

# Chiral symmetry breaking by monopole condensation

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Transition temperature  $T_{\text{chiral}}$   
between chiral symmetric phase and  
symmetry breaking phase  
is nearly equal to  
the transition temperature  $T_{\text{con}}$  between  
the confinement phase and deconfinement  
phase

**Question**

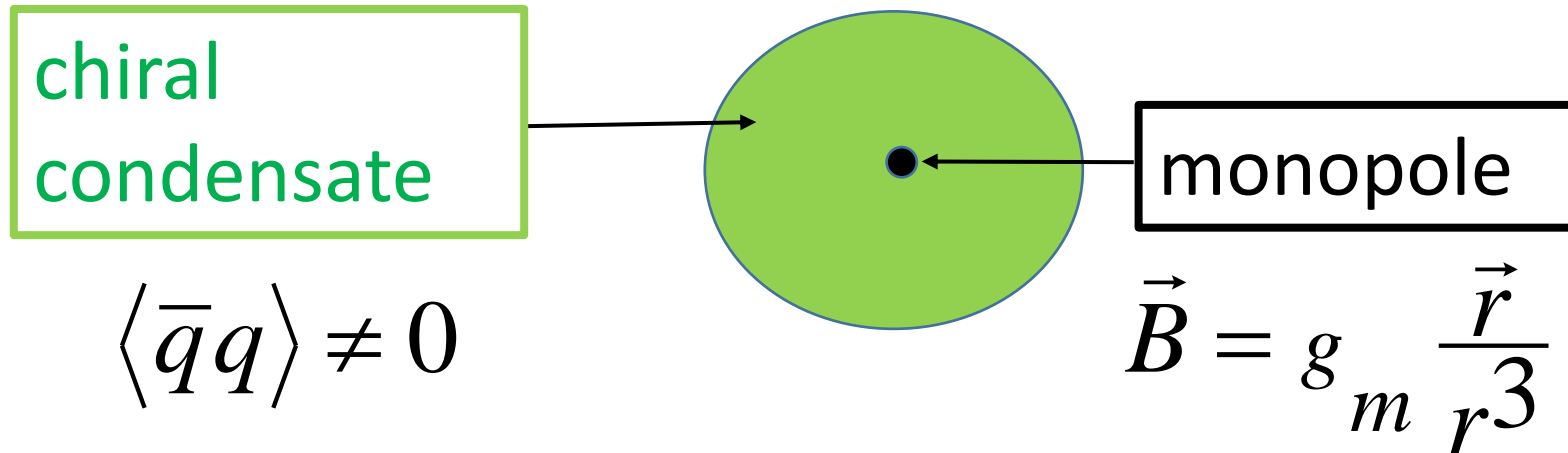
$T_{\text{chiral}} \approx T_{\text{con}}$  Accidental or not ?

**Answer**

Not accidental

The point is

Chiral condensate is locally present around each QCD monopole



The condensation of the QCD monopoles causes the chiral symmetry breaking as well as the confinement.

How does the chiral condensate arise around a monopole ?

We consider the scattering of **massless charged fermion doublet**  $\begin{pmatrix} +e \\ -e \end{pmatrix}$  and a monopole with  $eg_m = \frac{1}{2}$

Note the conserved angular momentum

$\vec{p}$   
 $\vec{s}$   
 monopole

$$\vec{J} = \vec{L} + \vec{S} - eg_m \frac{\vec{r}}{r}$$

We consider only the fermions with  $\vec{J} = 0$  and  $S = 1/2$

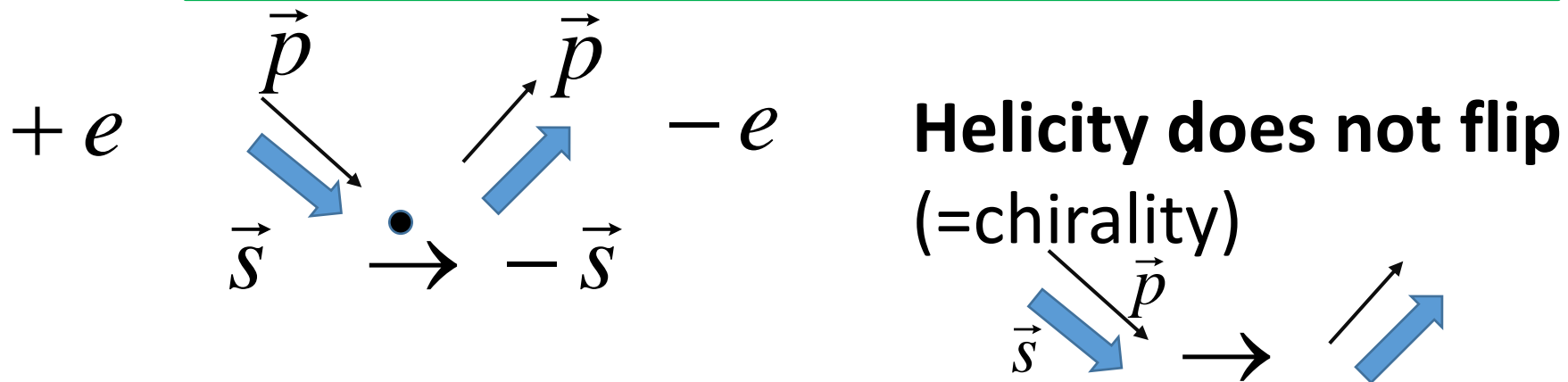
The change of quantum numbers before and after the scattering must satisfy

$$\Delta(\vec{r} \cdot \vec{J}) = \Delta(\vec{r} \cdot \vec{S}) - \Delta(eg_m)r = 0$$

# Angular momentum conservation

$$\Delta(\vec{r} \cdot \vec{S}) - \Delta(e g_m) r = 0$$

When an incoming fermion flips its spin  $\vec{s} \rightarrow -\vec{s}$ ,  
its charge must flip  $e \rightarrow -e$ .

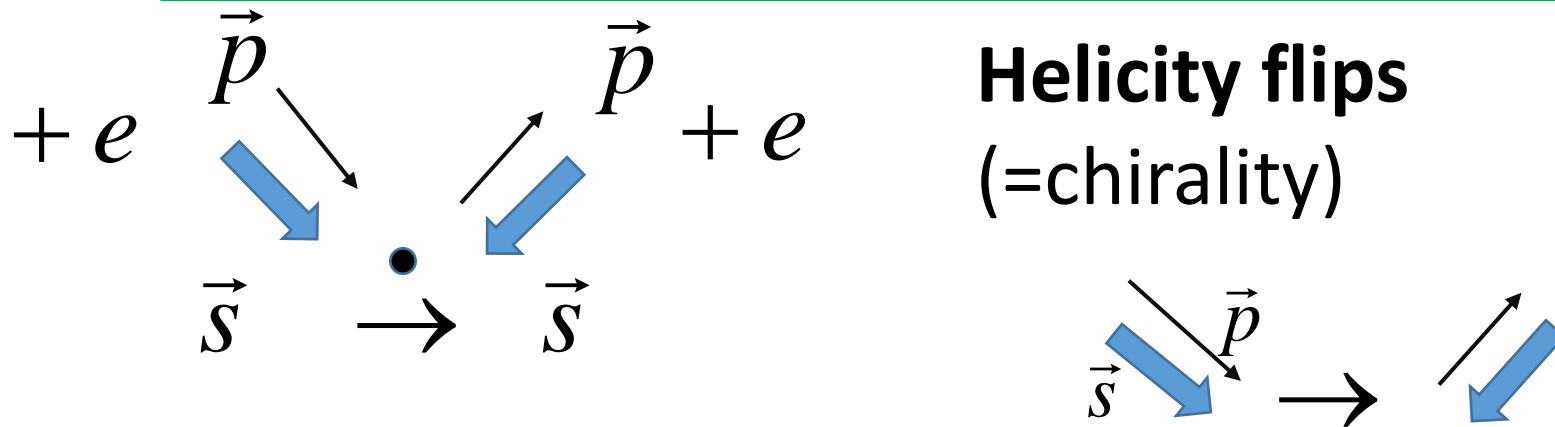


**Chirality is conserved when the charge is not conserved.**

## Angular momentum conservation

$$\Delta(\vec{r} \cdot \vec{S}) - \Delta(e g_m) r = 0$$

When an incoming fermion does not flip its spin  $\vec{s} \rightarrow \vec{s}$  after the scattering, its charge also does not flip  $e \rightarrow e$ .



**Chirality is not conserved  
when the charge is conserved.**

We find in the fermion- monopole scattering that either A or B occurs

A: chirality is conserved  
(charge is not conserved)

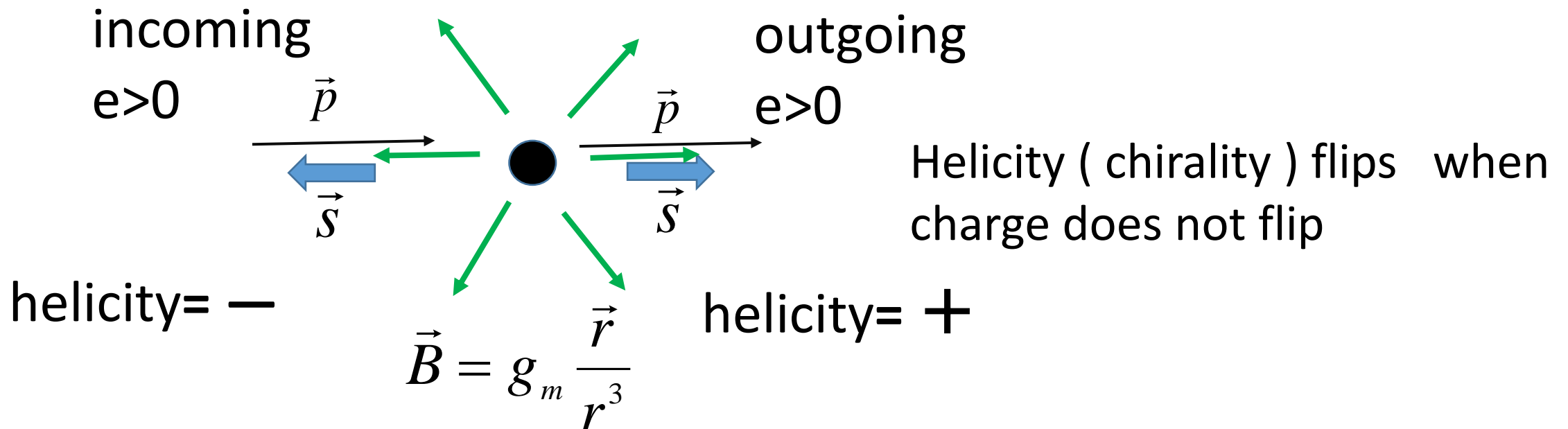
B: charge is conserved  
(chirality is not conserved)

**The charge conservation is strictly preserved in the gauge theory. Thus, the chirality is not conserved. The chiral symmetry is broken around a monopole**

**reality**

We explain the phenomena in a different view point.

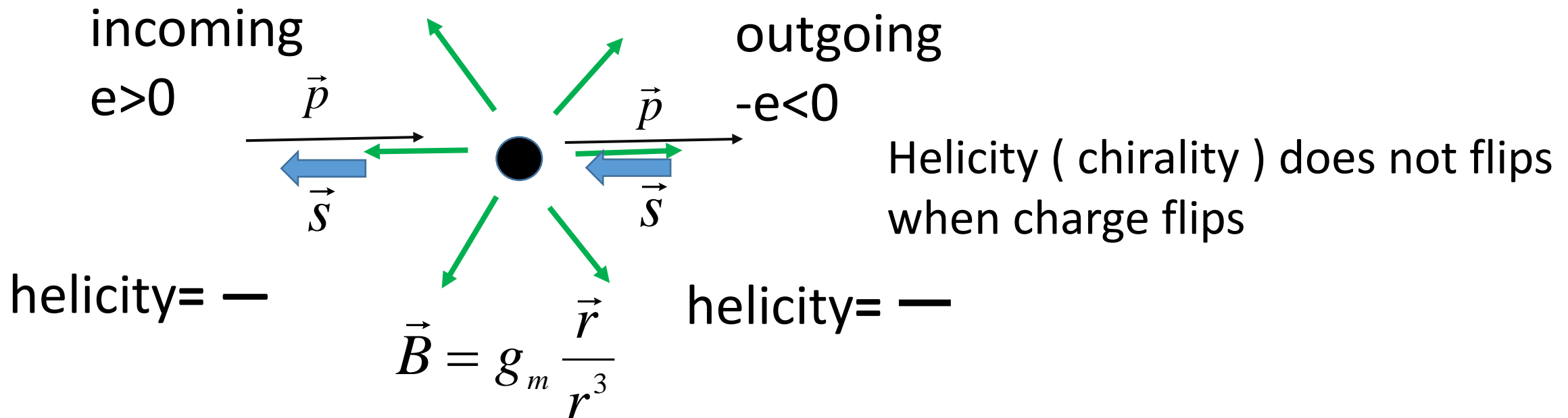
We note that the lowest energy states are those with **magnetic moments parallel to the magnetic field** of the monopole





We explain the phenomena in a different view point.

We note that the lowest energy states are those with **magnetic moments parallel to the magnetic field** of the monopole



Helicity (chirality) does not flip when charge flips

We can see the same phenomena by using the chiral anomaly.

anomaly eq.

$$\frac{dQ_5}{dt} = c \int d^3r \vec{E} \cdot \vec{B} = c \int d^3r \vec{E} \cdot \frac{g_m \vec{r}}{r^3} = c \int d^3r \frac{e(\vec{r} - \vec{x}(t))}{|\vec{r} - \vec{x}(t)|^3} \cdot \frac{g_m \vec{r}}{r^3} = \frac{4\pi c e g_m}{|\vec{x}(t)|}$$

$\vec{x}(t)$  coordinate of the fermion

incoming

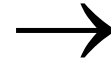
monopole

outgoing

$+e$



$+e$



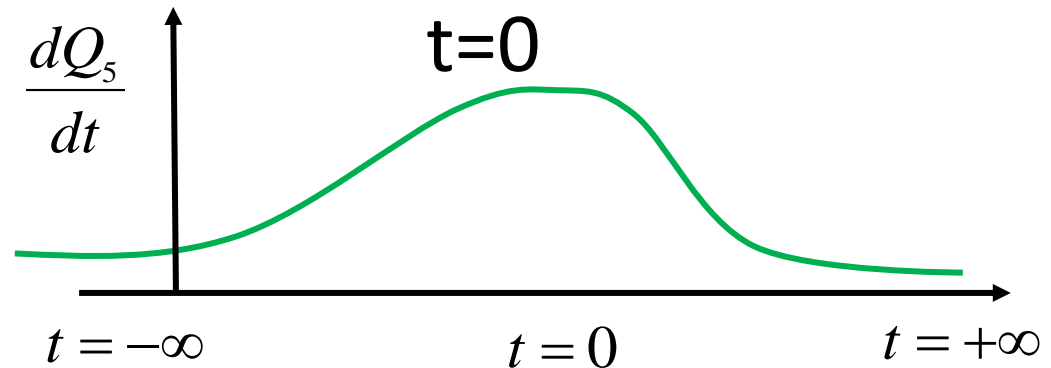
charge non flip



collision at

$t=0$

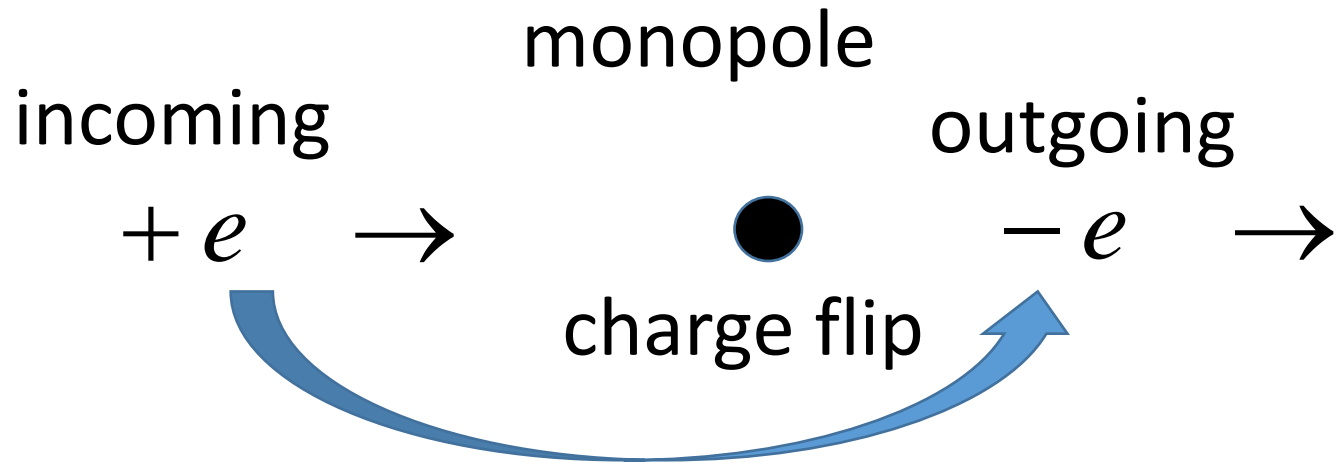
$\frac{dQ_5}{dt}$



$$\Delta Q_5 = \int_{-\infty}^{+\infty} dt \frac{dQ_5}{dt} \neq 0$$

**chirality changes**

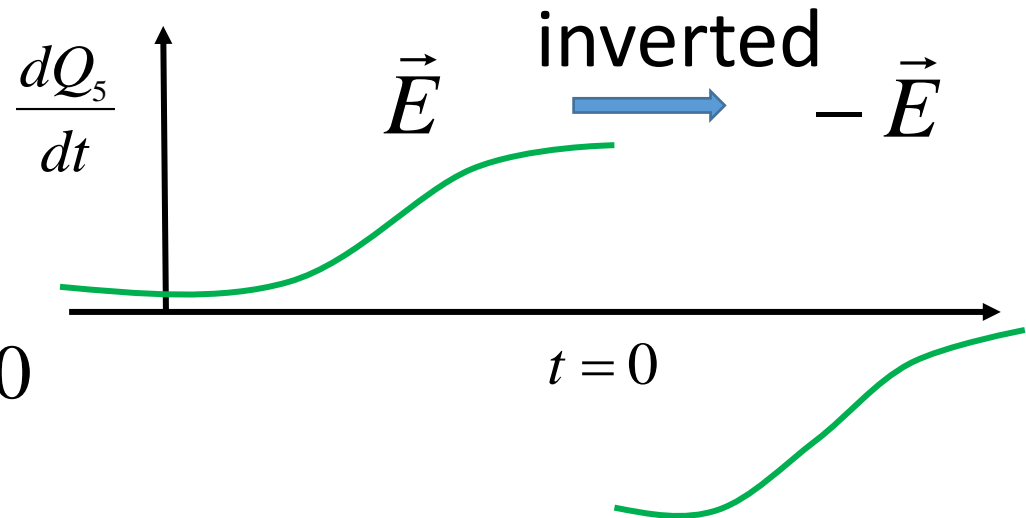
when charge does not change



$$\Delta Q_5 = \int_{-\infty}^{+\infty} dt \frac{dQ_5}{dt} = 0$$

**chirality does not change**  
when the charge flips

$$\Delta Q_5 = \int_{-\infty}^{+\infty} dt \frac{dQ_5}{dt} \propto \int_{-\infty}^{+\infty} \vec{E} \cdot \vec{B} = \int_{-\infty}^0 \vec{E} \cdot \vec{B} - \int_0^{+\infty} \vec{E} \cdot \vec{B} = 0$$



**There are only two possibilities in the scattering of massless fermions and monopoles**

A: chirality is conserved  
(charge is not conserved)

B: charge is conserved  
(chirality is not conserved)

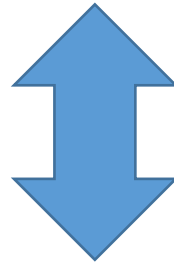
**The charge conservation is strictly preserved in the gauge theory. Thus, the chirality is not conserved. The chiral symmetry is broken around a monopole**

**reality**

**Quarks move in a sea of monopoles  
changing their chirality.**



**Quarks acquire their masses**



**Chiral symmetry is broken when monopoles  
condense.**

## Why do we consider fermion doublets ?

In color SU(2) gauge theory, we assume Abelian dominance. Ezawa and Iwazaki (1982)

**Relevant particles for low energy phenomena, such as confinement, chiral symmetry breaking, are QCD monopoles, maximal Abelian U(1) gauge fields and massless quark doublets.**

$$\sigma_3 q = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} q \quad q \equiv \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$$

Similarly, quark doublets in SU(3) gauge theory coupled with  $A_\mu^3, A_\mu^8$  details we explain below

# Why do we consider fermion doublets ?

SU(2)doublet in GUT, e.g. SU(5)

**Relevant particles for low energy phenomena** are 'tHooft-Pokyakov **monopoles**, U(1) gauge fields and **doublets** of massless quarks and leptons.

The analysis was originally performed in order to show Rubakov effect ( nucleon decay by its collision with the monopoles. baryon number non conservation) Rubakov (1982), Callan (1982)

A generator of SU(5)

$$SU(5) \rightarrow SU_c(3) \times SU(2) \times U(1)$$

$\left( \begin{array}{c} 0, \\ 0, \\ \frac{\vec{\sigma}}{2} \\ 0 \end{array} \right)$

A generator of SU(2)

$$SU(2) \rightarrow U(1) (= U_c(1) + U_{em}(1))$$

(color hypercharge + electric charge)

Now, we explicitly show the phenomena mentioned above by solving Dirac equation.



Dirac equation of SU(2) doublet fermions

$$\gamma_{\mu} \left( i\partial^{\mu} - \frac{e}{2} A_{monopole}^{\mu} \right) \Psi = 0, \quad \Psi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

monopoles in regular gauge

$$A_{a,monopole}^0 = 0, \quad A_{a,monopole}^i = \varepsilon_{aij} \frac{x^j F(r)}{er^2}$$

$$F(r) \equiv 1$$

Wu-Yang (QCD) monopoles in pure gauge theories; singular at  $r=0$

$$F(r=0) = 0$$

$$F(r > r_c) = 1$$

'tHooft-Polyakov monopoles in gauge theories with triplet Higgs; regular

'tHooft-Polyakov monopoles are  
equivalent to Wu-Yang monopoles at  $r > r_c$

The core radius  $r_c$  of the 'tHooft-Polyakov monopoles  
is extremely small compared  
with the energy scales in the fermion-monopole  
scatterings. (quark-monopole)

e.g.  $r_c^{-1} \approx 10^{15} \text{ GeV} \gg E \approx 100 \text{ MeV}$

We solve the Dirac eq. in unitary gauge

Wu-Yang monopole  $\rightarrow$  Dirac monopole

$$A_a^0 = A_a^r = A_a^\theta = 0, \quad A_{a=3}^\varphi = g_m (1 - \cos \theta) \quad \left( eg_m = \frac{1}{2} \right)$$

charge eigen states      polar coordinate       $(r, \theta, \varphi)$  We consider

$$\Psi = \begin{pmatrix} \phi_+ \\ \phi_- \end{pmatrix}_{L=0} \quad \phi_\pm \equiv \frac{1}{r} \begin{pmatrix} f_\pm(r, t) \\ \mp ig_\pm(r, t) \end{pmatrix} \eta_\pm, \quad \frac{\sigma_i x_i}{r} \eta_\pm(\theta, \varphi) = \pm \eta_\pm(\theta, \varphi)$$

only the states with  $\vec{J} = \vec{L} = 0$

Dirac equation is reduced to two dimensional Dirac eq.

$$\underline{i\bar{\gamma}_\alpha \partial^\alpha \Psi_\pm = 0}, \quad \Psi_\pm \equiv \begin{pmatrix} f_\pm(r, t) \\ -ig_\pm(r, t) \end{pmatrix}, \quad \alpha = 0, 1$$

$$\bar{\gamma}_0 \equiv \begin{pmatrix} 1, & 0 \\ 0, & -1 \end{pmatrix}, \quad \bar{\gamma}_1 \equiv \begin{pmatrix} 0, & 1 \\ -1, & 0 \end{pmatrix} \quad x^0 \equiv t, \quad x^1 \equiv r$$

Ezawa and Iwazaki (1983)

## incoming fermions

$$\Psi_{+,l} = \exp(-iE(r+t)) \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$\Psi_{-,r} = \exp(-iE(r+t)) \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

## outgoing fermions

$$\Psi_{+,r} = \exp(-iE(r-t)) \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$\Psi_{-,l} = \exp(-iE(r-t)) \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$E > 0$$

positive charge and left handed  
( no right handed )

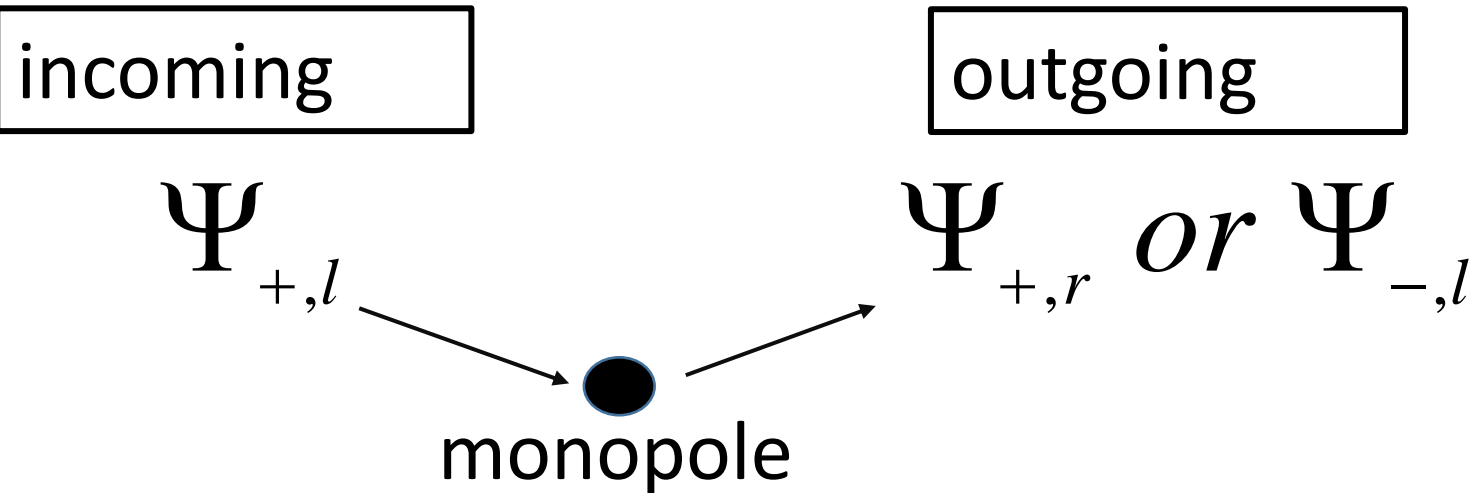
negative charge and right handed  
( no left handed )

**These solutions represent the states  
with magnetic moments  
parallel to the magnetic field**

positive charge and right handed

negative charge and left handed

**Either charge or chirality conservation is broken.**



**Which one is conserved ?**

**We need to have an appropriate boundary condition at  $r=0$  to define the scattering.**

'tHooft-Polyakov monopoles are regular at  $r=0$ .

**We can uniquely derive an appropriate boundary condition at  $r=0$  by taking account of the monopole core. It is the charge non conserved and chirality conserved boundary condition.**


QCD monopoles are singular at  $r=0$ .

There is no way to derive an appropriate boundary condition under the assumption of the Abelian dominance.

**We need to go back to the original SU(2) gauge theory to find an appropriate boundary condition at  $r=0$ .**

## Two types of boundary conditions; A or B

A. charge conserved  
chirality non conserved



$$\Psi_{\pm,l}(r=0) = \Psi_{\pm,r}(r=0)$$

**Chiral symmetry is broken  
around a monopole**



**chiral condensate around  
a monopole**

B. chirality conserved  
charge non conserved


$$\Psi_{+,(l,r)}(r=0) = \Psi_{-,(l,r)}(r=0)$$

**Gauge symmetry is broken?  
Chiral symmetry holds ?**

**reality**



**Charge conservation holds  
Chiral symmetry is broken**

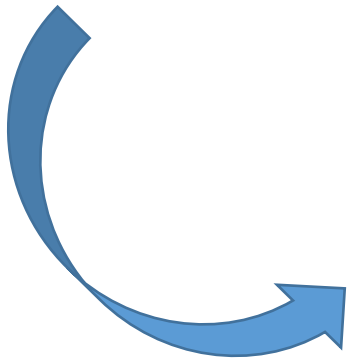
**charge non conserved and  
chirality conserved boundary condition**

$$\Psi_+(r=0) = \bar{\gamma}_0 \Psi_-(r=0)$$

We take into account the quantum effects of Abelian  
gauge fields  $\delta A^\mu$  ( only S wave )

$$\gamma_\mu (i\partial^\mu - \frac{e}{2} (A_{monopole}^\mu + \delta A^\mu)) \Psi = 0, \quad \underline{\delta A^0 \equiv a^0(r,t)}, \quad \underline{\delta A^i \equiv \frac{x^i}{r} a^1(r,t)}$$

$$\bar{\gamma}_\alpha (i\partial^\alpha \mp \frac{e}{2} a^\alpha) \Psi_\pm = 0, \quad L = -\pi r^2 f_{\alpha,\beta} f^{\alpha,\beta} \quad (f_{\alpha,\beta} = \partial_\alpha a_\beta - \partial_\beta a_\alpha)$$





## 2 dimensional Schwinger model !!

$$\bar{\gamma}_\alpha (i\partial^\alpha \mp \frac{e}{2} a^\alpha) \Psi_\pm = 0, \quad L = -\pi r^2 f_{\alpha,\beta} f^{\alpha,\beta}$$

with the charge non conserved boundary condition  $\Psi_+(r=0) = \bar{\gamma}_0 \Psi_-(r=0)$   
We can exactly solve the model.

We find by taking the quantum effects  $\delta A^\alpha (= a^\alpha)$  that **the charge conservation is restored irrespective of the charge non conserved boundary condition.**

**But, the chirality is not conserved.**

**Chiral condensate arises owing to the chiral anomaly.**

$$\langle \bar{\Psi}_\pm \Psi_\pm \rangle = \frac{const.}{r}$$

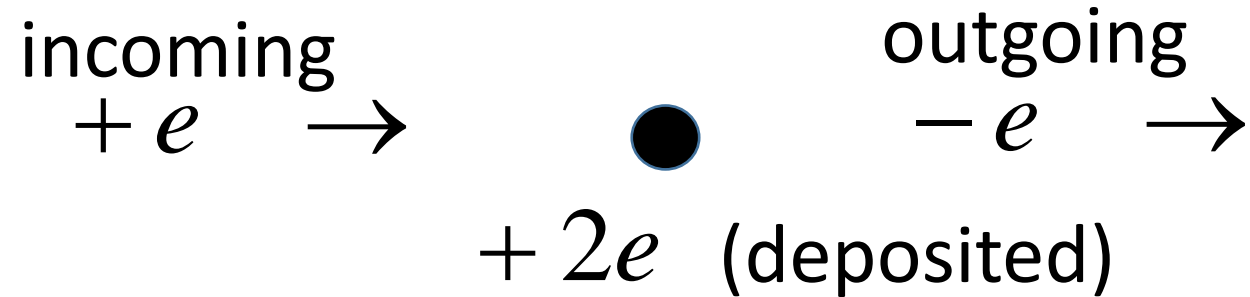
Rubakov (1982), Callan (1982)  
Ezawa and Iwazaki (1983)

**Even if we take any boundary conditions,  
we can show that the charge is conserved, but  
the chirality is not conserved.**

**Charge conservation holds because there is the local gauge  
symmetry, while the chirality conservation  
does not hold because of the chiral anomaly or  
the chiral non conserved boundary condition.**

## Why the charge conservation is restored in 'tHooft-Polyakov monopole.

The charge non conserved boundary condition allows the process in the 'tHooft-Polyakov monopole such as

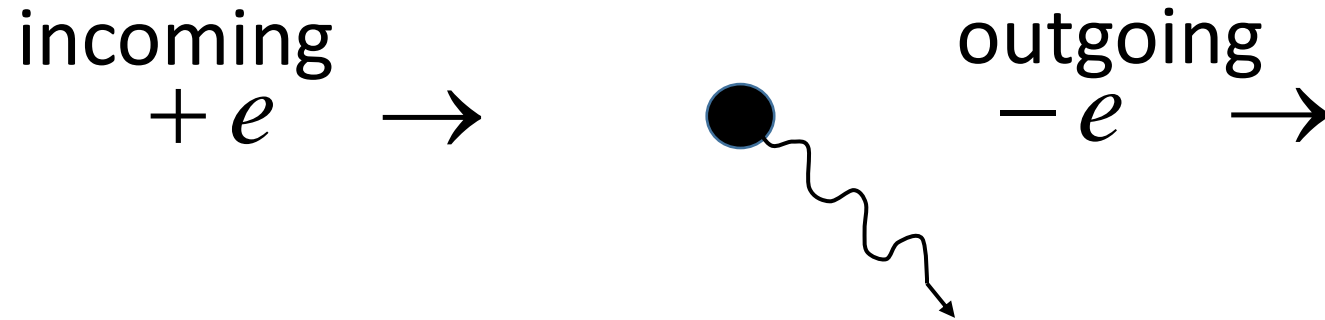


The process is inhibited.

Charged monopole ( dyon ) is energetically unfavored since the charge is deposited at the core. Thus, **the process of the charge flip can not occur.**

## Why the charge conservation is restored in QCD monopole.

The charge non conserved boundary condition allows the process such as



production of off diagonal gluons with charge  $+2e$

The process is inhibited because the off diagonal gluons are massive and energetically unfavored. Thus, **the process of the charge flip can not occur.**

Even if we take either the chirality non conserved boundary condition or charge non conserved boundary condition in QCD ,  
**the charge conservation holds but the chiral conservation does not hold.**

Therefore,  
the chiral symmetry is broken around a QCD monopole.

## Conclusion

**Chiral condensate is locally present around each QCD monopole owing to the chiral anomaly. Therefore, the chiral symmetry is spontaneously broken by the monopole condensation.**

Future work

**Chiral models of hadrons in which the chiral symmetry is spontaneously broken, should take into account the breaking mechanism discussed here.**

# The derivation of the boundary condition at $r=0$ for 'tHooft-Polyakov monopole

We need to impose a boundary condition at  $r=0$

Dirac eq. around the monopole core

$$i\bar{\gamma}_\alpha \partial^\alpha \eta + \left(\frac{1-F(r)}{r}\right)\eta = 0 \rightarrow \eta(r=0) = 0 \text{ because } F(r=0) = 0$$

$$\eta \equiv \Psi_+ - \bar{\gamma}_0 \Psi_-,$$

$$\Psi_+(r=0) = \bar{\gamma}_0 \Psi_-(r=0)$$

**charge non conserved**  
**chirality conserved**

$$A_a^i = \varepsilon_{aij} \frac{x^j F(r)}{er^2}, \quad F(r=0) = 0 \leftarrow \text{'tHooft-Polyakov monopole}$$
$$F(r > r_c) = 1$$

## Dirac equation with Wu-Yang (QCD) monopole

$$i\bar{\gamma}_\alpha \partial^\alpha \Psi_\pm = 0, \quad \Psi_\pm \equiv \begin{pmatrix} f_\pm(r,t) \\ -ig_\pm(r,t) \end{pmatrix}$$

**There is no a priori boundary condition derived from the equation.**

We should remember that we use the assumption of the Abelian dominance.

**We need to go back to the original SU(2) gauge theory to find an appropriate boundary condition at  $r=0$ .**

**The boundary condition may be the one such that it preserves the charge conservation and chirality non conservation or, the inverse**



# Monopoles in SU(3) gauge theory

Assumption of abelian dominance

Low energy physics is described by QCD monopoles, maximal Abelian gauge fields and quarks.

maximal Abelian group ;  $U(1) \times U(1)$   
 $(\lambda_3 \quad \lambda_8)$

There are

three types of monopoles;  $\Phi_1, \Phi_2, \Phi_3$

## Monopoles in SU(3) gauge theory

three types of monopoles characterized by the root vectors of SU(3)

$$\vec{\varepsilon}_1 = (1,0), \vec{\varepsilon}_2 = (-1/2, -\sqrt{3}/2), \vec{\varepsilon}_3 = (-1/2, \sqrt{3}/2)$$

Their magnetic charges are given by the gauge fields  $A_\mu^3, A_\mu^8$

$$\vec{\varepsilon}_i \cdot \vec{A}_\mu \equiv \varepsilon_i^3 A_\mu^3 + \varepsilon_i^8 A_\mu^8$$

$$i = 1, 2, 3$$

$$\begin{aligned} \vec{\varepsilon}_1 \cdot \vec{A}_\mu &\equiv A_\mu^3, & \vec{\varepsilon}_2 \cdot \vec{A}_\mu &= -\frac{1}{2} A_\mu^3 - \frac{\sqrt{3}}{2} A_\mu^8 \\ \vec{\varepsilon}_3 \cdot \vec{A}_\mu &= -\frac{1}{2} A_\mu^3 + \frac{\sqrt{3}}{2} A_\mu^8 \end{aligned}$$

They couple with the quark doublets such that

Each monopole  $\Phi_i$  couples with the quark doublet

$$\Phi_1 \quad \vec{\varepsilon}_1 \cdot \vec{\lambda} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \lambda_3 \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} 1, 0, 0 \\ 0, -1, 0 \\ 0, 0, 0 \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} 1, 0 \\ 0, -1 \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$$

$$\Phi_2 \quad \vec{\varepsilon}_2 \cdot \vec{\lambda} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = -\left( \frac{1}{2} \lambda_3 + \frac{\sqrt{3}}{2} \lambda_8 \right) \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} -1, 0, 0 \\ 0, 0, 0 \\ 0, 0, 1 \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} -1, 0 \\ 0, 1 \end{pmatrix} \begin{pmatrix} q_1 \\ q_3 \end{pmatrix}$$

$$\Phi_3 \quad \vec{\varepsilon}_3 \cdot \vec{\lambda} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \left( \frac{-1}{2} \lambda_3 + \frac{\sqrt{3}}{2} \lambda_8 \right) \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} 0, 0, 0 \\ 0, 1, 0 \\ 0, 0, -1 \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} 1, 0 \\ 0, -1 \end{pmatrix} \begin{pmatrix} q_2 \\ q_3 \end{pmatrix}$$

## Why do we consider fermion doublets ?

quark doublets in color SU(2) gauge theory.  
Their color isospin charges are given by

$$\sigma_3 q = \begin{pmatrix} + q_1 \\ - q_2 \end{pmatrix}, \quad q \equiv \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$$


Monopoles are Wu-Yang monopoles.



SU(2) doublet of quarks and leptons in GUT, e.g. SU(5).  
Monopoles are 'tHooft-Polyakov monopoles.  
( The case has been analyzed as **Rubakov effects** )




SU(2)doublet in GUT SU(5) is broken into U(1)



**Relevant particles for low energy phenomena are 'tHooft-Pokyakov monopoles, U(1) gauge fields and doublets of massless quarks and leptons.**

In color SU(2) gauge theory, we assume **Abelian dominance.**

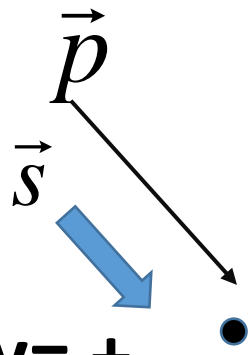


**Relevant particles for low energy phenomena e.g. chiral symmetry breaking are Wu-Yang monopoles, maximal Abelian U(1) gauge fields and massless quark doublets.**

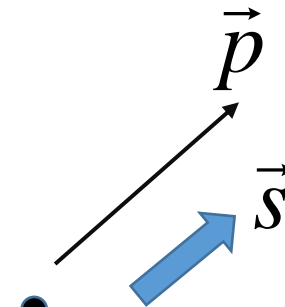
Angular momentum conservation

$$\Delta(\vec{r} \cdot \vec{S}) - \Delta(e g_m) r = 0$$

spin flip  $\rightarrow$  charge flip  
 $\vec{s} \rightarrow -\vec{s}$        $e \rightarrow -e$

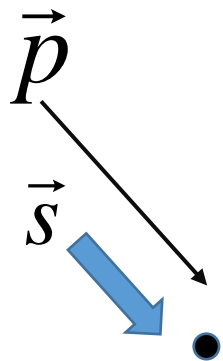


**helicity= +**  
(=chirality)



**helicity= +**  
(=chirality)

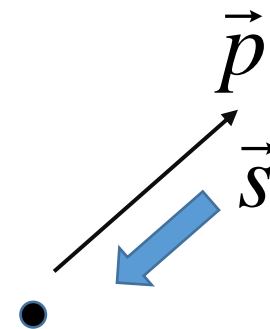
Chirality is conserved, but  
charge is not conserved



**helicity= +**  
(=chirality)

spin non-flip  $\rightarrow$  charge non-flip  
 $\vec{s} \rightarrow \vec{s}$        $e \rightarrow e$

Charge is conserved, but  
chirality is not conserved



**helicity= -**  
(=chirality)