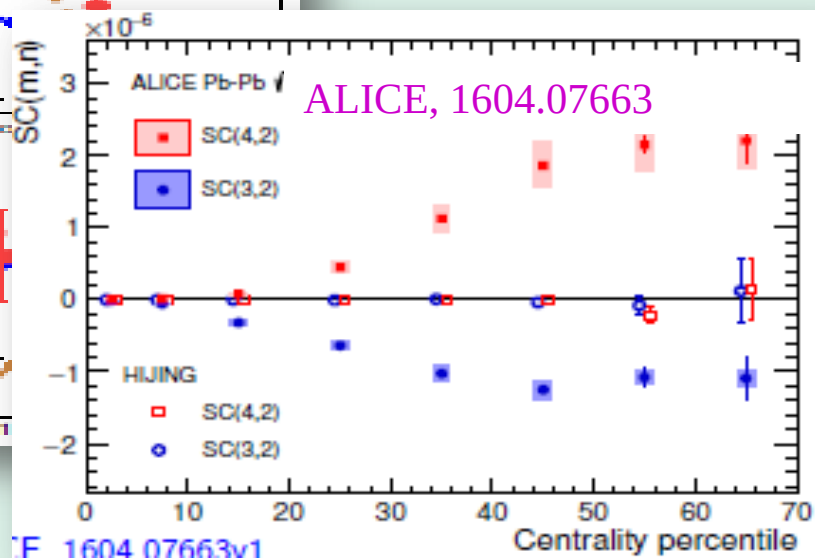
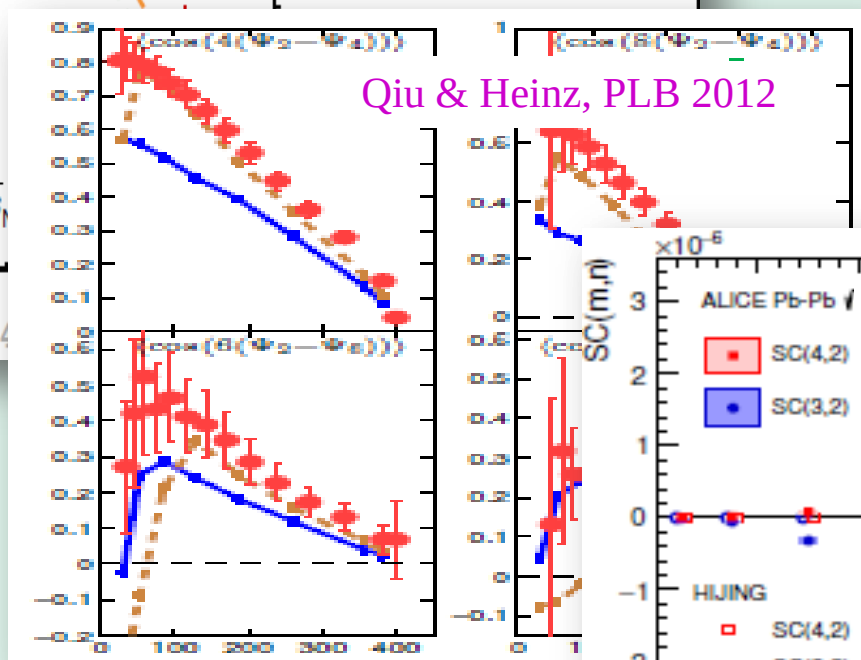
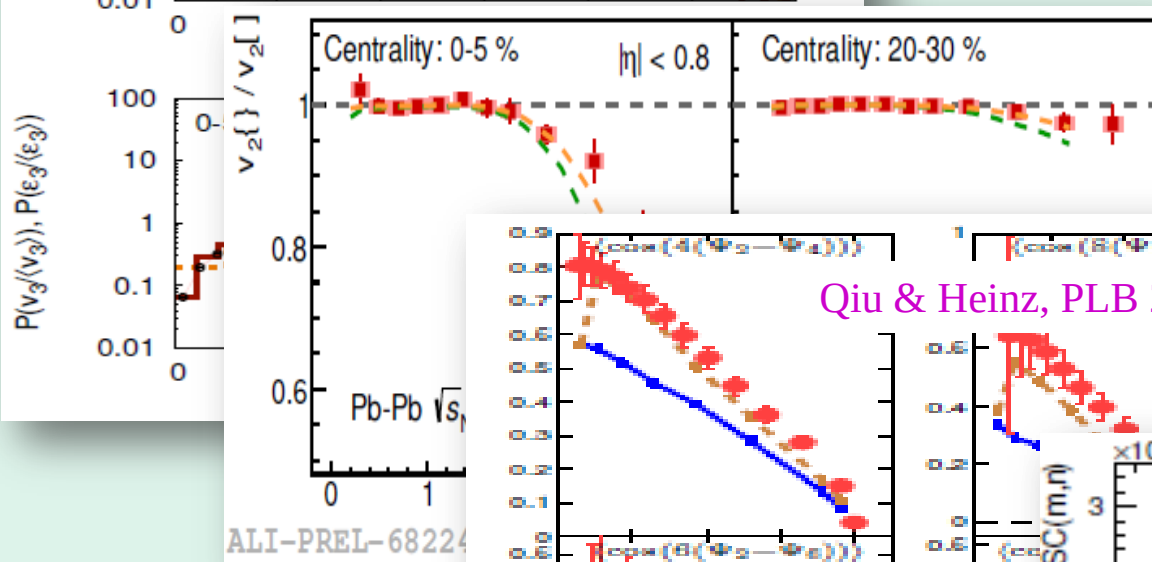
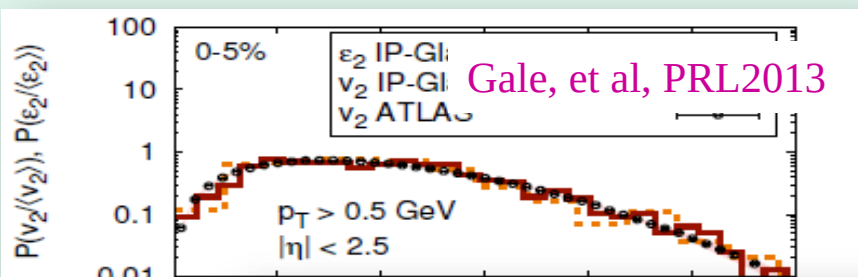
URQMD initialization: H.Petersen & M. Bleicher, Phys. Rev. C81, 044906, (2010).

AMPT initialization: L. Pang, Q.Wang & X.Wang, Phys.Rev. C86, 024911(2012).

EPOS/NEXUS initialization: K. Werner et al., Phys. Rev. C83:044915, (2011).

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More flow data & constraints of the initial conditions



-Vn distributions

-Decorrelation of flow vector

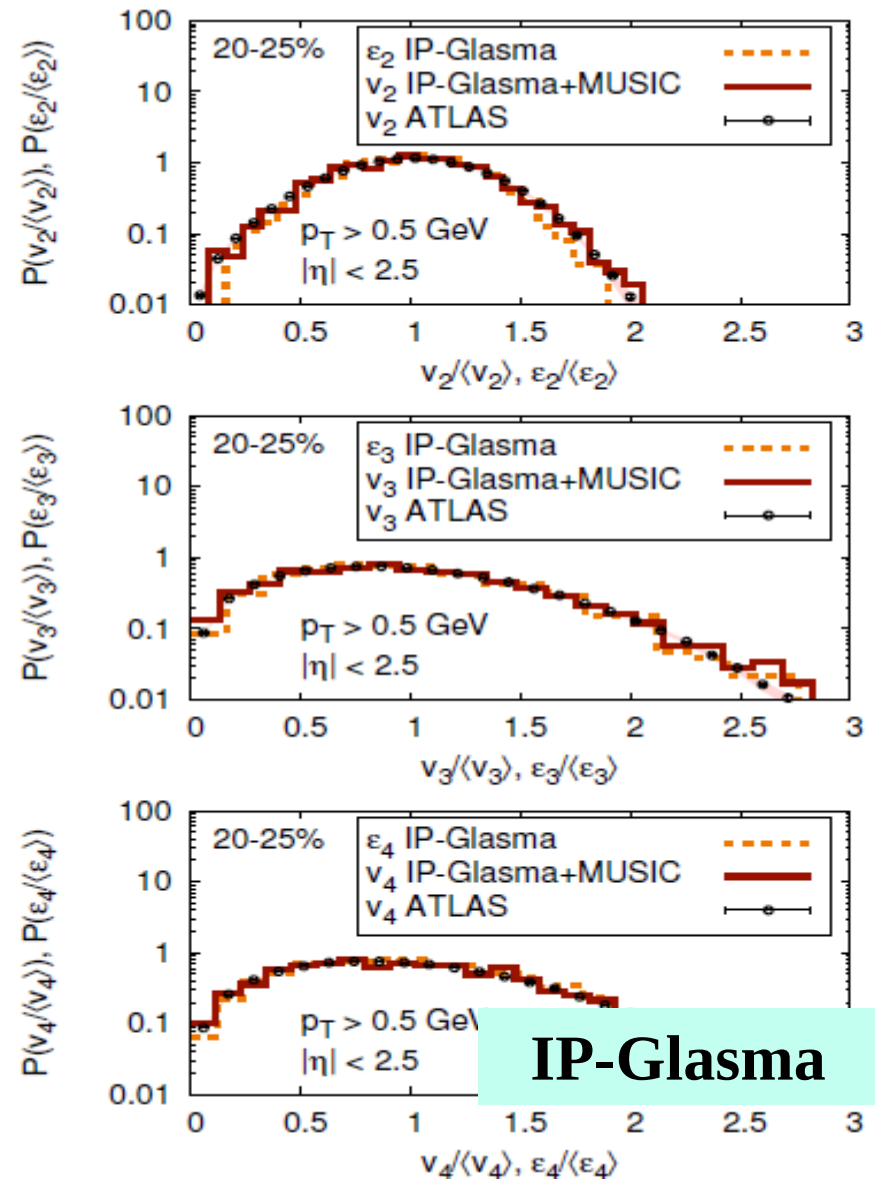
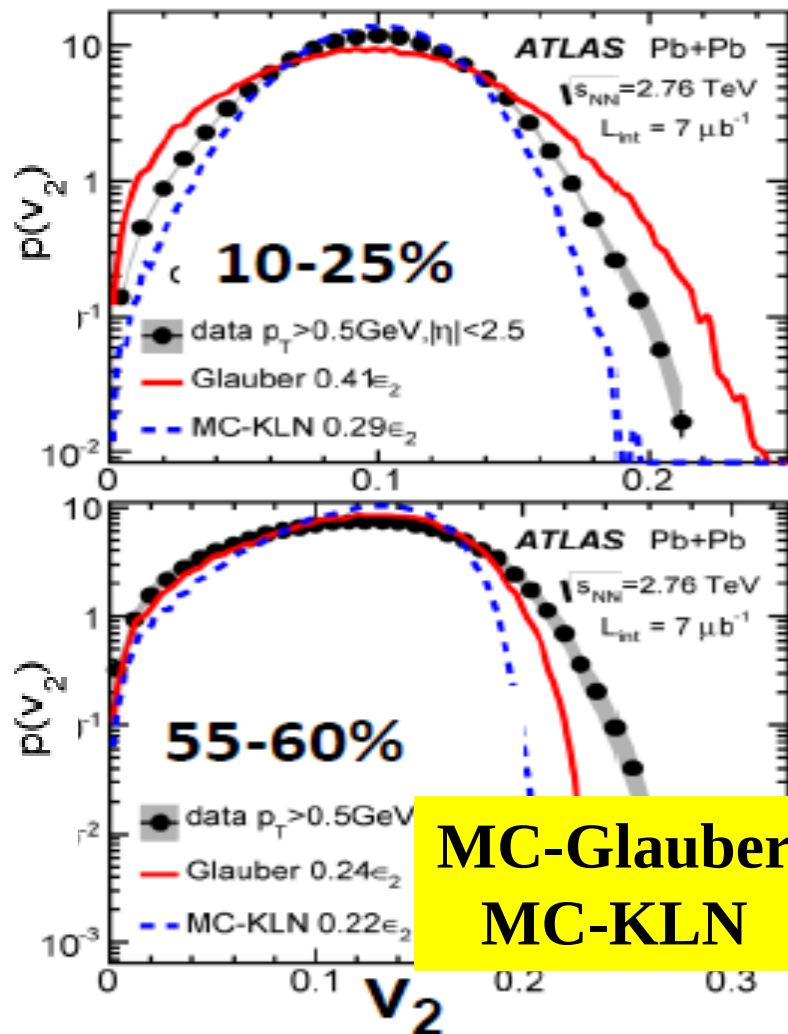
-Correlations of Flow Harmonics

-Event Plane correlations

...

V_n distributions

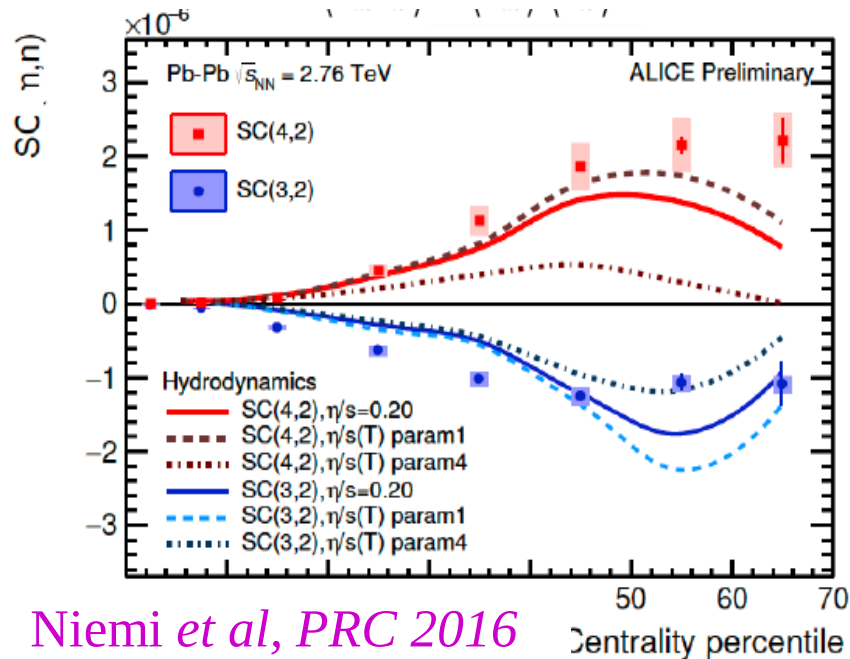
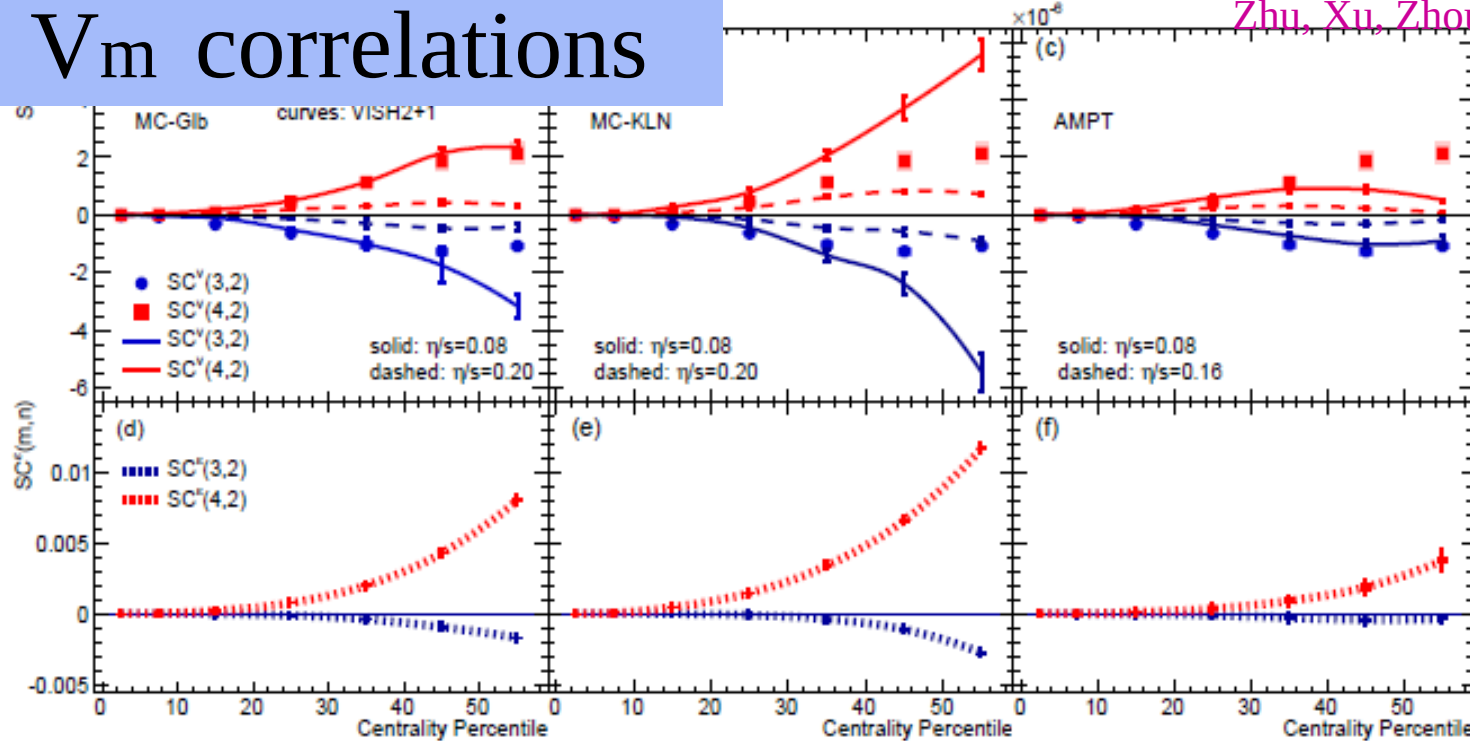
Gale, et al PRL2013



V_n distributions prefer the IP-Glasma initialization and ruled-out the MC-Glauber and MC-KLN initial conditions

V_n V_m correlations

Zhu, Xu, Zhou, Song, 2016



-hydrodynamic simulations correctly capture the sign of $SC^v(3,2)$ and $SC^v(4,2)$

- V_2 and V_4 are correlated

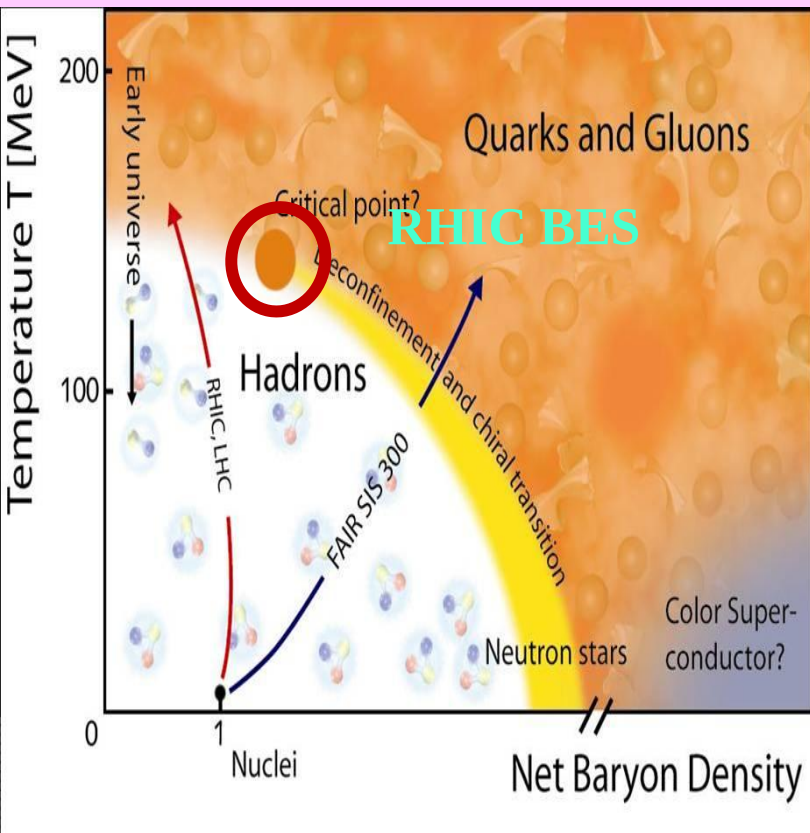
- V_3 and V_4 are anti-correlated

- $SC^v(3,2)$ and $SC^v(4,2)$ are sensitive to both initial conditions and η/s

-provide strong constraint on $\eta/s(T)$

Niemi et al, PRC 2016

Correlated fluctuations near the QCD critical point



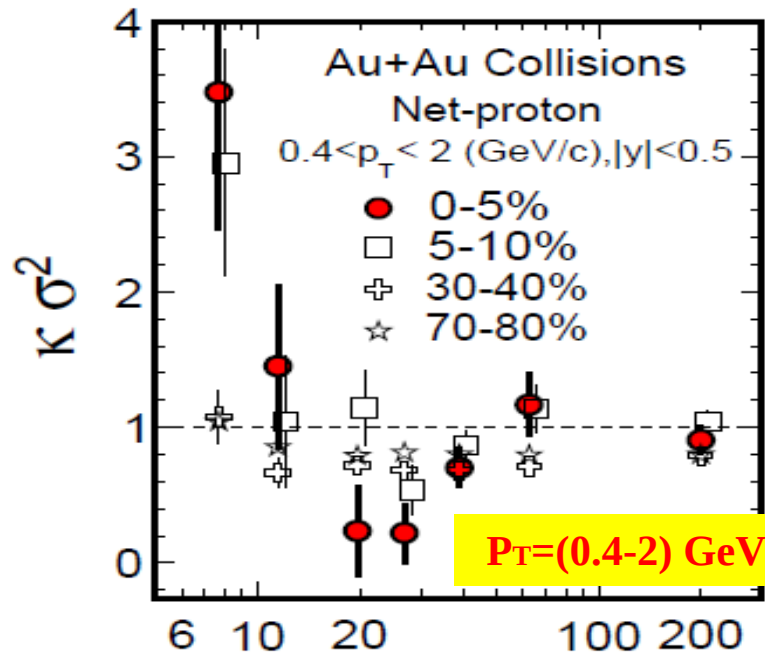
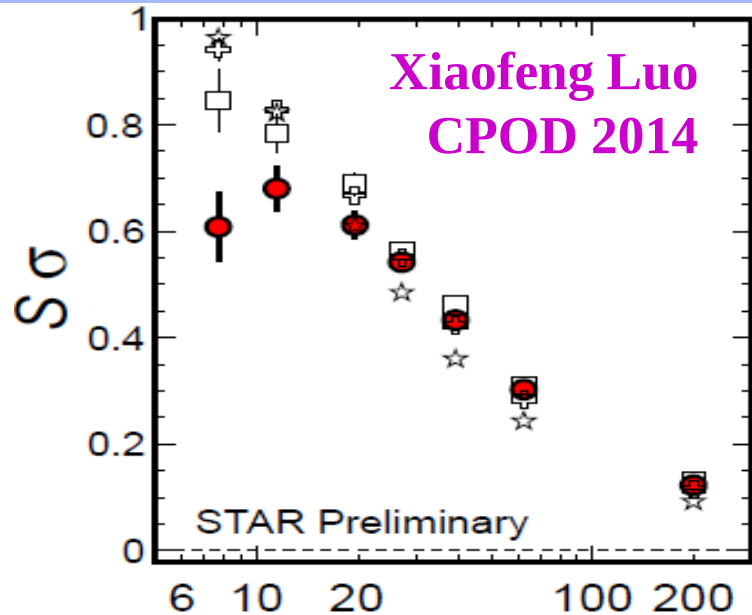
Initial State Fluctuations

- QGP fireball evolutions smear-out the initial fluctuations
- uncorrelated (in general)

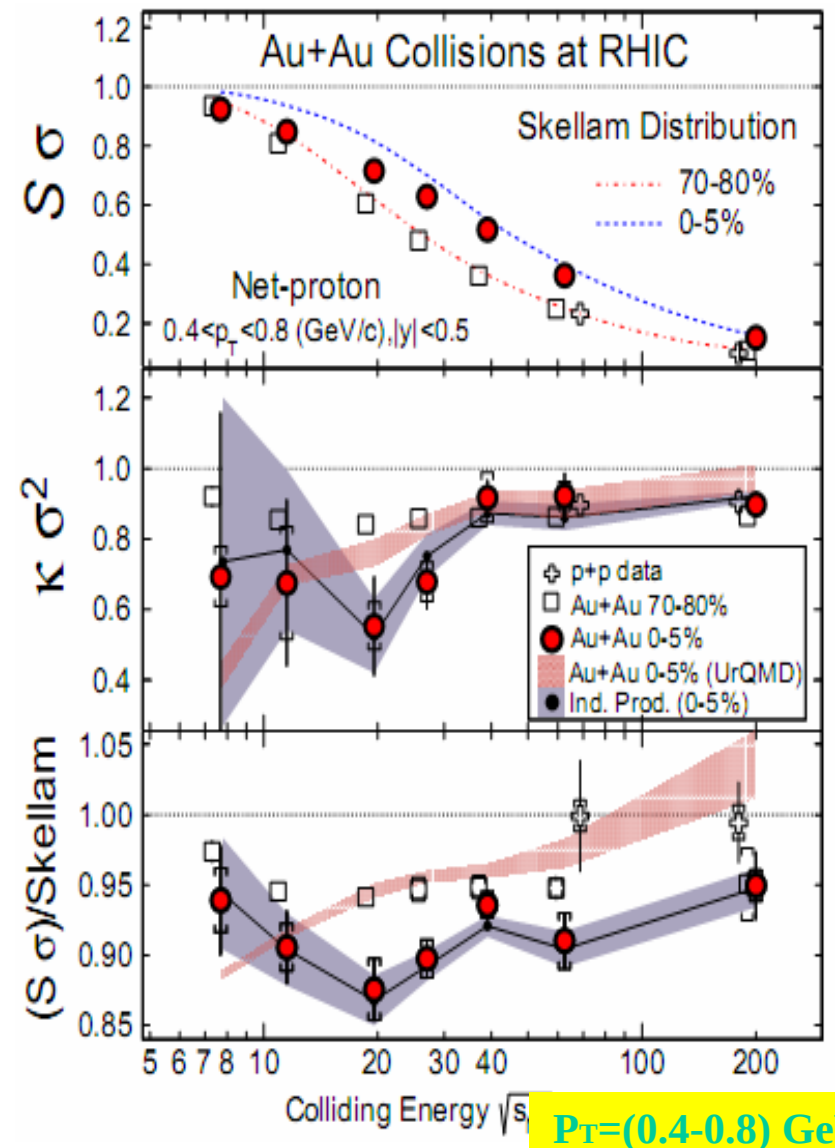
Fluctuations near the critical point

- dramatically increase near T_c
- Strongly correlated

STAR BES: Cumulant ratios



STAR PRL 2014



Theoretical predictions on critical fluctuations

$$P[\sigma] \sim \exp\{-\Omega[\sigma]/T\}, \quad \Omega = \int d^3x \left[\frac{1}{2}(\nabla\sigma)^2 + \frac{m_\sigma^2}{2}\sigma^2 + \frac{\lambda_3}{3}\sigma^3 + \frac{\lambda_4}{4}\sigma^4 + \dots \right]$$

$$\langle \sigma_0^2 \rangle = \frac{T}{V} \xi^2 \quad \langle \sigma_0^3 \rangle = \frac{2\lambda_3 T}{V} \xi^6; \quad \langle \sigma_0^4 \rangle_c = \frac{6T}{V} [2(\lambda_3 \xi)^2 - \lambda_4] \xi^8.$$

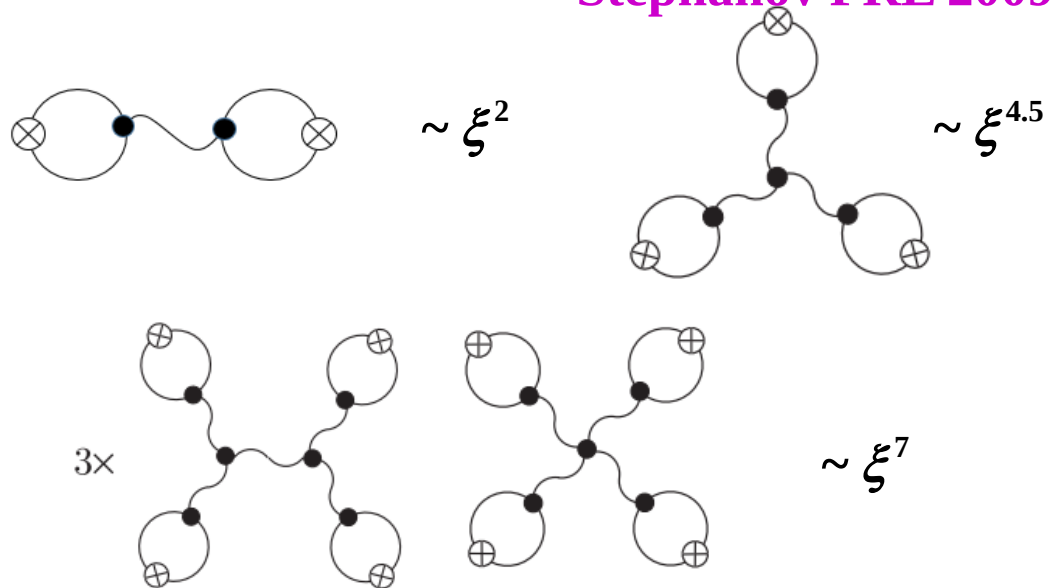
Stephanov PRL 2009

Critical Fluctuations
of particles :

$$\langle (\delta N)^2 \rangle \sim \xi^2$$

$$\langle (\delta N)^3 \rangle \sim \xi^{4.5}$$

$$\langle (\delta N)^4 \rangle \sim \xi^7$$



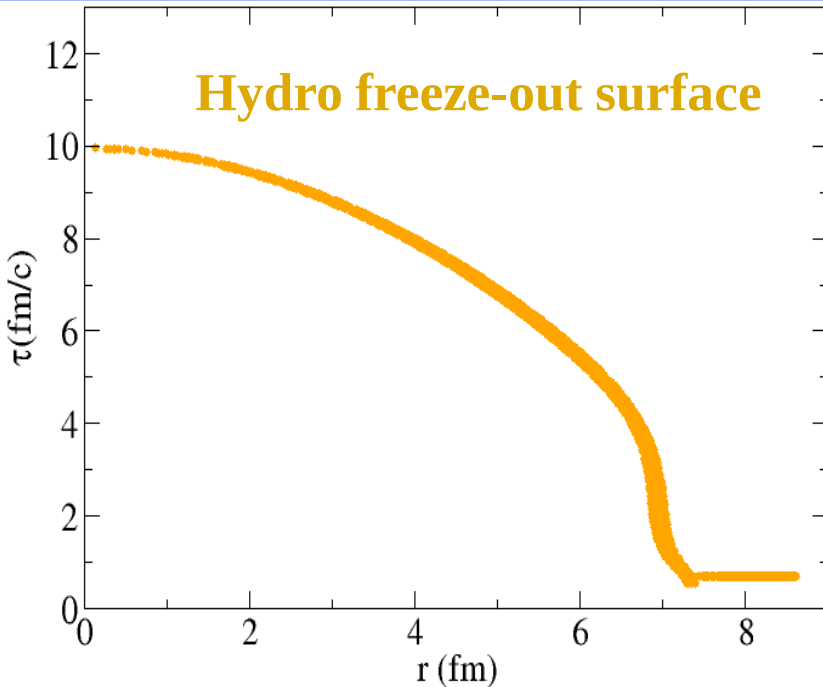
Finite size & finite evolution time: $\xi < O(2-3\text{fm})$

Static critical fluctuations vs. dynamical critical fluctuations

Static critical fluctuations on the freeze-out surface

Jiang, Li & Song, PRC 2016

Particle emissions near T_{cr} with external field



Jiang, Li & Song, PRC 2016

Particle emissions in traditional hydro

$$E \frac{dN}{d^3 p} = \int_{\Sigma} \frac{p_{\mu} d\sigma^{\mu}}{2\pi^3} f(x, p)$$

Particle emissions near T_{cr}

$$M \longrightarrow g\sigma(x)$$

$$\begin{aligned} f(x, p) &= f_0(x, p)[1 - g\sigma(x)/(\gamma T)] \\ &= f_0 + \delta f \end{aligned}$$

$$\langle \delta f_1 \delta f_2 \rangle_{\sigma} = f_{01} f_{02} f_{03} \left(\frac{g^2}{\gamma_1 \gamma_2} \frac{1}{T^3} \right) \langle \sigma_1 \sigma_2 \rangle_c,$$

$$\langle \delta f_1 \delta f_2 \delta f_3 \rangle_{\sigma} = f_{01} f_{02} f_{03} \left(-\frac{g^3}{\gamma_1 \gamma_2 \gamma_3} \frac{1}{T^3} \right) \langle \sigma_1 \sigma_2 \sigma_3 \rangle_c,$$

$$\langle \delta f_1 \delta f_2 \delta f_3 \delta f_4 \rangle_{\sigma} = f_{01} f_{02} f_{03} f_{04} \left(\frac{g^4}{\gamma_1 \gamma_2 \gamma_3 \gamma_4} \frac{1}{T^4} \right) \langle \sigma_1 \sigma_2 \sigma_3 \sigma_4 \rangle_c.$$

CORRELATED particle emissions along the freeze-out surface

$$\langle (\delta N)^2 \rangle_c = \left(\frac{g_i}{(2\pi)^3} \right)^2 \left(\prod_{i=1,2} \left(\frac{1}{E_i} \int d^3 p_i \int_{\Sigma_i} p_{i\mu} d\sigma_i^\mu d\eta_i \right) \right) \frac{f_{01} f_{02}}{\gamma_1 \gamma_2} \frac{g^2}{T^2} \langle \sigma_1 \sigma_2 \rangle_c,$$

$$\langle (\delta N)^3 \rangle_c = \left(\frac{g_i}{(2\pi)^3} \right)^3 \left(\prod_{i=1,2,3} \left(\frac{1}{E_i} \int d^3 p_i \int_{\Sigma_i} p_{i\mu} d\sigma_i^\mu d\eta_i \right) \right) \frac{f_{01} f_{02} f_{03}}{\gamma_1 \gamma_2 \gamma_3} \left(-\frac{g^3}{T^3} \langle \sigma_1 \sigma_2 \sigma_3 \rangle_c \right),$$

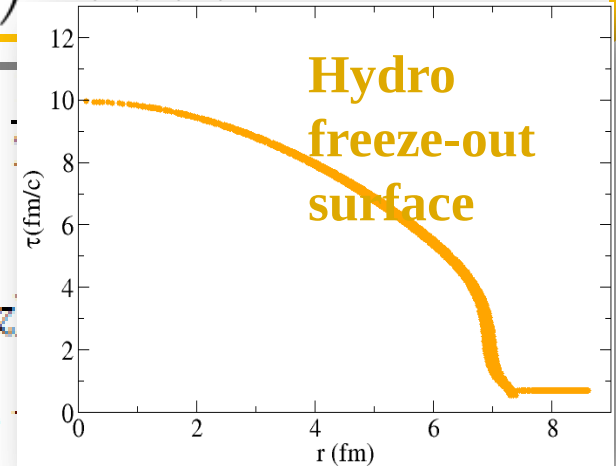
$$\langle (\delta N)^4 \rangle_c = \left(\frac{g_i}{(2\pi)^3} \right)^4 \left(\prod_{i=1,2,3,4} \left(\frac{1}{E_i} \int d^3 p_i \int_{\Sigma_i} p_{i\mu} d\sigma_i^\mu d\eta_i \right) \right) \frac{f_{01} f_{02} f_{03} f_{04}}{\gamma_1 \gamma_2 \gamma_3 \gamma_4} \frac{g^4}{T^4} \langle \sigma_1 \sigma_2 \sigma_3 \sigma_4 \rangle_c$$

$$P[\sigma] \sim \exp \{-\Omega[\sigma]/T\}, \quad \Omega[\sigma] = \int d^3 x \left[\frac{1}{2} (\nabla \sigma)^2 + \dots \right]$$

$$\langle \sigma_1 \sigma_2 \rangle_c = TD(x_1 - x_2),$$

$$\langle \sigma_1 \sigma_2 \sigma_3 \rangle_c = -2T^2 \lambda_3 \int d^3 z D(x_1 - z) D(x_2 - z) D(x_3 - z)$$

$$\begin{aligned} \langle \sigma_1 \sigma_2 \sigma_3 \sigma_4 \rangle_c = & -6T^3 \lambda_4 \int d^3 z D(x_1 - z) D(x_2 - z) D(x_3 - z) D(x_4 - z) \\ & + 12T^3 \lambda_3^2 \int d^3 u \int d^3 v D(x_1 - u) D(x_2 - u) D(x_3 - v) D(x_4 - v) D(u - v). \end{aligned}$$

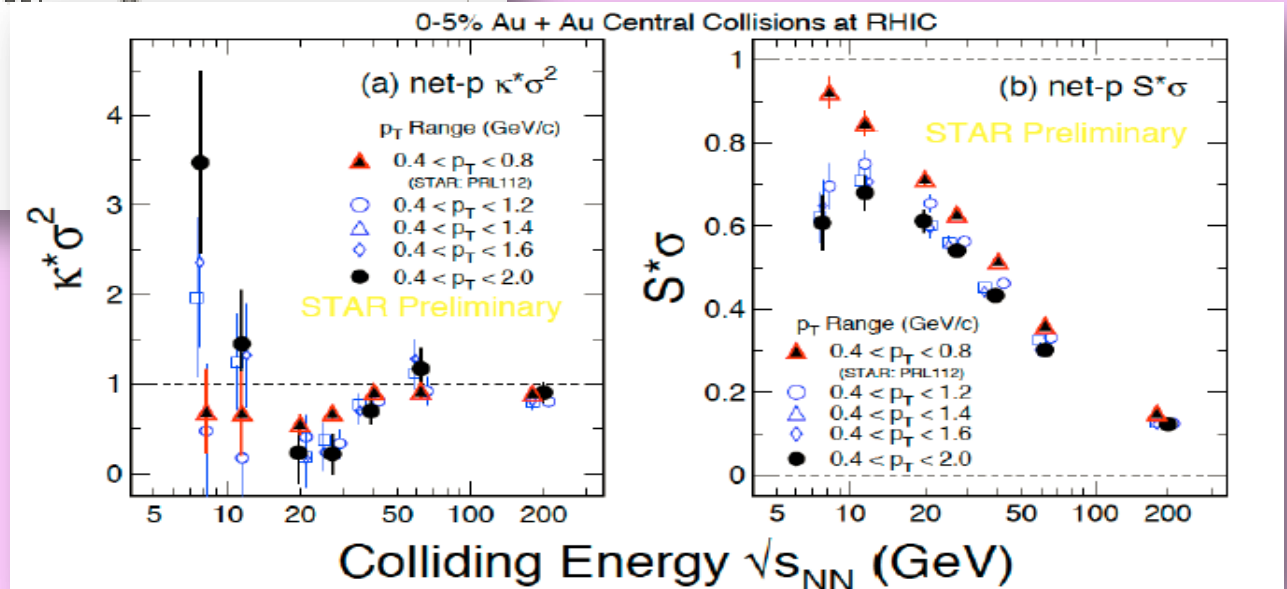
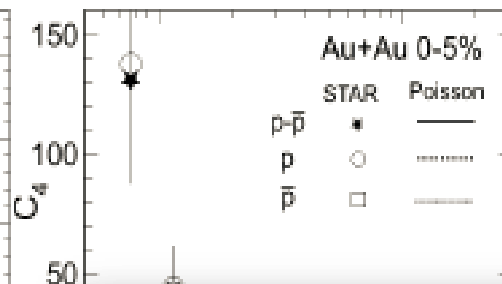
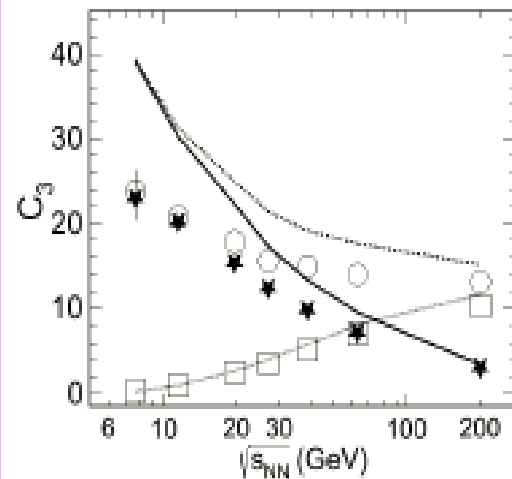
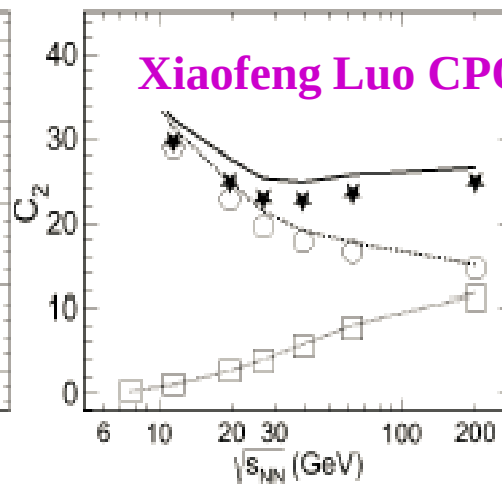
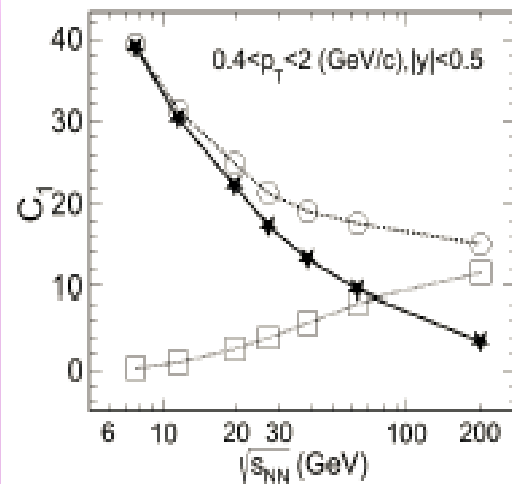


For simplicity: We assume that the correlated sigma field only influence the particle emissions near T_c , which does not influence the evolution of the bulk matter

-- Static critical fluctuations along the freeze-out surface

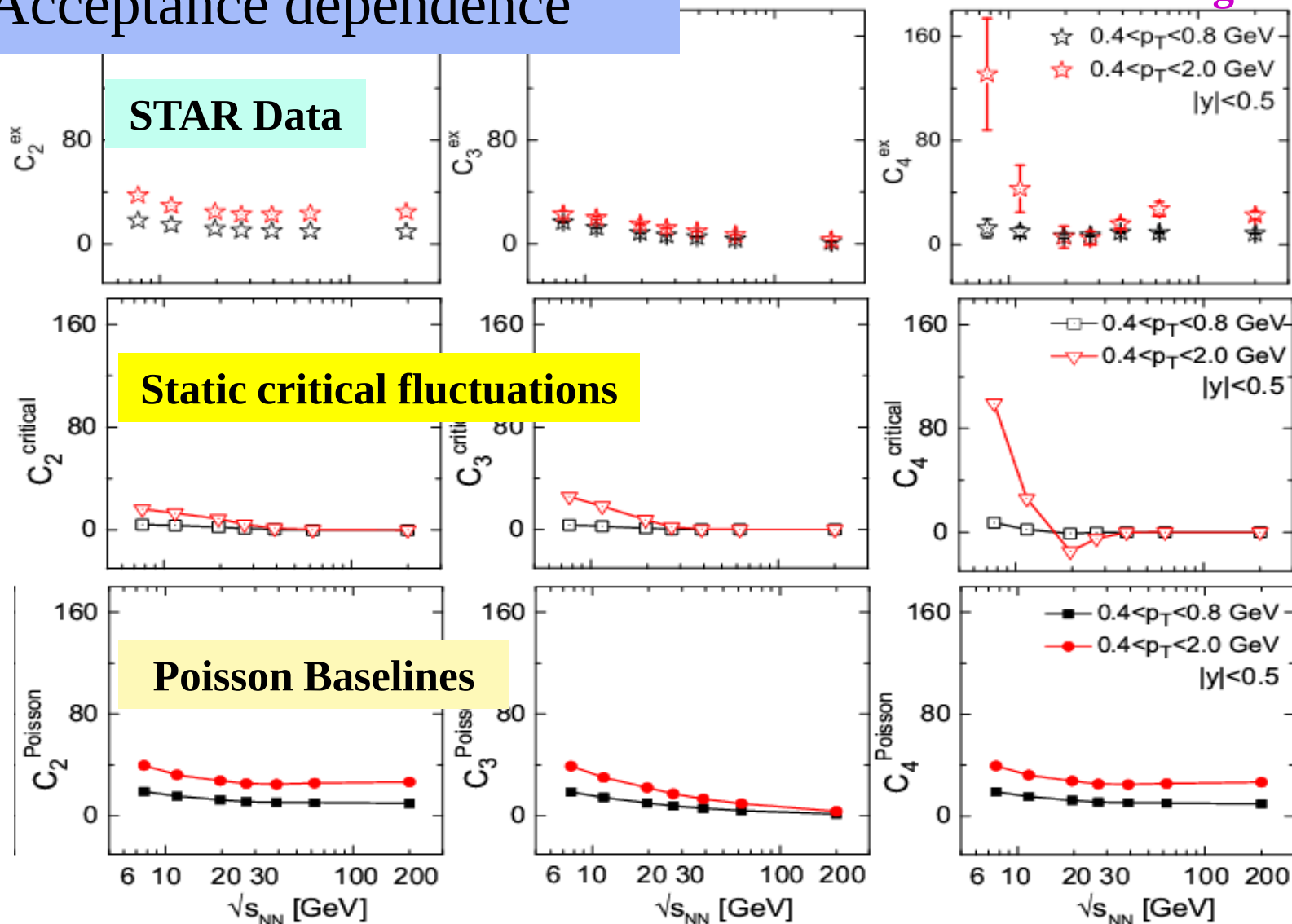
Comparison with the experimental data

- Cumulants & cumulant ratios
- Acceptance dependence



Acceptance dependence

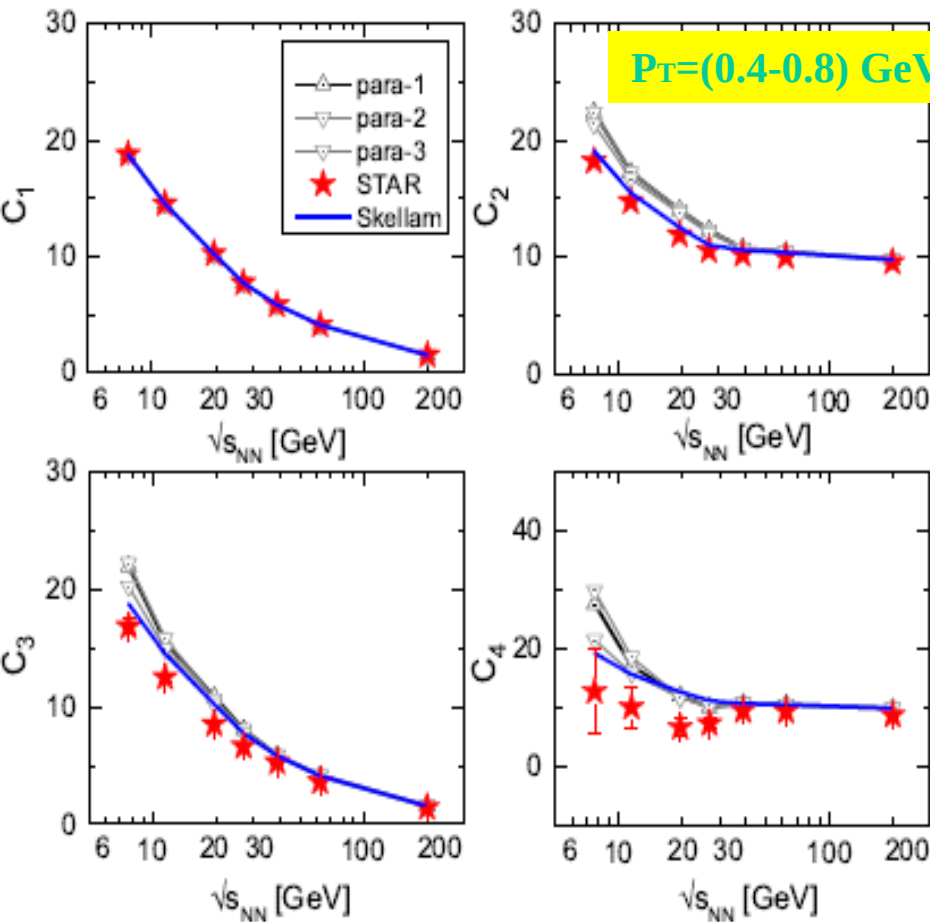
Notes from Jiang & Song



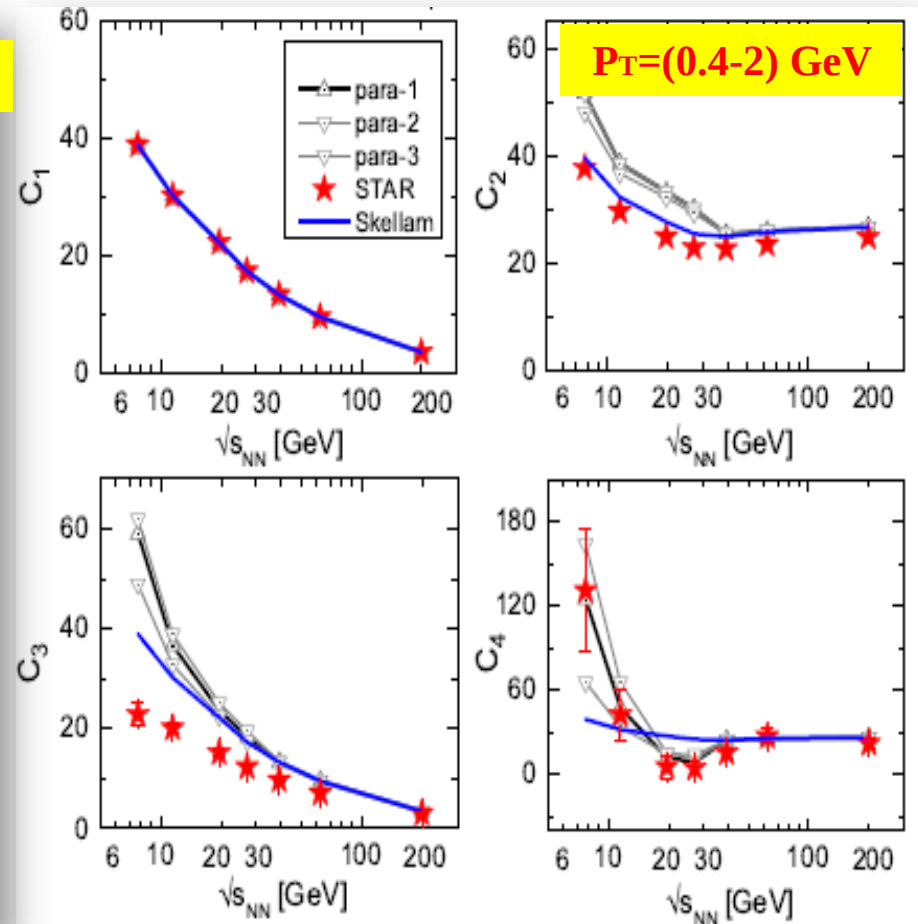
-Static critical fluctuations can qualitatively explain the acceptance dependence of the STAR data

Cumulants of net protons

Net Protons 0-5%



Jiang, Li & Song, PRC2016



Static critical fluctuations give positive contribution to C_2 , C_3 ; well above the poisson baselines, can NOT explain/describe the C_2 , C_3 data

Dynamical Critical Fluctuations

Effects from dynamical evolutions

$$\partial_{\tau} P(\sigma; \tau) = \frac{1}{m_{\sigma}^2 \tau_{\text{eff}}} \left[\partial_{\sigma} \left[\partial_{\sigma} \Omega_0(\sigma) + V_4^{-1} \partial_{\sigma} \right] P(\sigma; \tau) \right]$$

near-equilibrium limit:

$$\delta \kappa_n = \kappa_n - \kappa_n^{\text{eq}}$$

Signs of skewness & kurtosis

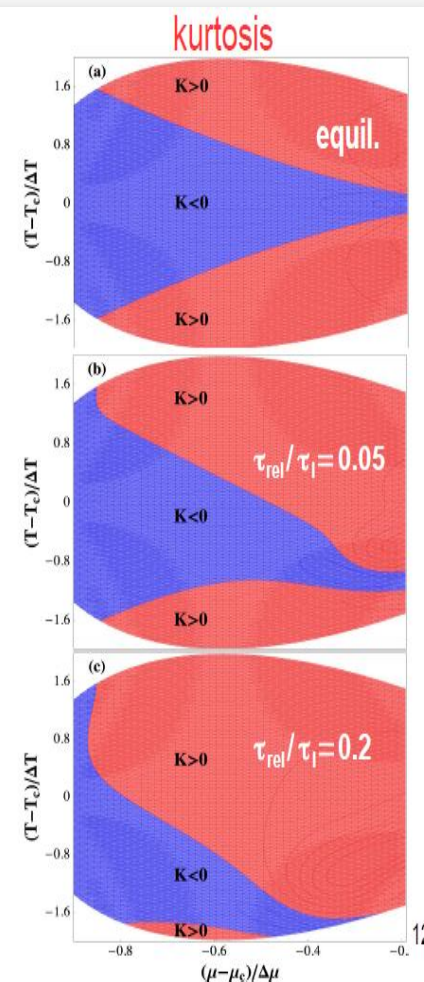
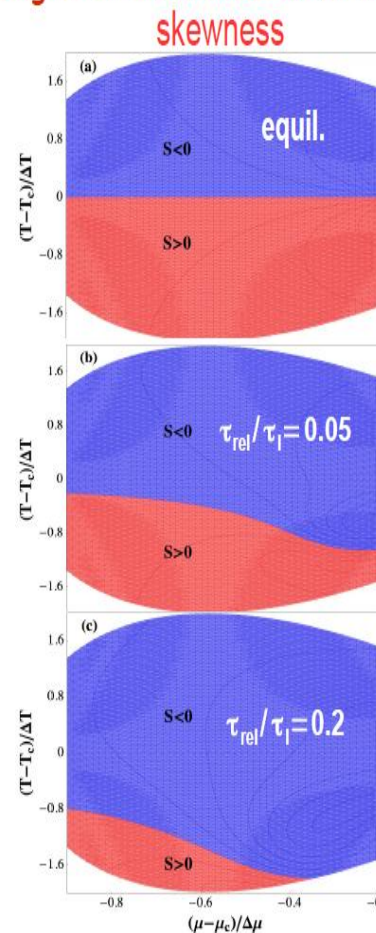
$$\partial_{\tau} \kappa_2 = -2 \tau_{\text{eff}}^{-1} a_2 \delta \kappa_2$$

$$\partial_{\tau} \kappa_3 = -3 \tau_{\text{eff}}^{-1} [a_2 \delta \kappa_2 + a_3 \delta \kappa_3]$$

$$\partial_{\tau} \kappa_4 = -4 \tau_{\text{eff}}^{-1} [a_2 \delta \kappa_2 + a_3 \delta \kappa_3 + a_4 \delta \kappa_4]$$

S. Mukherjee, R. Venugopalan,
Y. Yin, PRC92 (2015)

**sign of non-Gaussian
cumulants can be different
from equilibrium one**

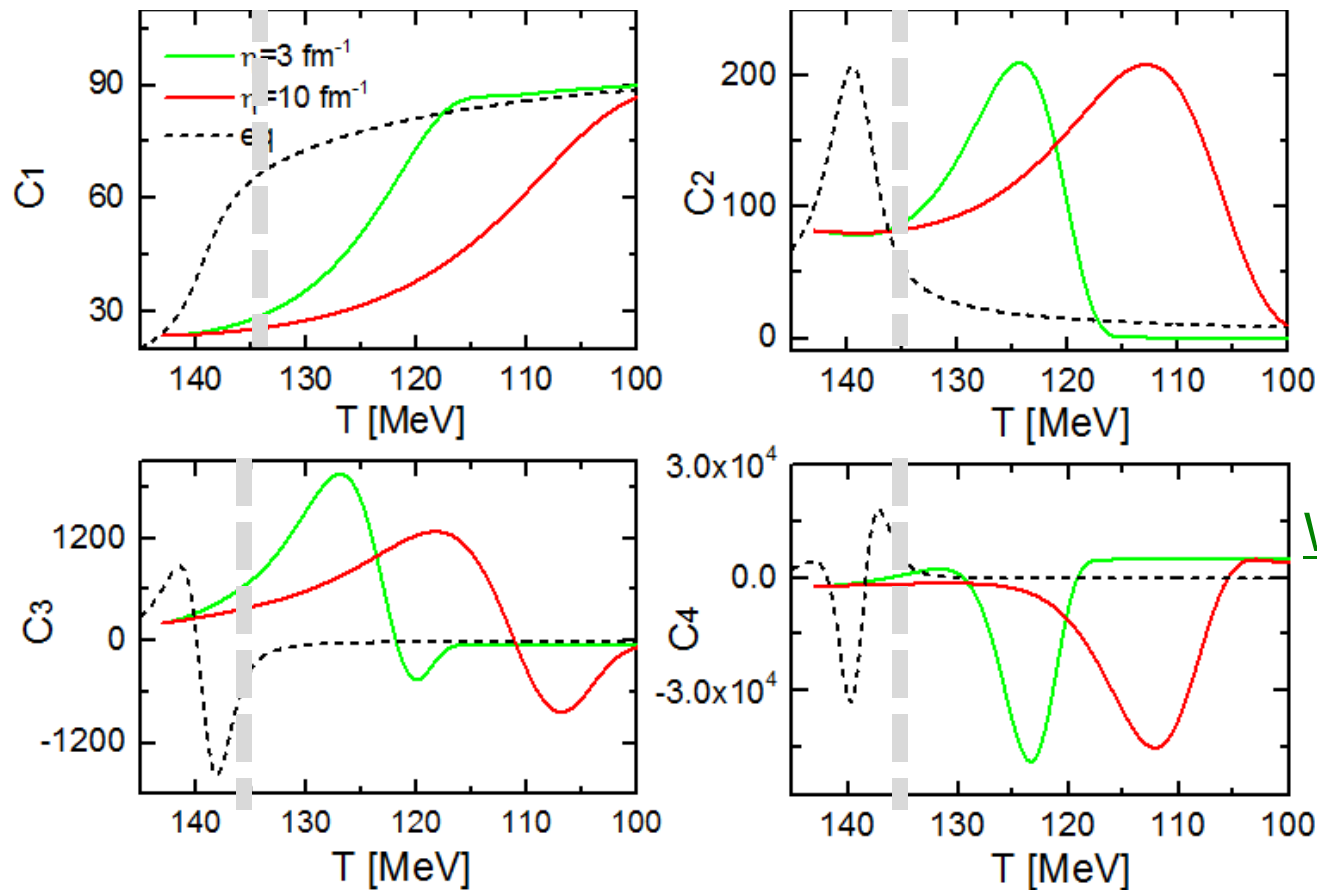


Dynamical critical fluctuations of the sigma field

Langevin dynamics: $\partial^\mu \partial_\mu \sigma(t, x) + \eta \partial_t \sigma(t, x) + V'_{eff}(\sigma) = \xi(t, x)$

with effective potential from linear sigma model with constituent quarks

$$V_{eff}(\sigma) = U(\sigma) + \Omega_{\bar{q}q}(T, \sigma) = \frac{\lambda^2}{4} (\sigma^2 - \nu^2)^2 - h_q \sigma - U_0 - 2d_q T \int \frac{d^3 p}{(2\pi)^3} \ln \left(1 + \exp \left(-\frac{E}{T} \right) \right)$$



-The sign of C_3 is different from the equilibrium one due to the memory effects

Work in the near future

Coupling sigma field with particles; Study the dynamical critical fluctuations of net protons

Preliminary

Jiang & Song, in preparation

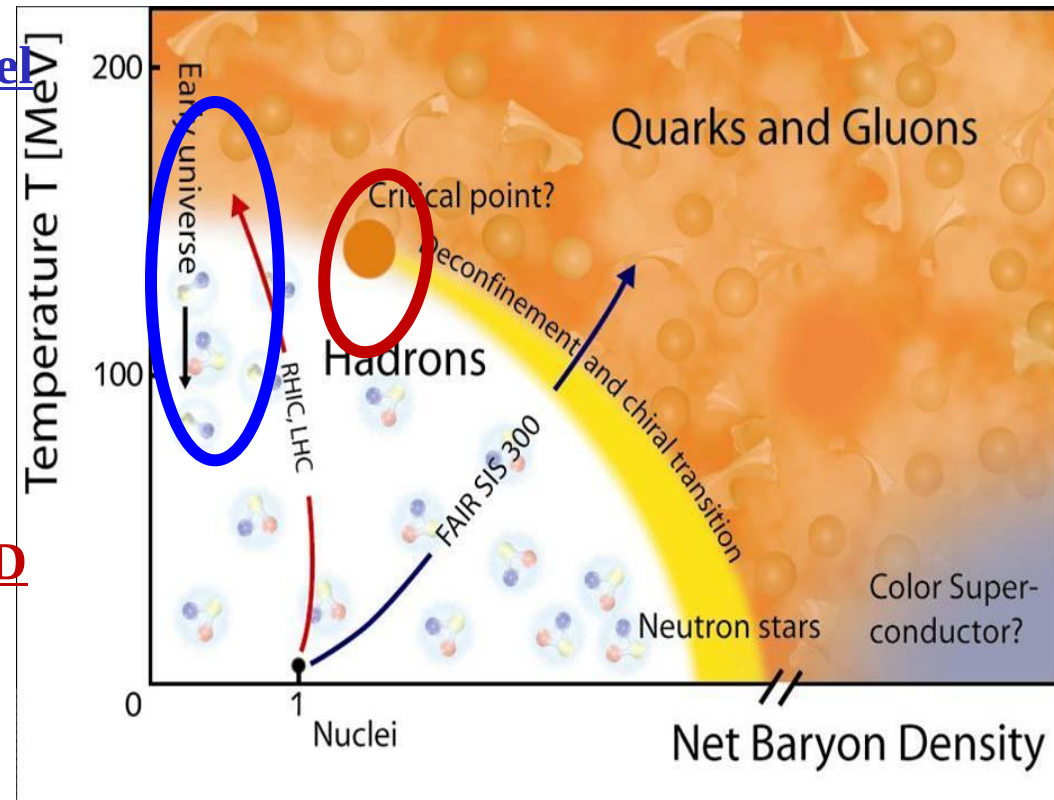
Summary

Hydrodynamics & its hybrid model

- QGP viscosity
- initial state fluctuations. & final state correlations
- chemical & thermal freeze-out

Dynamical modeling near the QCD critical point

- Correlated fluctuations



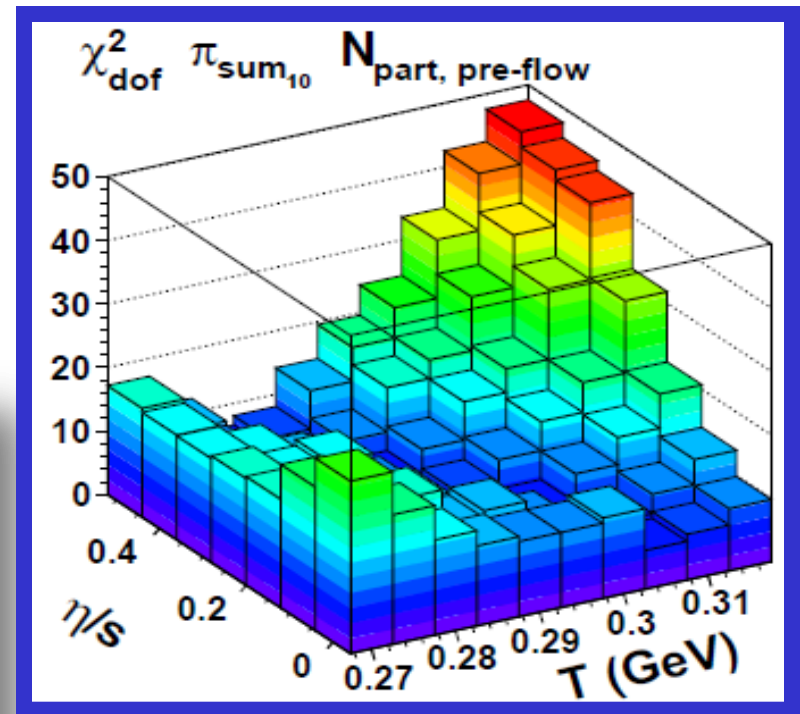
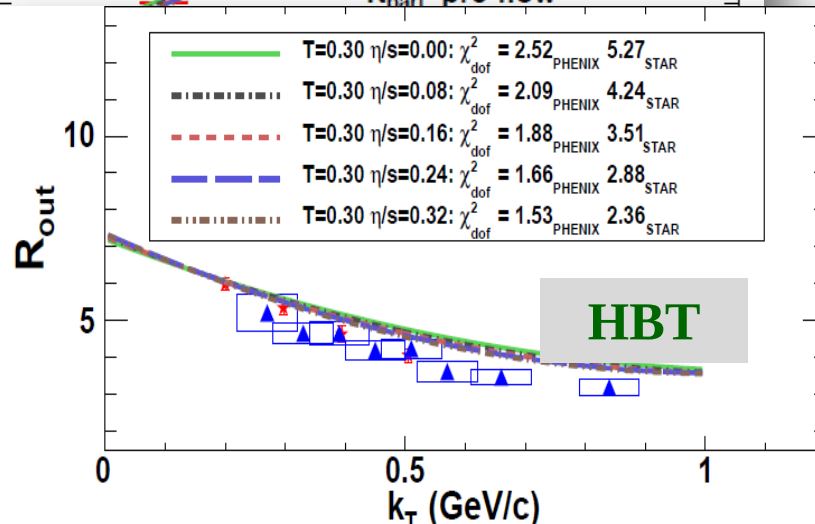
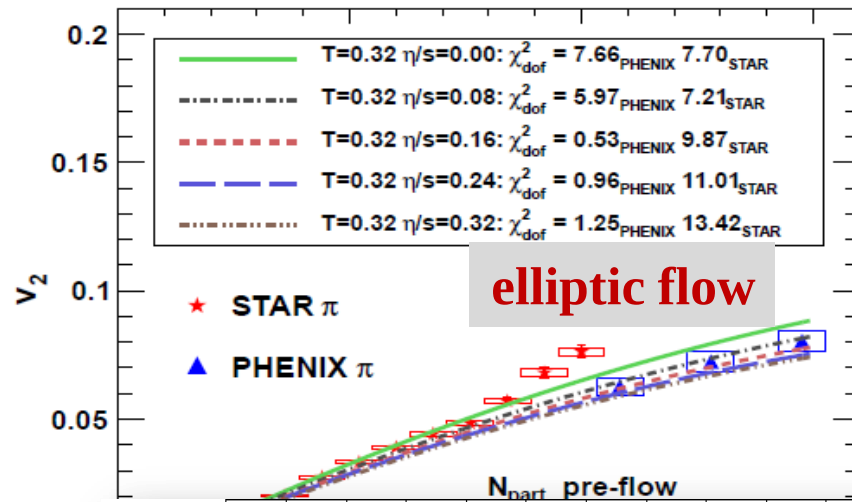
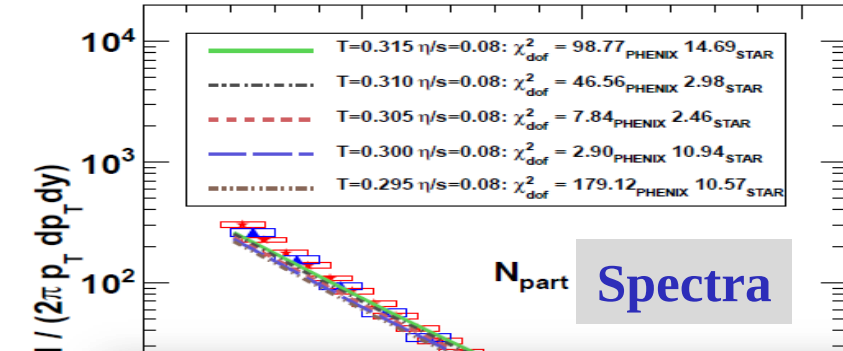
- Ever increasing/precise experimental data at different colliding systems provide valuable information on the properties of the QGP and the QCD phase diagram
- Sophisticated dynamical model are need to be further developed

Thank You

Massive data evaluation

Early CHIMERA Results

(Comprehensive Heavy Ion Model Evaluation and Reporting Algorithm)

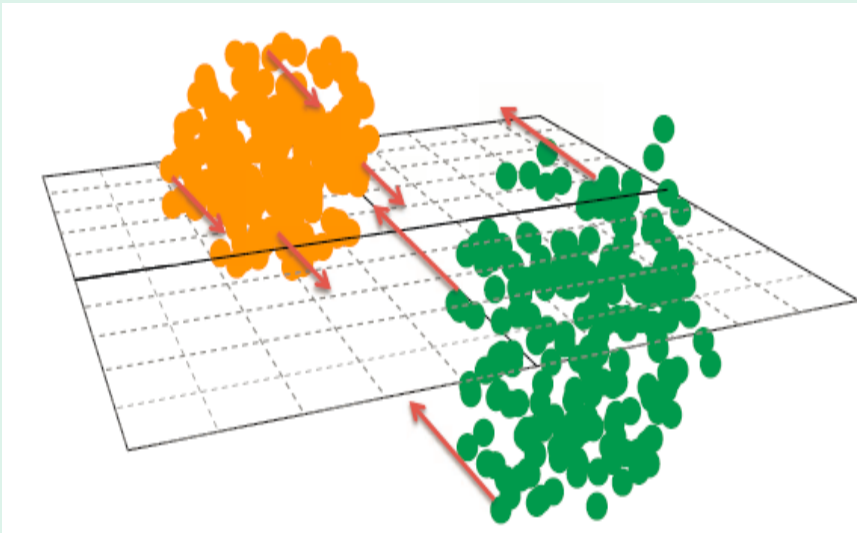


R. Soltz, et al., PRC2013

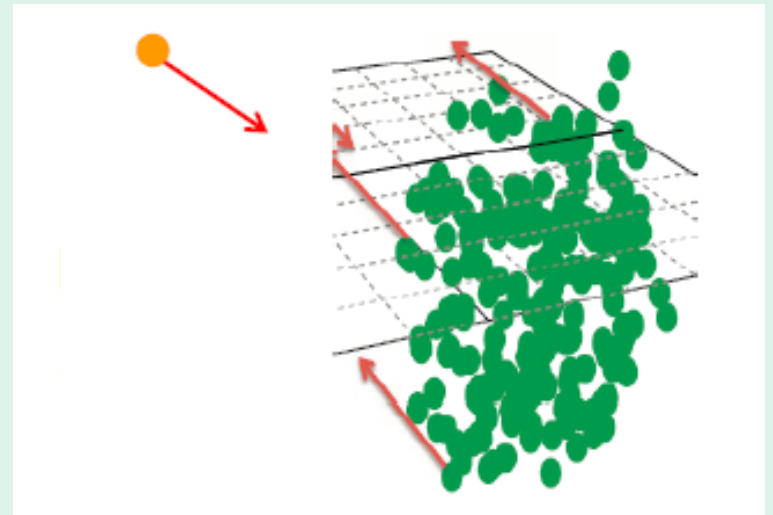
Fluctuations and Correlations in smaller systems

-p+Pb collisions at 5 TeV

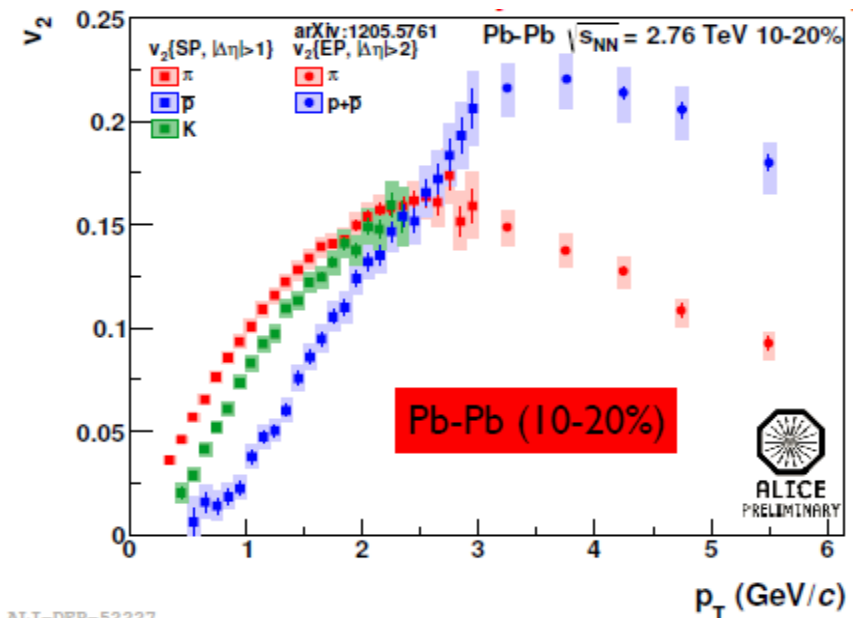
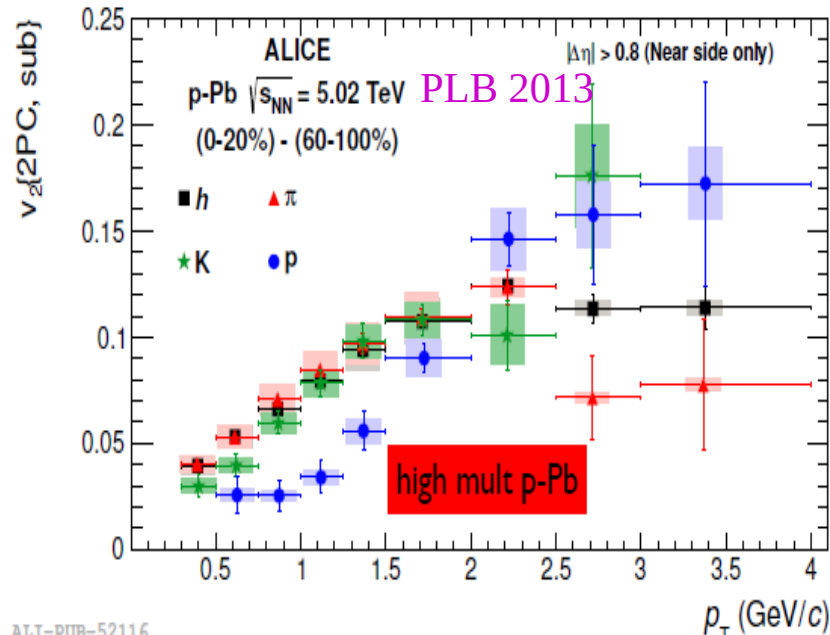
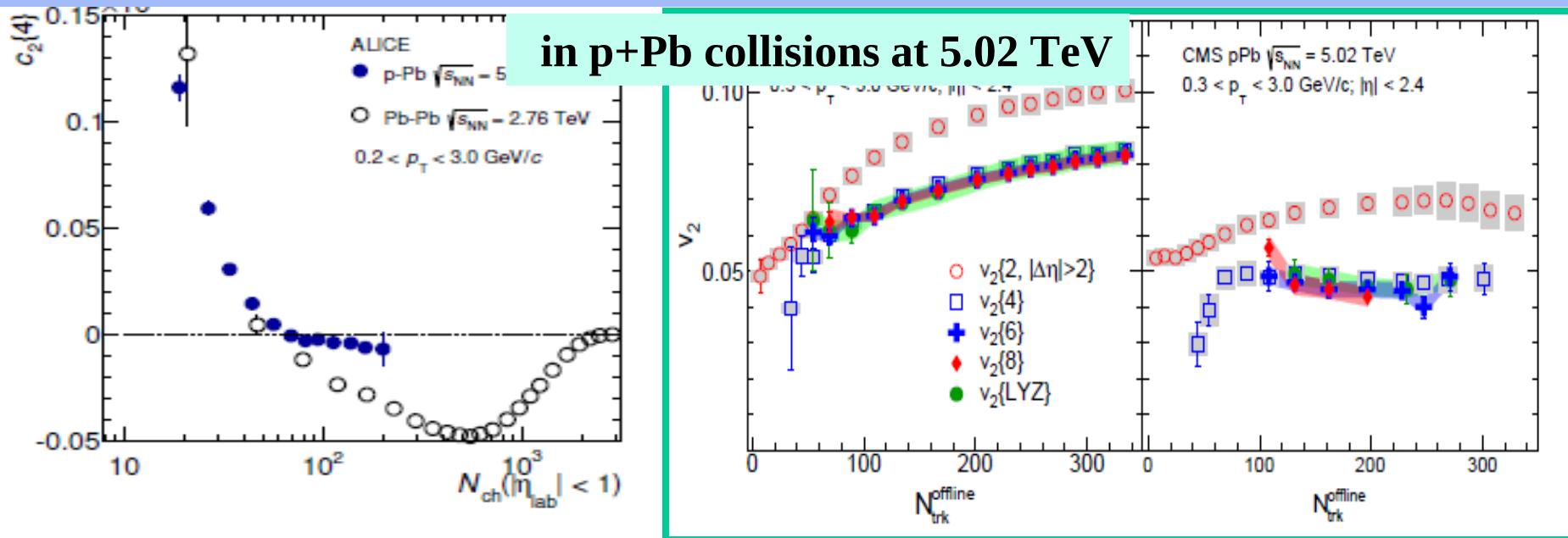
Pb+Pb



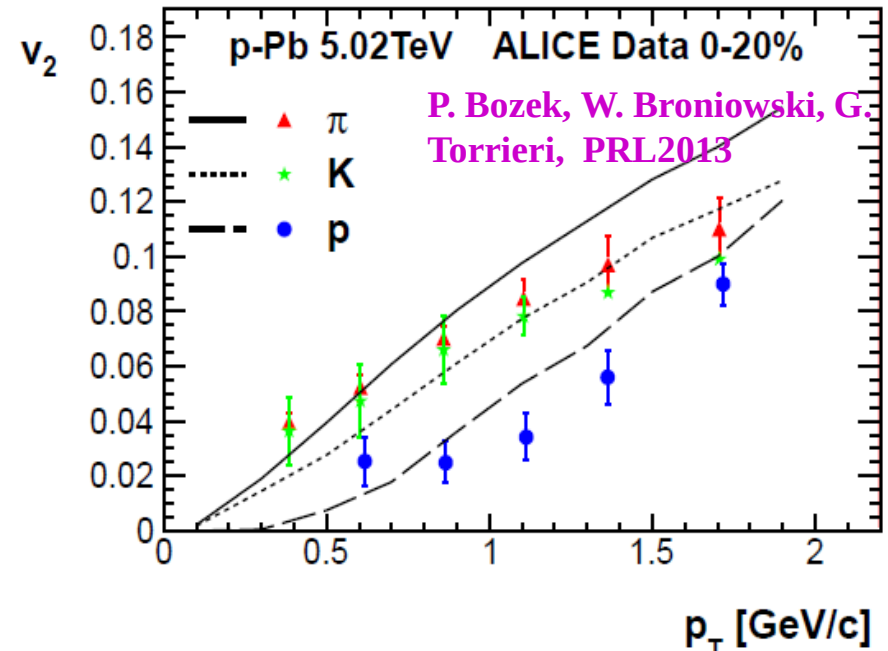
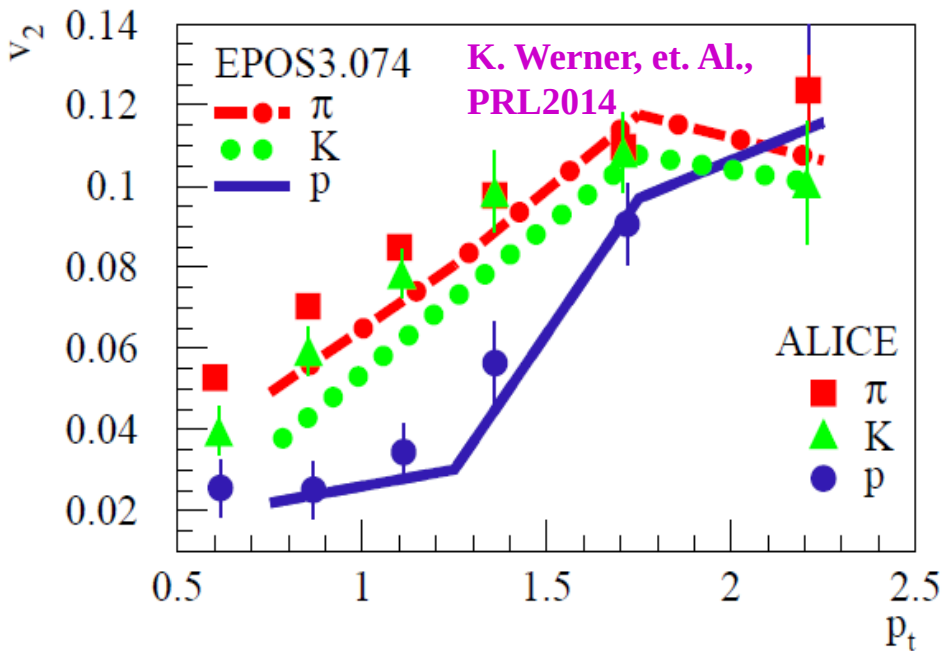
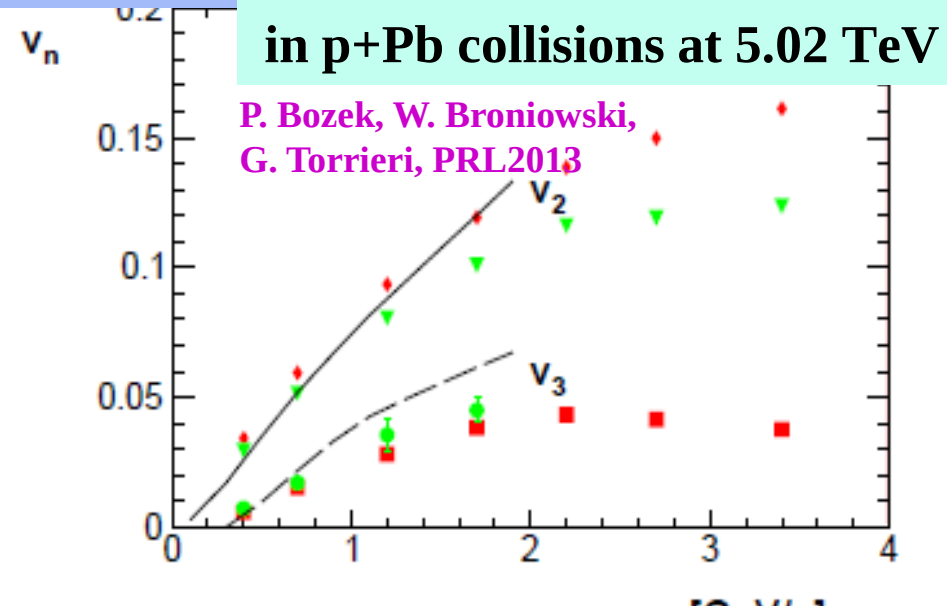
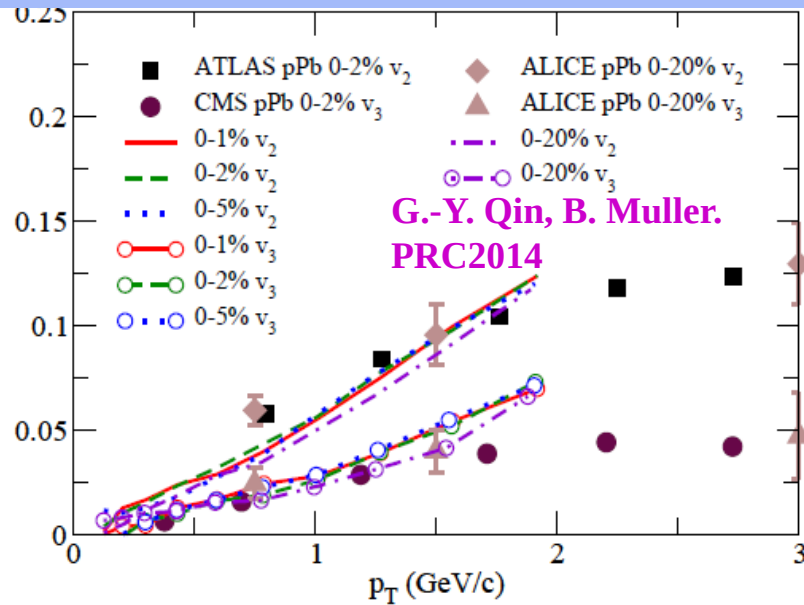
p+Pb



Collective flow -- Experimental Observations



Collective flow? -- Hydrodynamics Simulations

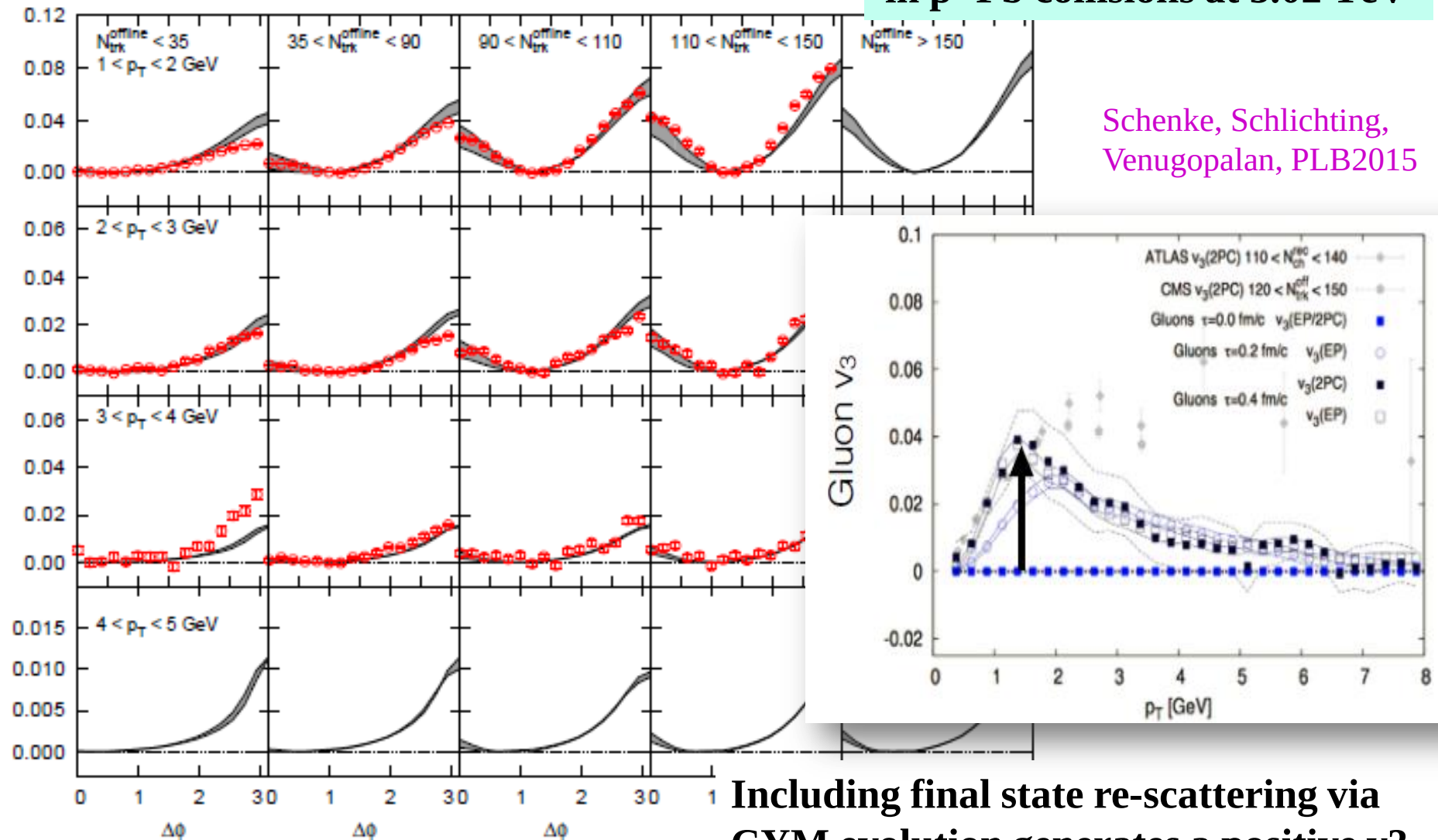


Correlations from initial state

Dusling & Venugopalan PRD 2013

in p+Pb collisions at 5.02 TeV

Schenke, Schlichting,
Venugopalan, PLB2015



Including final state re-scattering via
CYM evolution generates a positive v_3
on the time scale of a single scattering

Where dose the correlations (collective flow) in 5.02 TeV p-Pb collisions come from?

- Initial State?
- QGP ?
- Hadronic matter ?

UrQMD Baseline Calculations

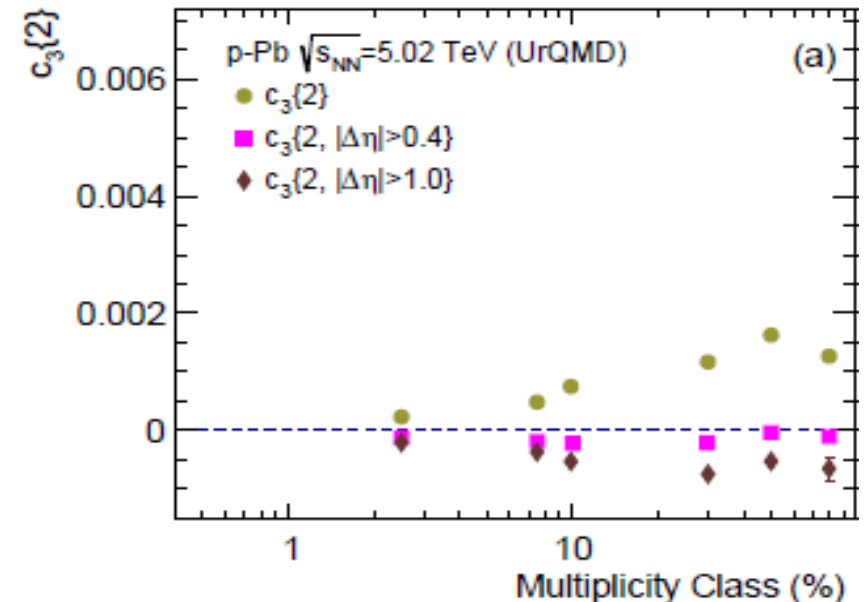
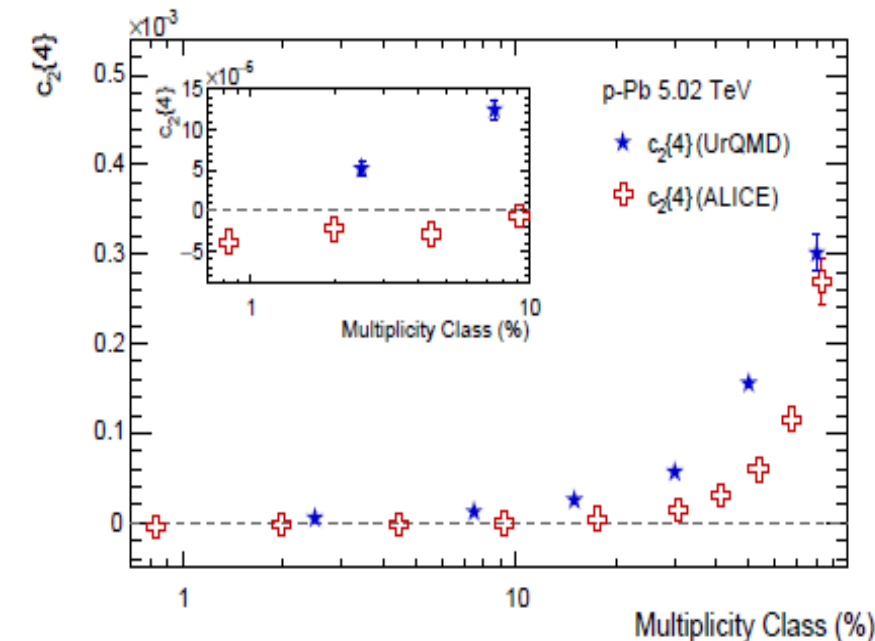
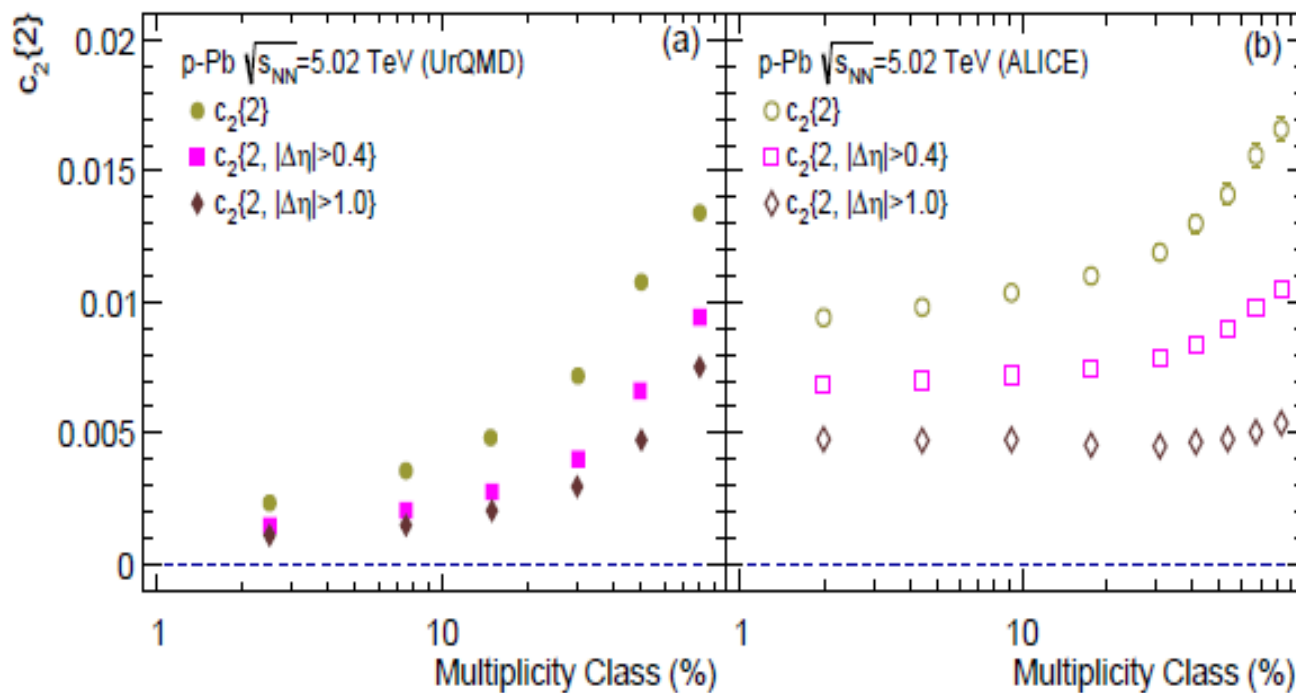
Zhou, Zhu, Li, Song, PRC 2015

Assumption: p-Pb collisions only produce hadronic systems without reach the thresh hold of the QGP formation

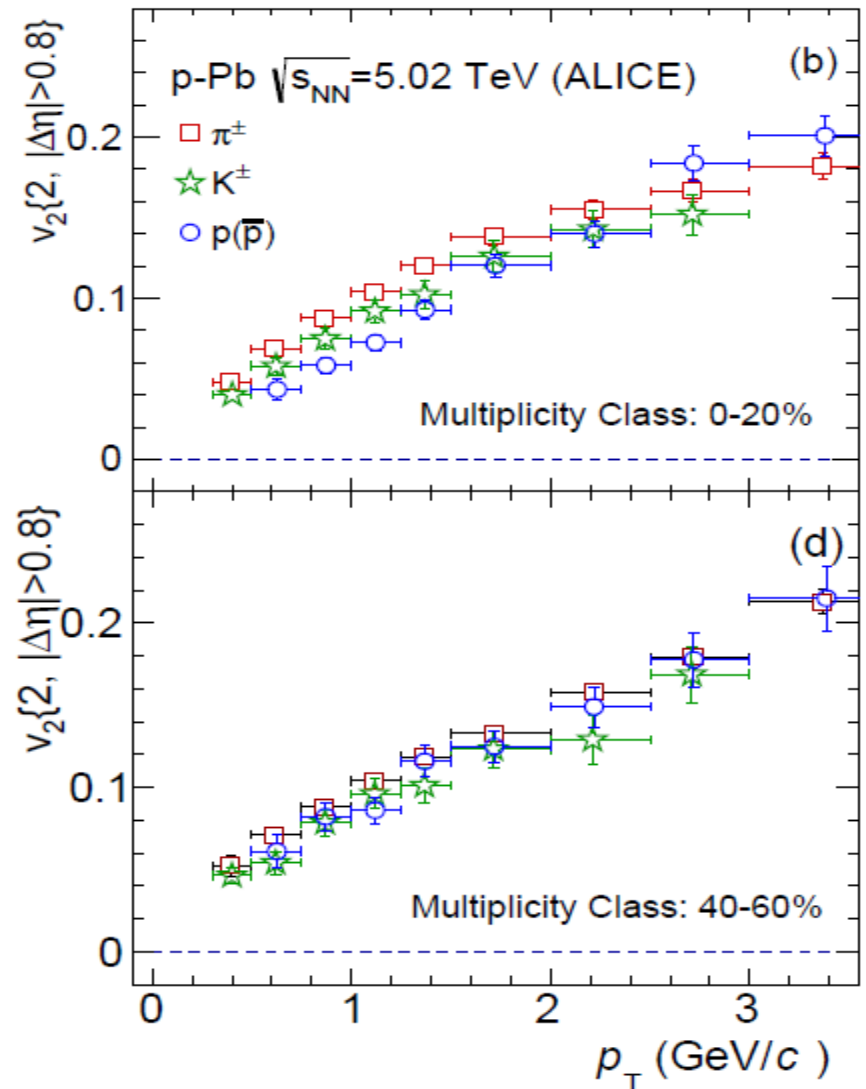
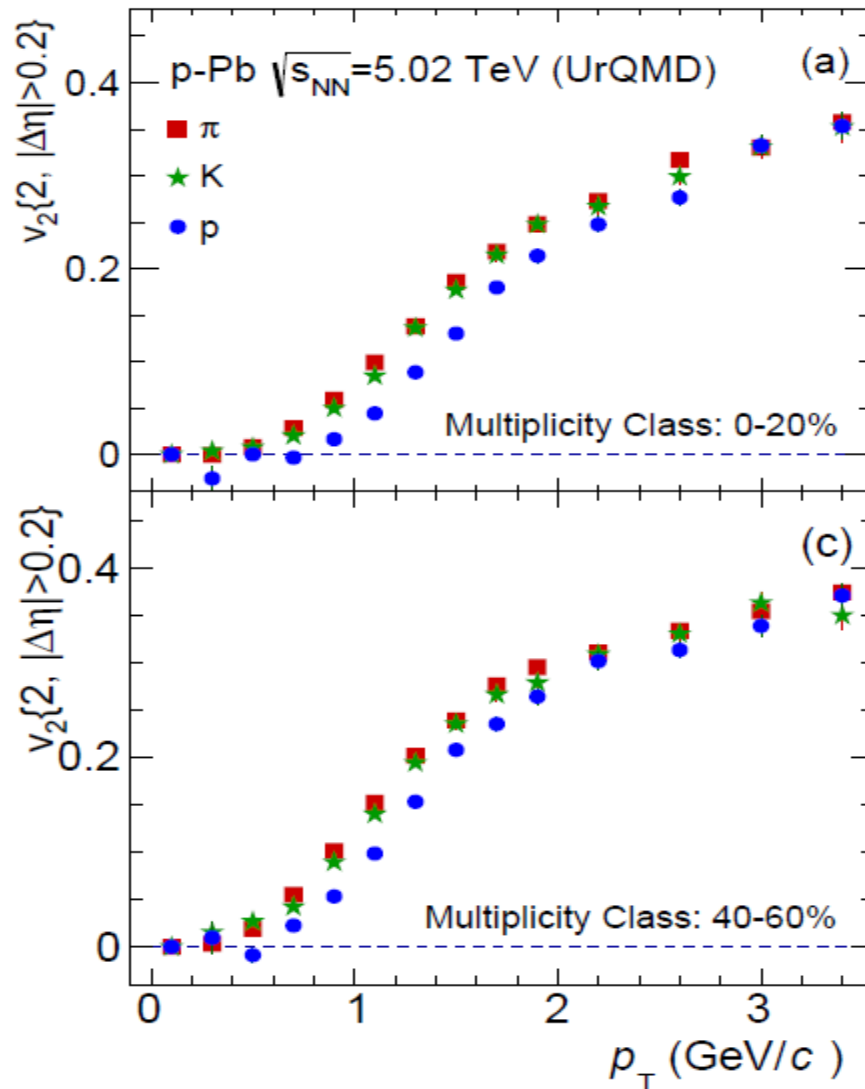
Zhou, Zhu, Li,
Song, PRC2015

-The UrQMD systems are largely influenced by non-flow effects

-To reproduce the flow data, effects from initial state and/or QGP are needed



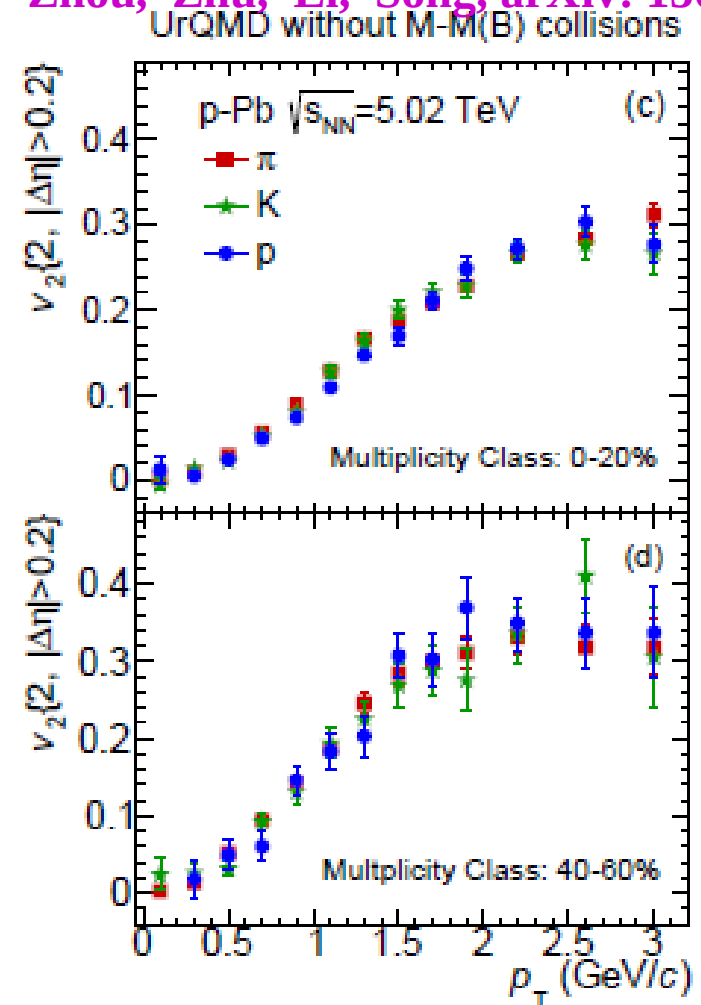
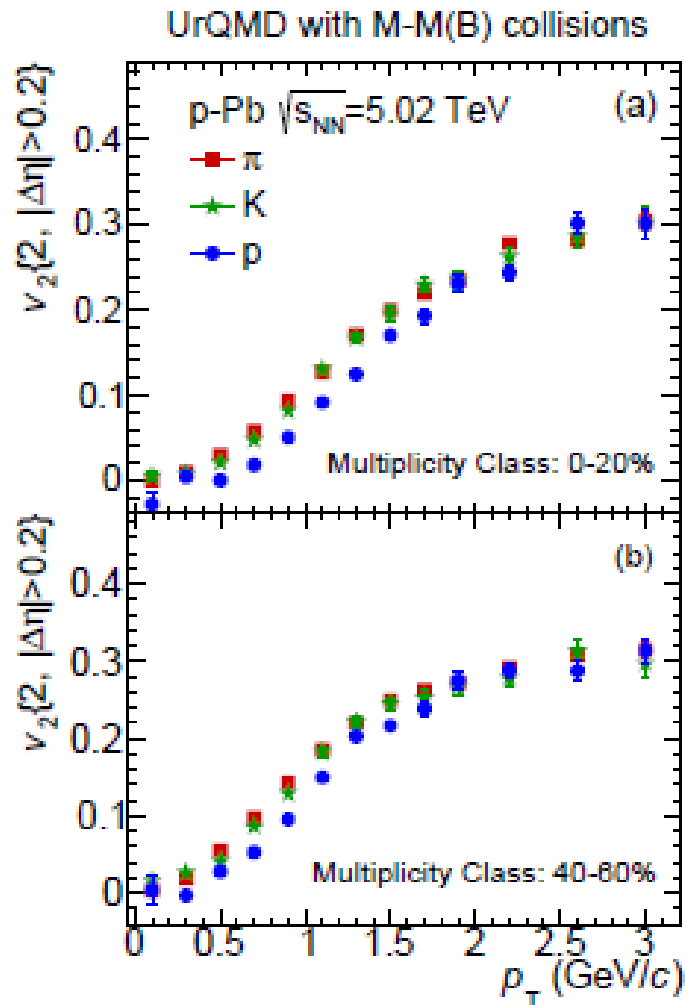
V_2 mass ordering in p+Pb collisions at 5.02 TeV



v_2 mass ordering is produced by UrQMD, similar to the ALICE data

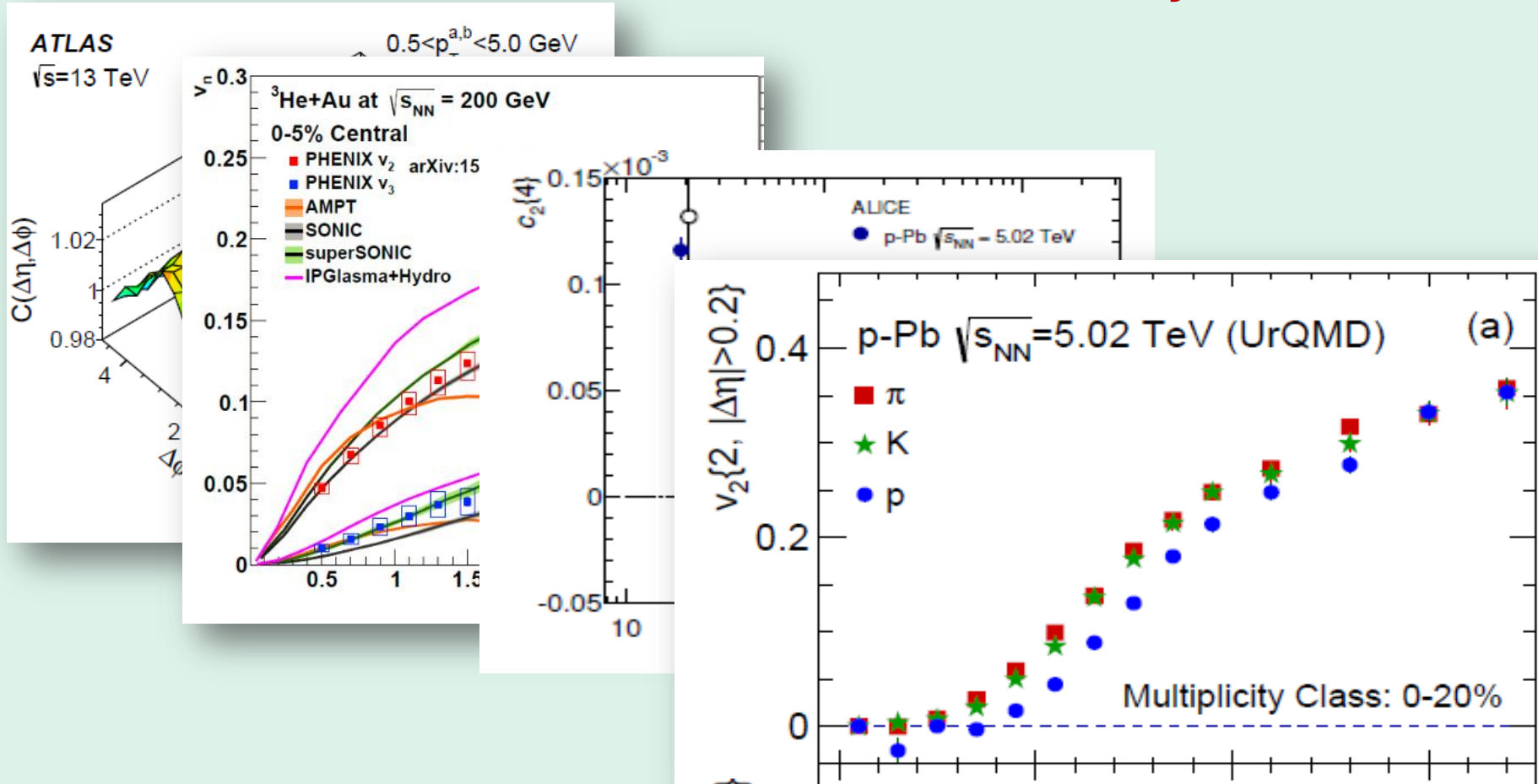
Hadronic interactions & v2 mass ordering

Zhou, Zhu, Li, Song, arXiv: 1503.06986



- Hadronic interaction can generate a mass ordering for 2- particle correlations
- Additive quark model: different M-M M-B cross-sections

Fluctuations and Correlations in small systems



Many many related flow measurements for different small colliding system,

- What is the solid flow signal?
- Why hydrodynamics work well for such small system

Boltzmann approach with external field

Stephanov PRD 2010

$$\mathcal{S} = \int d^3\mathbf{x} \frac{1}{2} (\partial_\mu \sigma \partial^\mu \sigma - U(\sigma)) - \int ds M(\sigma),$$

$$\left\{ \begin{array}{l} \partial^2 \sigma + dU/d\sigma + (dM/d\sigma) \int_p f/\gamma = 0. \\ \frac{p^\mu}{M} \frac{\partial f}{\partial x^\mu} + \partial^\mu M \frac{\partial f}{\partial p^\mu} + C[f] = 0, \end{array} \right.$$

-analytical solution with perturbative expansion, please refer to Stephanov PRD 2010

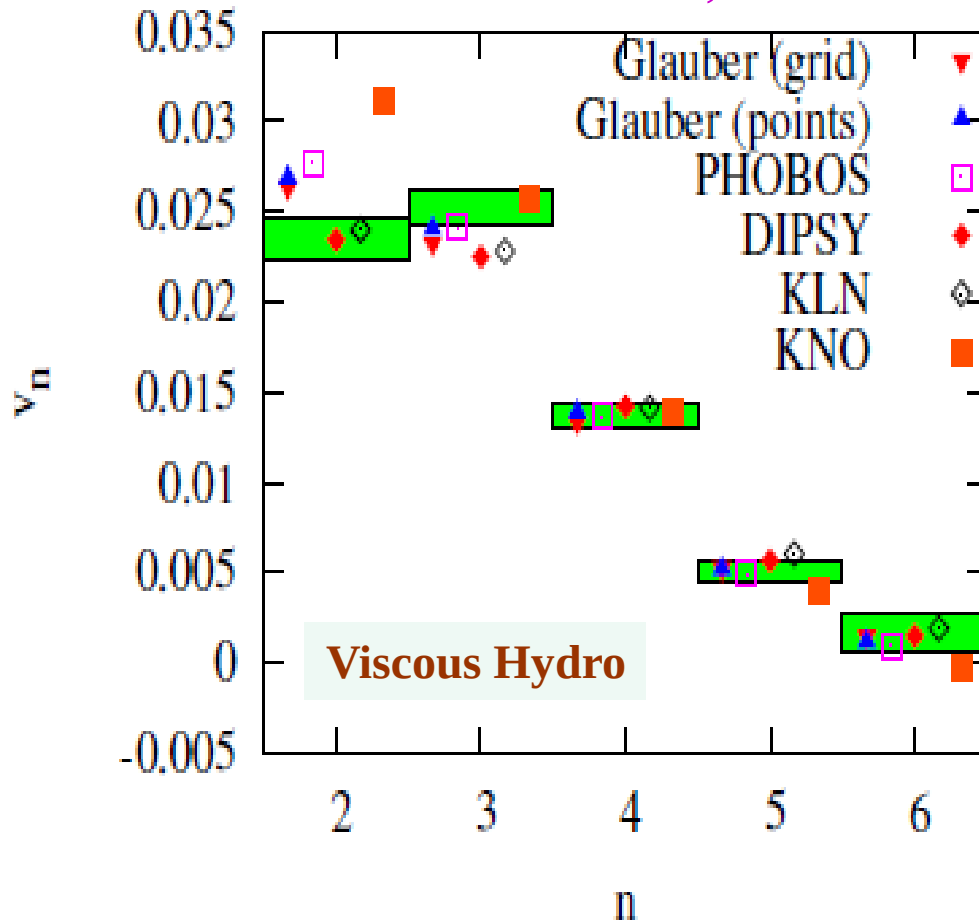
Stationary solution for the Boltzmann equation with external field

$$f_\sigma(\mathbf{p}) = e^{\mu/T} e^{-\gamma(\mathbf{p})M/T}.$$

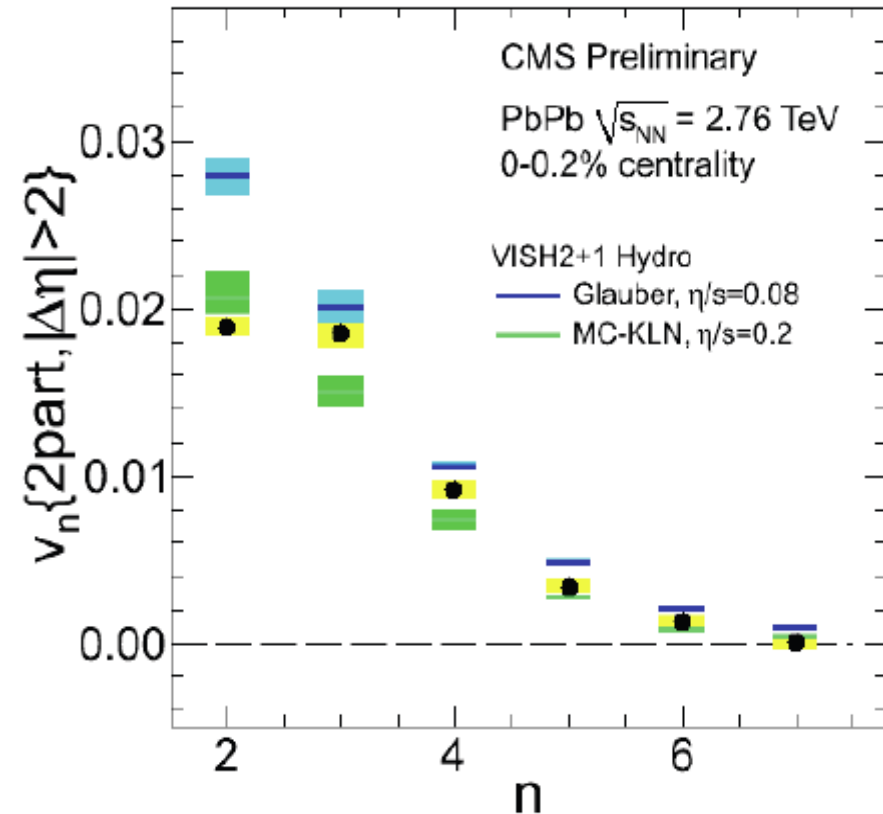
Effective particle mass: $M = M(\sigma) = g\sigma$

Extracting η/s from V_n in ultra-central collisions

Luzum & Ollitrault, NPA 2013



Shen, Qiu and Heinz 2013

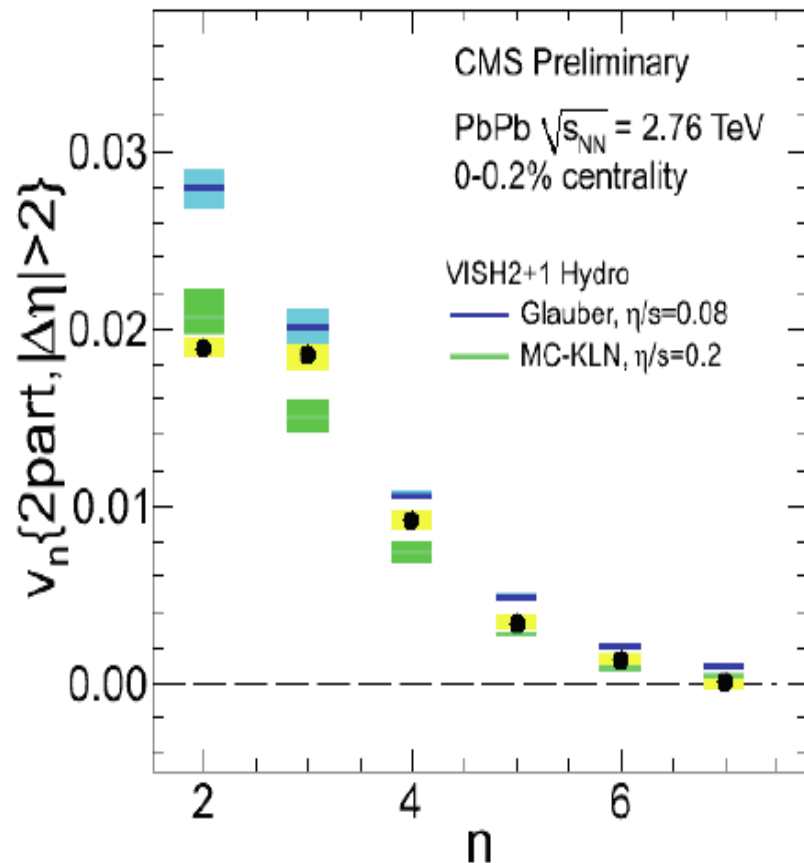


-In most central collisions, fluctuation effects are dominant (Geometry effects are suppressed)

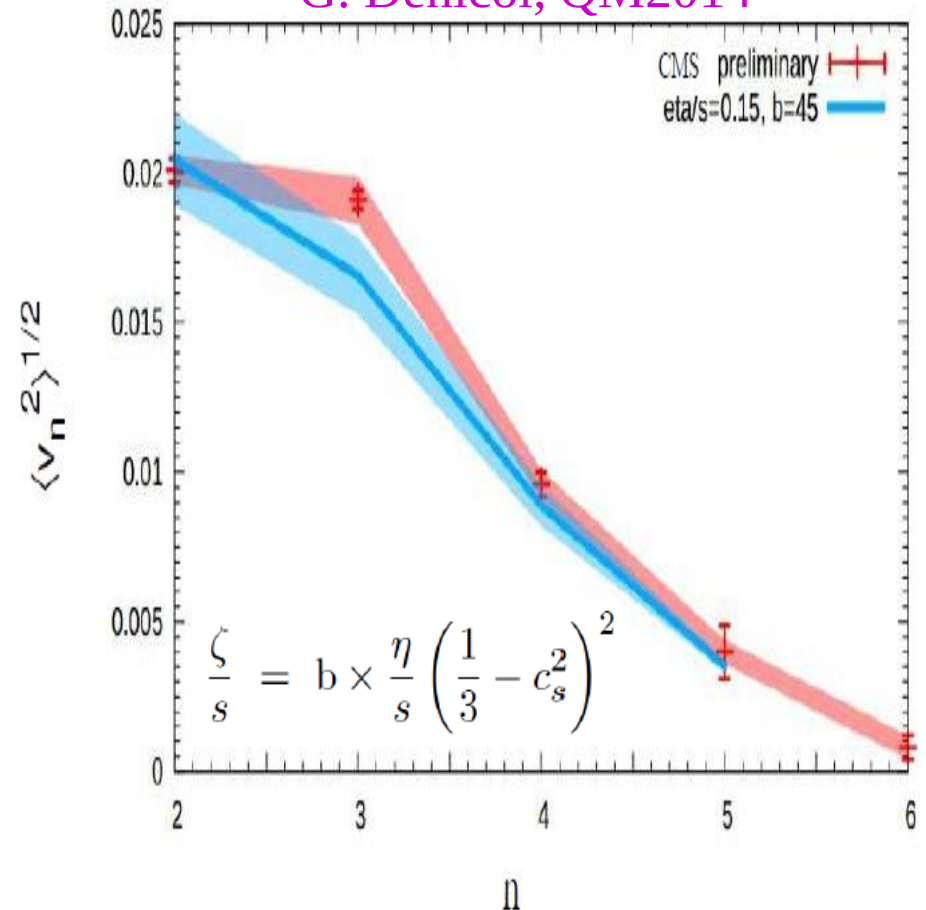
-can not simultaneously fit V_2 and V_3 with single η/s (MC-Glauber & MC-KLN)

Ultracentral Collisions: bulk visc. & NN correlations

Shen, Qiu and Heinz 2013



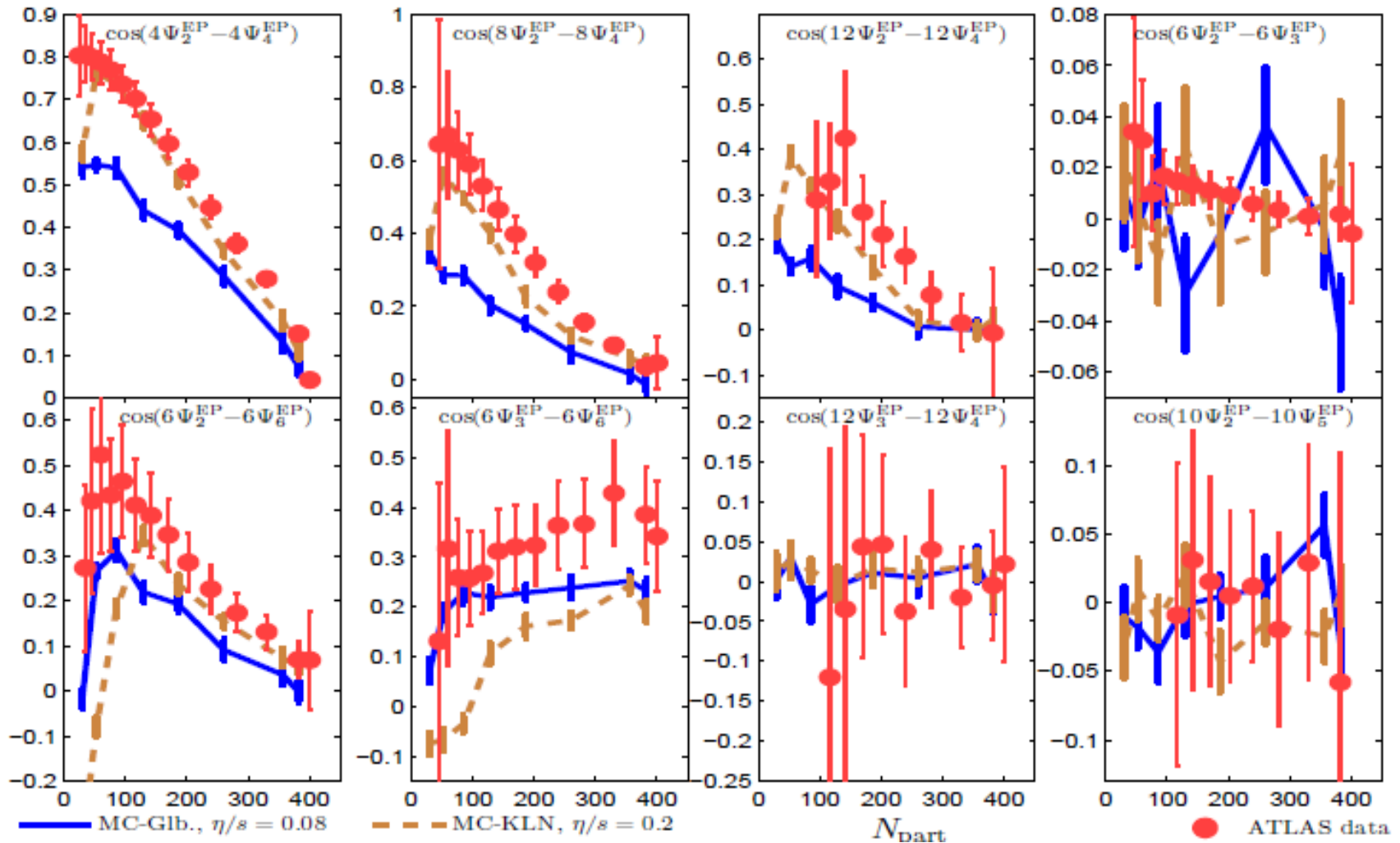
G. Denicol, QM2014



- MC-Glauber & MC-KLN: can not simultaneously fit V_2 and V_3
- IP+Glasma + NN correlations + bulk viscosity nicely reproduces V_n in ultra-central collisions

Event Plane Correlations

Qiu & Heinz, PLB(2012)



EXP. data:[ATLAS Collaboration],
 CERN preprint ATLAS-CONF-2012-049

Pure e-b-e viscous hydro simulations :
 -qualitatively reproduce the measured event plane correlations

C_1 C_2 C_3 C_4 : Pt-(0.4-2) GeV (Model + Poisson baselines)

Pt=(0.4-2) GeV

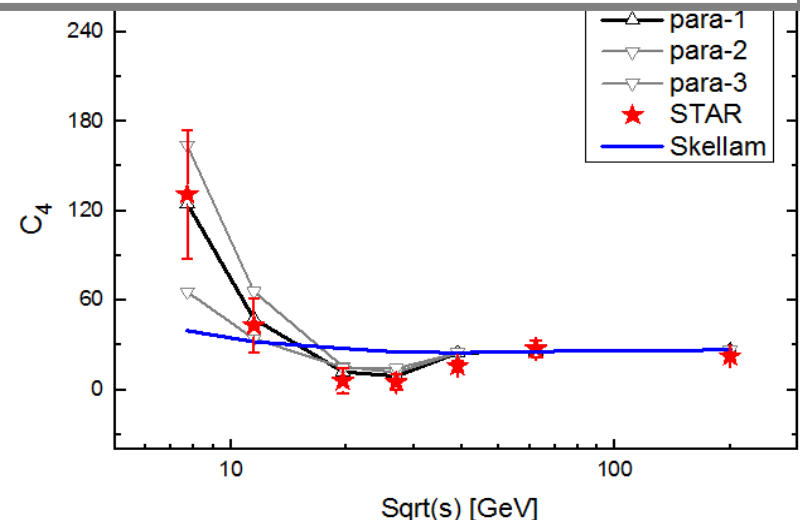
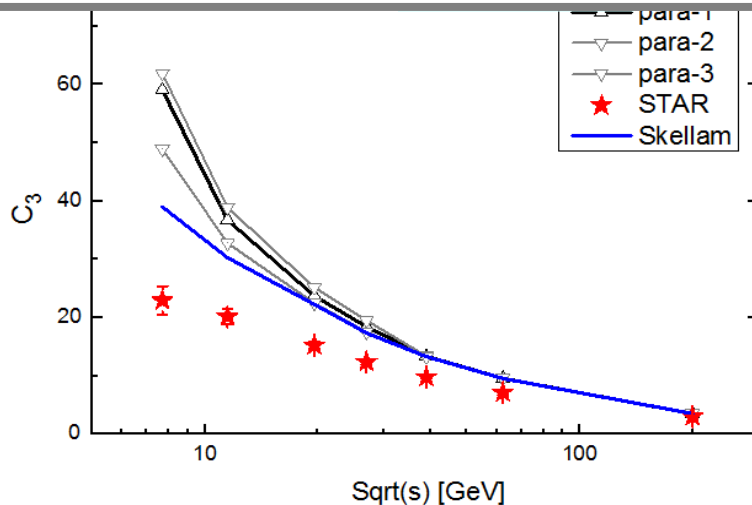
$$P[\sigma] \sim \exp \{-\Omega[\sigma]/T\},$$

$$\Omega[\sigma] = \int d^3x \left[\frac{1}{2} (\nabla \sigma)^2 + \frac{1}{2} m_\sigma^2 \sigma^2 + \frac{\lambda_3}{3} \sigma^3 + \frac{\lambda_4}{4} \sigma^4 \right],$$

$$\langle \sigma_1 \sigma_2 \rangle_c = T D(x_1 - x_2),$$

$$\langle \sigma_1 \sigma_2 \sigma_3 \rangle_c = -2T^2 \lambda_3 \int d^3z D(x_1 - z) D(x_2 - z) D(x_3 - z),$$

$$\langle \sigma_1 \sigma_2 \sigma_3 \sigma_4 \rangle_c = T^3 \int d^3z D(x_1 - z) D(x_2 - z) D(x_3 - z) D(x_4 - z) + 12T^3 \lambda_3^2 \int d^3u \int d^3v D(x_1 - u) D(x_2 - u) D(x_3 - v) D(x_4 - v) D(u - v).$$



The contributions from STATIC critical fluctuations to C_2 , C_3 are always positive (Both this model & early Stephanov PRL09 framework)