

# Viability of Horava-Lifshitz theory

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ref. Horava-Lifshitz Cosmology: A Review  
arXiv: 1007.5199 [hep-th]

# Contents of this talk

- Basic idea
- Cosmological implications
- Analogue of Vainshtein effect
- Caustic avoidance
- List of future works

# Power counting

$$I \supset \int dt dx^3 \dot{\phi}^2 \quad \int dt dx^3 \phi^n$$

$$\propto E^{-(1+3+ns)}$$

- **Scaling dim of  $\phi$**   
 $t \rightarrow b t$  ( $E \rightarrow b^{-1} E$ )  
 $x \rightarrow b x$   
 $\phi \rightarrow b^s \phi$   
 $1+3-2+2s = 0$   
 $s = -1$

- **Renormalizability**  
 $n \leq 4$
- **Gravity is highly non-linear and thus non-renormalizable**

# Abandon Lorentz symmetry?

$$I \supset \int dt dx^3 \dot{\phi}^2$$

$$\int dt dx^3 \phi^n$$

- Anisotropic scaling

$$t \rightarrow b^z t \quad (E \rightarrow b^{-z} E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^s \phi$$

$$z+3-2z+2s = 0$$

$$s = -(3-z)/2$$

- $s = 0$  if  $z = 3$

$$\propto E^{-(z+3+ns)/z}$$

- For  $z = 3$ , any nonlinear interactions are renormalizable!
- Gravity becomes renormalizable!?

# Scale-invariant cosmological perturbations from Horava- Lifshitz gravity without inflation

arXiv:0904.2190 [hep-th]

c.f. Basic mechanism is common for “Primordial magnetic field from non-inflationary cosmic expansion in Horava-Lifshitz gravity”, arXiv:0909.2149 [astro-th.CO] with S.Maeda and T.Shiromizu.

# Usual story with $z=1$

- $\omega^2 \gg H^2$  : oscillate

$\omega^2 \ll H^2$  : freeze

oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/t > 0$

$\omega^2 = k^2/a^2$  leads to  $d^2a/dt^2 > 0$

Generation of super-horizon fluctuations requires accelerated expansion, i.e. inflation.

- Scaling law

$t \rightarrow b t$  ( $E \rightarrow b^{-1} E$ )

$x \rightarrow b x$

$\phi \rightarrow b^{-1} \phi$



$\delta\phi \propto E \sim H$

Scale-invariance requires almost const.  $H$ , i.e. inflation.

# UV fixed point with $z=3$

- oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/t > 0$   
 $\omega^2 = M^{-4}k^6/a^6$  leads to  $d^2(a^3)/dt^2 > 0$

OK for  $a \sim t^p$  with  $p > 1/3$

- Scaling law

$$t \rightarrow b^3 t \quad (E \rightarrow b^{-3}E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^0 \phi$$



$$\delta\phi \propto E^0 \sim H^0$$

Scale-invariant fluctuations!

$\ln L$

# Horizon exit and re-entry

$$a \propto t^p$$

$$1/3 < p < 1$$

wavelength  $\sim a/k$

super-horizon & scale-invariant

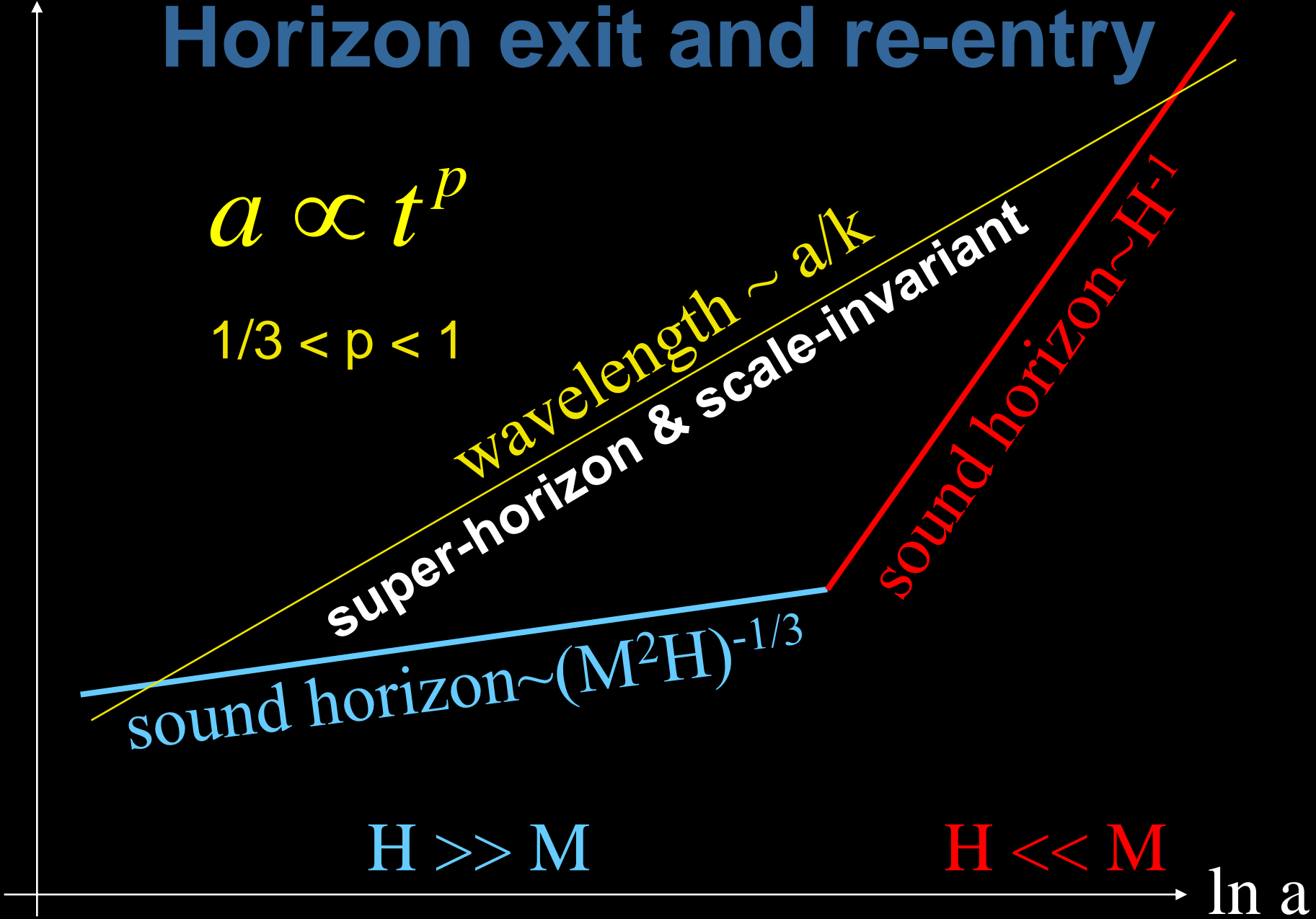
sound horizon  $\sim (M^2 H)^{-1/3}$

sound horizon  $\sim H^{-1}$

$H \gg M$

$H \ll M$

$\ln a$





# Dark matter as integration constant in Horava-Lifshitz gravity

[arXiv:0905.3563](https://arxiv.org/abs/0905.3563) [hep-th]

See also [arXiv:0906.5069](https://arxiv.org/abs/0906.5069) [hep-th]

Caustic avoidance in Horava-Lifshitz gravity

# Structure of HL gravity

- Foliation-preserving diffeomorphism  
= 3D spatial diffeomorphism  
+ space-independent time reparametrization
- 3 local constraints + 1 global constraint  
= 3 momentum @ each time @ each point  
+ 1 Hamiltonian @ each time integrated
- Constraints are preserved by dynamical equations.
- We can solve dynamical equations, provided that constraints are satisfied at initial time.

# FRW spacetime in HL gravity

- Approximates overall behavior of our patch of the universe inside the Hubble horizon.

- **No “local” Hamiltonian constraint**

E.o.m. of matter

→ conservation eq.

$$\dot{\rho}_i + 3\frac{\dot{a}}{a}(\rho_i + P_i) = 0$$

- Dynamical eq  
can be integrated to give

$$-2\frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} = 8\pi G_N \sum_{i=1}^n P_i$$

**Friedmann eq with  
“dark matter as  
integration constant”**

$$3\frac{\dot{a}^2}{a^2} = 8\pi G_N \left( \sum_{i=1}^n \rho_i + \frac{C}{a^3} \right)$$

# IR limit of HL gravity

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3x \left( K_{ij} K^{ij} - \lambda K^2 + R - 2\Lambda \right)$$

- Looks like GR iff  $\lambda = 1$ . So, we assume that  $\lambda = 1$  is an IR fixed point of RG flow.

- **Global Hamiltonian constraint**

$$\int d^3x \sqrt{g} (G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} - 8\pi G_N T_{\mu\nu}) n^\mu n^\nu = 0$$

$$n_\mu dx^\mu = -N dt, \quad n^\mu \partial_\mu = \frac{1}{N} (\partial_t - N^i \partial_i)$$

- **Momentum constraint & dynamical eq**

$$(G_{i\mu}^{(4)} + \Lambda g_{i\mu}^{(4)} - 8\pi G_N T_{i\mu}) n^\mu = 0$$

$$G_{ij}^{(4)} + \Lambda g_{ij}^{(4)} - 8\pi G_N T_{ij} = 0$$

# Dark matter as integration constant

- Def.  $T_{\mu\nu}^{HL}$   $G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} = 8\pi G_N (T_{\mu\nu} + T_{\mu\nu}^{HL})$
- General solution to the momentum constraint and dynamical eq.

$$T_{\mu\nu}^{HL} = \rho^{HL} n_\mu n_\nu \quad n^\mu \nabla_\mu n_\nu = 0$$

- Global Hamiltonian constraint

$$\int d^3x \sqrt{g} \rho^{HL} = 0$$

$\rho^{HL}$  can be positive everywhere in our patch of the universe inside the horizon.

- Bianchi identity  $\rightarrow$  (non-)conservation eq

$$\partial_\perp \rho^{HL} + K \rho^{HL} = n^\nu \nabla^\mu T_{\mu\nu}$$

# Micro to Macro

- Overall behavior of smooth  $T^{\text{HL}}_{\mu\nu} = \rho^{\text{HL}} n_{\mu} n_{\nu}$  is like **pressureless dust**.
- **Microscopic lumps (sequences of caustics & bounces) of  $\rho^{\text{HL}}$  can collide and bounce.** (cf. early universe bounce [Calcagni 2009, Brandenberger 2009]) If asymptotically free, would-be caustics does not gravitate too much.
- Group of microscopic lumps with collisions and bounces  $\rightarrow$  When coarse-grained, can it mimic a cluster of particles with velocity dispersion?
- **Dispersion relation of matter fields defined in the rest frame of “dark matter”**  
 $\rightarrow$  Any astrophysical implications?

# Summary so far

- Horava-Lifshitz gravity is **power-counting renormalizable** and can be a candidate theory of quantum gravity.
- While there are many fundamental issues to be addressed, it is interesting to investigate cosmological implications.
- The  $z=3$  scaling **solves horizon problem** and leads to **scale-invariant cosmological perturbations** for  $a \sim t^p$  with  $p > 1/3$ .
- HL gravity does NOT recover GR at low-E but can instead mimic GR+CDM: **“dark matter as an integral constant”**.  
Constraint algebra is smaller than GR since **the time slicing and the “dark matter” rest frame are synchronized**.

# Analogue of Vainshtein effect

- Breakdown of perturbation in the limit  $\lambda \rightarrow 1$

$$N = 1, \quad N_i = \partial_i B + n_i, \quad g_{ij} = e^{2\zeta} [e^h]_{ij}$$

$$B = \frac{3\lambda - 1}{\lambda - 1} \frac{\dot{\zeta}}{\partial^2}, \quad n_i = 0 \quad \leftarrow \text{momentum constraint}$$

$$I_{kin} = M_{Pl}^2 \int dt d^3 \vec{x} \left\{ (1 + 3\zeta) \left[ \frac{3\lambda - 1}{\lambda - 1} \dot{\zeta}^2 + \frac{1}{8} \dot{h}^{ij} \dot{h}_{ij} \right] \right. \\ \left. + \frac{1}{2} \zeta \partial^i (\partial_i B \partial^2 B + 3 \partial^j B \partial_i \partial_j B) + \frac{1}{2} (\partial^k h_{ij} \partial_k B - 3 \dot{h}_{ij} \zeta) \partial^i \partial^j B \right. \\ \left. - \frac{1}{4} (\dot{h}^{ij} \partial_k h_{ij}) \partial^k B \right\} + O(\epsilon^4),$$

- No negative power of  $(\lambda-1)$  in potential part  
→ looks like weak coupling
- Decoupling expected but non-perturbative analysis needed for scalar graviton!



# Analogue of Vainshtein effect

- Spherically symmetric, static ansatz

$$N = 1, \quad N_i dx^i = \beta(x) dx, \quad g_{ij} dx^i dx^j = dx^2 + r(x)^2 d\Omega_2^2$$



$$R \equiv \beta^{(\lambda-1)/(2\lambda)} r \quad \text{without HD terms}$$

$$R'' + \frac{\lambda-1}{\lambda} \left[ \frac{(3\lambda-1)(\beta')^2 R}{4\lambda^2 \beta^2} + \frac{(\lambda-1)\beta' R'}{\lambda\beta} - \frac{(R')^2}{R} \right] = 0$$

$$\frac{\beta'}{\beta} - \frac{(\lambda-1)R}{4\lambda R'} \left( \frac{\beta'}{\beta} \right)^2 + \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda-1)\beta^2 - 1](R')^2}{(3\lambda-1)\beta^2 + (\lambda-1)} = 0$$

- Two branches

$$\frac{\beta'}{\beta} = \frac{1 \pm \sqrt{1 + 4AB}}{2A},$$

$$A \equiv \frac{(\lambda-1)R}{4\lambda R'}, \quad B \equiv \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda-1)\beta^2 - 1](R')^2}{(3\lambda-1)\beta^2 + (\lambda-1)}$$

- “-” branch recovers GR in the  $\lambda \rightarrow 1$  limit

# Analogue of Vainshtein effect

$$\frac{\beta'}{\beta} = \frac{1 \pm \sqrt{1 + 4AB}}{2A}, \quad \rightarrow \text{choose the “-” branch}$$

$$A \equiv \frac{(\lambda - 1)R}{4\lambda R'}, \quad B \equiv \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda - 1)\beta^2 - 1](R')^2}{(3\lambda - 1)\beta^2 + (\lambda - 1)}$$

- $(3\lambda - 1)\beta^2 \ll (\lambda - 1)$   
perturbative regime,  $1/r$  expansion
- $(3\lambda - 1)\beta^2 \gg (\lambda - 1)$   
non-perturbative regime, recovery of GR
- $(3\lambda - 1)\beta^2 \sim (\lambda - 1)$  with  $\beta^2 \sim r_g/r \rightarrow r \sim r_g/(\lambda - 1)$   
analogue of Vainshtein radius???

dynamical



GR

$r \sim r_g/(\lambda - 1)$

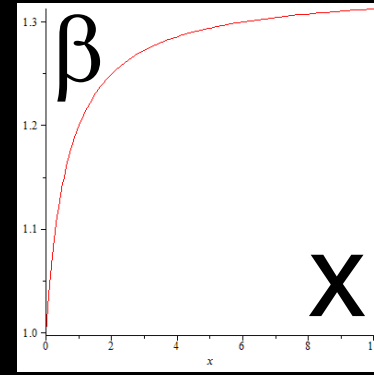
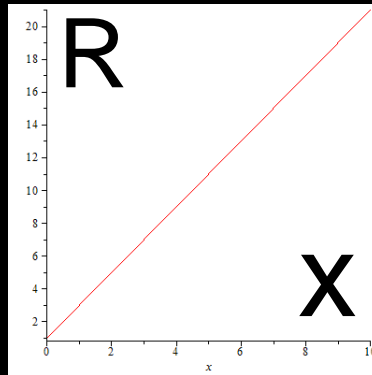
non-GR

Izumi & Mukohyama 2009  
“Stellar center is dynamical”

# Analogue of Vainshtein effect

- Numerical integration **in the “-” branch** with  $\beta(x=0)=1$ ,  $r(x=0)=1$ ,  $r'(x=0)$  given

for  
 $\lambda-1=10^{-6}$   
 $r'(x=0)=2$



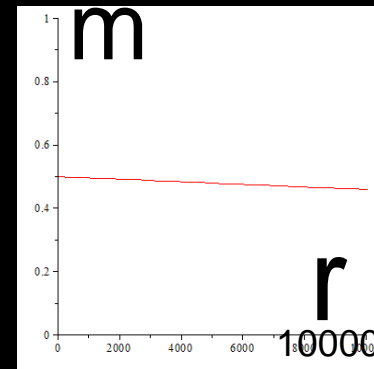
- Misner-Sharp energy

$$m \equiv \frac{r}{2} \left[ 1 - (1 - \beta^2)(r')^2 \right]$$

almost constant



**GR is recovered!**



# Caustic avoidance

JCAP 0909:005,2009

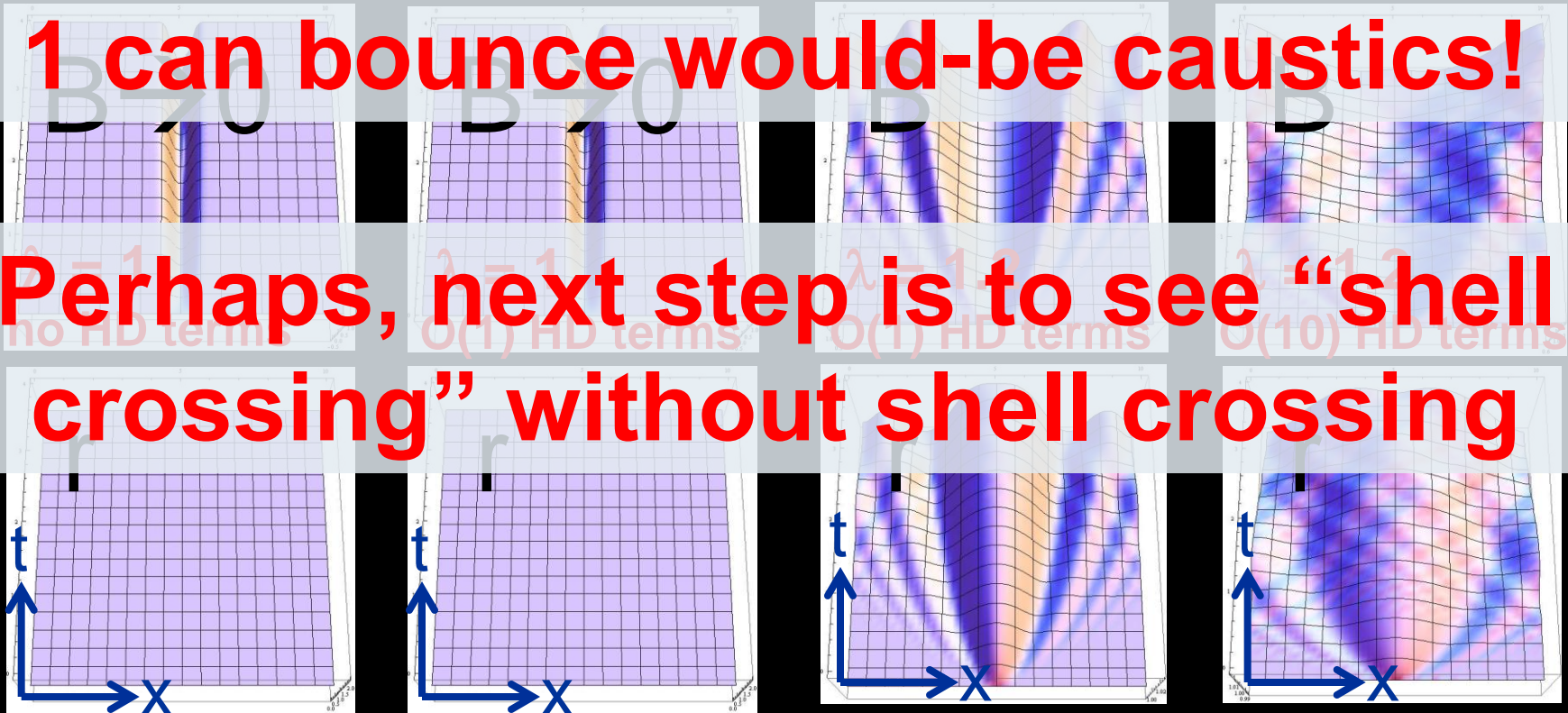
- In GR, congruence of geodesics forms caustics because gravity is attractive.
- HL gravity is repulsive at short distances, due to higher curvature terms.  
(c.f. bouncing FRW universe)
- With codimension 2 and 3, higher curvature terms can bounce would-be caustics.
- With codimension 1, deviation of  $\lambda$  from 1 is also needed to bounce would-be caustics.

# Caustic avoidance

$$N = 1 \quad N_i = 0$$

**HD terms and deviation of  $\lambda$  from 1 can bounce would-be caustics!**

**Perhaps, next step is to see “shell crossing” without shell crossing**



# Summary

- Horava-Lifshitz gravity is **power-counting renormalizable** and can be a candidate theory of quantum gravity.
- While there are many fundamental issues to be addressed, it is interesting to investigate cosmological implications.
- The  $z=3$  scaling **solves horizon problem** and leads to **scale-invariant cosmological perturbations** for  $a \sim t^p$  with  $p > 1/3$ .
- HL gravity does NOT recover GR at low-E but can instead mimic GR+CDM: **“dark matter as an integral constant”**. Constraint algebra is smaller than GR since **the time slicing and the “dark matter” rest frame are synchronized**.
- For spherically-symmetric, static, vacuum configurations, **GR is recovered in the limit  $\lambda \rightarrow 1$  non-perturbatively**.
- **Caustics avoidance** requires higher curvature terms and deviation of  $\lambda$  from 1 in the UV. Next step is to see if bounce of shells can mimic shell crossing.

# Future works

- Renormalizability beyond power-counting
- RG flow: is  $\lambda = 1$  an IR fixed point ? Does it satisfy the stability condition for the scalar graviton?  
(  $|c_s| < \text{Max} [|\Phi|^{1/2}, HL]$  for  $\text{Max}[M^{-1}, 0.01\text{mm}] < L < H^{-1}$ )
- Is there Vainshtein effect in general?  
e.g. superhorizon nonlinear cosmological perturbations (to appear soon, with K.Izumi)
- Can we get a common “limit of speed” ?  
(i)  $M_{z=3} \ll M_{\text{pl}}$ , (ii) supersymmetry, (iii) other ideas?
- Micro & macro behavior of “CDM”
- Adiabatic initial condition for “CDM” from the  $z=3$  scaling
- Spectral tilt from anomalous dimension
- Extensions of the original theory: Blas, et.al; Horava & Melby-Thompson ...





Backup slides

# **GOING BACK TO HORAVA'S IDEA**

# Horava-Lifshitz gravity

Horava (2009)

- Basic quantities:  
lapse  $N(t)$ , shift  $N^i(t, x)$ , 3d spatial metric  $g_{ij}(t, x)$
- ADM metric (emergent in the IR)  
 $ds^2 = -N^2 dt^2 + g_{ij} (dx^i + N^i dt)(dx^j + N^j dt)$
- Foliation-preserving diffeomorphism  
 $t \rightarrow t'(t), \quad x^i \rightarrow x'^i(t, x^j)$
- Anisotropic scaling with  $z=3$  in UV  
 $t \rightarrow b^z t, \quad x^i \rightarrow b x^i$
- Ingredients in the action

$$K_{ij} = \frac{1}{2N} \left( \partial_t g_{ij} - D_i N_j - D_j N_i \right) \quad (C_{ijkl} = 0 \text{ in 3d})$$

# UV action with $z=3$

- Kinetic terms (**2<sup>nd</sup> time derivative**)

$$\int N dt \sqrt{g} d^3 x \left( K_{ij} K^{ij} - \lambda K^2 \right)$$

c.f.  $\lambda = 1$  for GR

- **$z=3$**  potential terms (**6<sup>th</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ \begin{array}{ccc} D_i R_{jk} D^i R^{jk} & D_i R D^i R & \\ R_i^j R_j^k R_k^i & R R_i^j R_j^i & R^3 \end{array} \right]$$

c.f.  $D_i R_{jk} D^j R^{ki}$  is written in terms of other terms

# Relevant deformations (with parity)

- z=2 potential terms (**4<sup>th</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ R_i^j R_j^i \quad R^2 \right]$$

- z=1 potential term (**2<sup>nd</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ R \right]$$

- z=0 potential term (**no derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ 1 \right]$$

# IR action with $z=1$

- **UV:  $z=3$**  , power-counting renormalizability  
    ↓ RG flow
- **IR:  $z=1$**  , seems to recover GR iff  $\lambda \rightarrow 1$

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3 x \left( \overbrace{K_{ij} K^{ij} - \lambda K^2}^{\text{kinetic term}} + \underbrace{c_g^2 R - 2\Lambda}_{\text{IR potential}} \right)$$

note:

Renormalizability has not been proved.  
RG flow has not yet been investigated.

# Projectability condition

- Infinitesimal tr.  $\delta t = f(t)$ ,  $\delta x^i = \zeta^i(t, x^j)$   
$$\delta g_{ij} = \partial_i \zeta^k g_{jk} + \partial_j \zeta^k g_{ik} + \zeta^k \partial_k g_{ij} + f \dot{g}_{ij}$$
  
$$\delta N_i = \partial_i \zeta^j N_j + \zeta^j \partial_j N_i + \dot{\zeta}^j g_{ij} + \dot{f} N_i + f \dot{N}_i$$
  
$$\delta N = \zeta^i \partial_i N + \dot{f} N + f \dot{N}$$
- Space-independent  $N$  cannot be transformed to space-dependent  $N$ .
- $N$  is gauge d.o.f. associated with the space-independent time reparametrization.
- It is natural to restrict  $N$  to be space-independent.
- Consequently, Hamiltonian constraint is an equation integrated over a whole space.

# Non-Gaussianity

w/ K.Izumi and T.Kobayashi  
to appear



# Bispectrum of $z=3$ scalar

Leading 3-point interactions with shift symmetry

$$L_1 = -\frac{\alpha_1}{M^5} (\Delta\phi)^3$$

$$L_2 = -\frac{\alpha_2}{M^5} (\Delta^2\phi)(\Delta\phi)\phi$$

$$L_2 = -\frac{\alpha_3}{M^5} (\Delta^3\phi)\phi^2$$

Corresponding  $H_1 dt$  has scaling dim 0 !

# Order estimate

## Power spectrum

$$P_\phi \propto \langle 0 | \phi \phi | 0 \rangle \propto M^2 \times \left( \frac{H}{M} \right)^{2 \times 0} = M^2$$

## Bispectrum

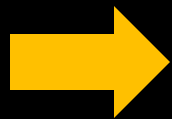
$$B_\phi \propto \langle 0 | \phi \phi \phi | 0 \rangle_c \propto i \int dt_1 \langle [H_I(t_1), \phi \phi \phi] \rangle_c \propto \alpha \times M^3 \times \left( \frac{H}{M} \right)^{0+3 \times 0} = \alpha M^3$$

## After conversion to curvature perturbation

$$B_\zeta \sim \alpha \times (P_\zeta)^{3/2}$$

$$f_{NL} \sim \frac{B_\zeta}{(P_\zeta)^2} \sim \alpha (P_\zeta)^{-1/2} \sim 10^5 \times \alpha$$

**Totally independent of background evolution!**



Strong constraint on  $\alpha$ , perhaps requiring asymptotic freedom of the theory.

# Black holes with $N=N(t)$ ?

- Schwarzschild BH in PG coordinate

$$ds^2 = -dt_p^2 + \left( dr \pm \sqrt{\frac{2m}{r}} dt_p \right)^2 + r^2 d\Omega^2$$

exact sol  
for  $\lambda = 1$

- Gaussian normal coordinate

$$ds^2 = -dt_G^2 + \dots$$

approx sol  
for  $\lambda = 1$

Lemaitre reference frame

Doran coordinate

# A free scalar field (I)

$$I = \frac{1}{2} \int dt d^3 \vec{x} a^3 N \sqrt{q} \left[ \frac{1}{N^2} (\partial_t \Phi - N^i \partial_i \Phi)^2 + \Phi \mathcal{O} \Phi \right]$$

$$\mathcal{O} = \underbrace{\frac{\Delta^3}{M^4}}_{\text{UV: } z=3} - \frac{\kappa \Delta^2}{M^2} + \underbrace{\Delta - m_\phi^2}_{\text{IR: } z=1}$$

FRW background with  $H \gg M$

$$I_{UV} = \frac{1}{2} \int d\eta d^3 \vec{x} \left[ a^2 (\partial_\eta \delta \Phi)^2 + \frac{1}{M^4 a^2} \delta \Phi (\delta^{ij} \partial_i \partial_j)^3 \delta \Phi \right]$$

$$(\delta \Phi_1, \delta \Phi_2)_{KG} = -i \int d\vec{x}^3 a^2 (\delta \Phi_1 \partial_\eta \delta \Phi_2^* - \delta \Phi_2^* \partial_\eta \delta \Phi_1)$$

# A free scalar field (II)

Normalized mode function

$$\phi_{\vec{k}} = \frac{e^{i\vec{k}\cdot\vec{x}}}{(2\pi)^3} \times 2^{-1/2} k^{-3/2} M \exp\left(-i \frac{k^3}{M^2} \int \frac{d\eta}{a^2}\right)$$

for  $a \propto t^p$ ,  $p > 1/3$

$$\int^{\eta_\infty} \frac{d\eta}{a^2} = \int^{t_\infty} \frac{dt}{a^3} \quad \text{converges}$$

$\phi_{\vec{k}}$  initially oscillates and freezes @  $\omega^2 \sim H^2$

Power spectrum

$$\mathcal{P}_{\delta\Phi}^{1/2} = \sqrt{\frac{k^3}{2\pi^2}} \left| (2\pi)^3 \phi_{\vec{k}} \right| = \frac{M}{2\pi}$$

**independent of H and scale-invariant!**

# General case

- General solution to the momentum constraint and dynamical eq.

$$G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} + O(\lambda - 1) \\ + (\text{higher curvature corrections}) \\ = 8\pi G_N \left( T_{\mu\nu} + \rho^{HL} n_\mu n_\nu \right)$$

- Global Hamiltonian constraint

$$\int d^3x \sqrt{g} \rho^{HL} = 0$$

- Bianchi identity  $\rightarrow$  (non-)conservation eq  
 $\rightarrow$  initial condition of “dark matter”

$$+ (\text{higher curvature corrections})$$

# Four versions of HL gravity

- There are at least four versions of the theory: w/wo detailed balance & w/wo projectability.
- Only the version **without the detailed balance condition with the projectability condition** has a potential to be theoretically consistent and cosmologically viable.
- Horava's original proposal was **with the projectability condition** and with/without the detailed balance condition.
- There is an attempt to **extend the non-projectable theory by introducing  $a_i = (\ln N)_i$**  [Blas, Pujolas and Sibiryakov 2009].

“On the extra mode and inconsistency of Horava gravity”, by Blas, Pujolas and Sibiryakov,  
arXiv:0906.3046

- This paper has three statements about the projectable version: (i) Formation of caustics **without** taking into account backreaction of higher curvature terms to geometry; (ii) Relation to ghost condensate **without** taking into account difference in symmetries; (iii) **Low strong-coupling scale of their low-E EFT away from  $\lambda=1$** . This does not imply breakdown of the underlining UV theory. (See “note added” in arXiv:0906.5069.)
- Contrary to (iii), we know that **the scalar graviton gets strongly coupled only at  $\lambda=1$** . This is not a problem if there is “Vainshtein effect” and if the theory is renormalizable.



# Stellar center is dynamical in Horava-Lifshitz gravity

arXiv:0911.1814 [hep-th]  
with K.Izumi

# Black holes and stars

- Schwarzschild geometry in PG coordinate ( $N=1$ ) is locally an exact solution with  $\lambda = 1$ .
- Kerr geometry in Doran coordinate ( $N=1, N^i=0$ ) is locally an approximate solution with  $\lambda = 1$ .
- Those solutions are “black” for low-E probes but not “black” for high-E probes. **Visible singularity?**
- Extrinsic curvature diverges at the center of those solutions  $\rightarrow$  UV effects such as deviation of  $\lambda$  from 1  
 $\rightarrow$  **Do UV effects resolve BH singularity?**
- To answer this question, we probably need to evolve a regular initial data towards BH formation.
- **As a first step, let us consider stellar solutions.**

# Basic setup

## Painlevé-Gullstrand coordinate

$$N = 1 \quad N^i \partial_i = \beta(x) \partial_x$$

$$g_{ij} dx^i dx^j = dx^2 + r^2(x) d\Omega_2^2$$

## Matter sector

$$T_{\mu\nu} = \rho(x) u_\mu u_\nu + P(x) \left[ g_{\mu\nu}^{(4)} + u_\mu u_\nu \right]$$
$$u^\mu = \frac{\xi^\mu}{\sqrt{1 - \beta^2}} \quad \xi^\mu = \left( \frac{\partial}{\partial t} \right)^\mu$$

- The energy density  $\rho$  is a piecewise-continuous non-negative function of the pressure  $P$ .
- The central pressure  $P_c$  is positive.

# No static star solution

- Momentum conservation equation

$$P'(1 - \beta^2) + (\rho + P)(1 - \beta^2)' = 0$$

- Global-staticity  $\rightarrow 1 - \beta^2 > 0$  everywhere.
- Regularity of  $K^x_x \rightarrow \beta'$  is finite  $\rightarrow P'$  is also finite  $\rightarrow \beta(x)$  and  $P(x)$  are continuous  $\rightarrow \rho(x) + P(x)$  is piecewise-continuous.
- $P_c > 0$  &  $P$  continuous &  $\rho$  non-negative  $\rightarrow \rho + P > 0$  in a neighborhood of the center.
- Define  $x_0$  as the minimal value for which at least one of  $(\rho + P)|_{x=x_0}$ ,  $\lim_{x \rightarrow x_0 - 0}(\rho + P)$  and  $\lim_{x \rightarrow x_0 + 0}(\rho + P)$  is non-positive.

$$\ln(1 - \beta_0^2) - \ln(1 - \beta_c^2) = - \int_{P_c}^{P_0} \frac{dP}{\rho(P) + P}$$

- L.h.s. is non-positive  $\leftarrow \beta_c=0$  &  $r_c'=1$   $\leftarrow$  regularity of  $R$  &  $K_\theta^\theta$
- R.h.s. is positive  $\leftarrow P_0$  is non-positive  $\leftarrow \rho$  is non-negative & at least one of  $(\rho + P)|_{x=x_0}$ ,  $\lim_{x \rightarrow x_0-0}(\rho + P)$  and  $\lim_{x \rightarrow x_0+0}(\rho + P)$  is non-positive &  $P(x)$  is continuous
- Contradiction!  $\rightarrow$  **no spherically-symmetric globally-static solutions**  $\rightarrow$  stellar center is dynamical
- The proof is insensitive to the structure of higher-derivative terms  $\rightarrow$  valid for any  $z$

$$\ln(1 - \beta_0^2) - \ln(1 - \beta_c^2) = - \int_{P_c}^{P_0} \frac{dP}{\rho(P) + P}$$

- L.h.s. is non-positive  $\leftarrow \beta_c=0$  &  $r_c'=1$   $\leftarrow$

regularity of  $R$  &  $K^\theta$

**The proof supports**

- R.h.s. is positive  $\leftarrow P_0$  is non-positive  $\leftarrow \rho$  is non-negative & at least one of  $(\rho + P)|_{x=x_0}$ ,

**“DM” accretes toward a star and makes stellar center dynamical**

- Contradiction!  $\rightarrow$  **no spherically-symmetric globally-static solutions**  $\rightarrow$  stellar center is dynamical
- The proof is insensitive to the structure of higher-derivative terms  $\rightarrow$  valid for any  $z$

# Note

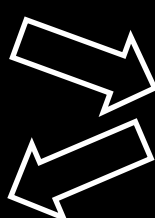
- Imposing local Hamiltonian constraint would result in theoretical inconsistencies and phenomenological obstacles.
- “Strong coupling in Horava gravity”  
by C.Charmousis, et.al., arXiv:0905.2579
- “A trouble with Horava-Lifshitz gravity”  
by M.Li and Y.Pang, arXiv:0905.2751
- “A dynamical inconsistency of Horava gravity”  
by M.Henneaux, et.al., arXiv:0912.0399
- Those problems disappear once we notice that there is no local Hamiltonian constraint.  
(c.f. section 5 of arXiv:0905.3563)

# Non-projectable theory in IR limit

Local Hamiltonian constraint

$$\mathcal{H} \equiv \mathcal{G}_{ijkl} \frac{\pi^{ij} \pi^{kl}}{\sqrt{g}} - \sqrt{g} R$$
$$\mathcal{G}_{ijkl} = \frac{1}{2}(g_{ik}g_{jl} + g_{il}g_{jk}) - \frac{\lambda}{3\lambda - 1}g_{ij}g_{kl}$$
$$\frac{\pi^{ij}}{\sqrt{g}} = G^{ijkl} K_{kl}$$
$$G^{ijkl} = \frac{1}{2}(g^{ik}g^{jl} + g^{il}g^{jk}) - \lambda g^{ij}g^{kl}$$

Secondary constraint

$$\nabla_i \left[ N^2 \partial^i \left( \frac{\pi}{\sqrt{g}} \right) \right] = 0$$
$$\pi = 0$$

$$\int d^3x \sqrt{g} N^2 \left[ \partial_i \left( \frac{\pi}{\sqrt{g}} \right) \right]^2 = 0$$

Additional secondary constraint

$$(\nabla^2 - R)N \cong 0$$



## Additional secondary constraint

$$(\nabla^2 - R)N \cong 0 \iff \int d^3x \sqrt{g} [(\nabla N)^2 + RN^2] = 0$$

$$N = 0 \text{ if } R > 0$$

## Local Hamiltonian constraint

$$\mathcal{H} \equiv \mathcal{G}_{ijkl} \frac{\pi^{ij} \pi^{kl}}{\sqrt{g}} - \sqrt{g} R = 0 \text{ with } \pi = 0$$

$$\implies R > 0 \text{ or } K_{ij} = 0$$

## Corollary

$$N = 0 \text{ or } K_{ij} = 0$$

**No dynamics !**

Thus, in the rest of this talk we impose the projectability condition,  $N=N(t)$ .

# Dark matter as integration constant

- Def.  $T_{\mu\nu}^{HL}$   $G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} = 8\pi G_N (T_{\mu\nu} + T_{\mu\nu}^{HL})$
- General solution to the momentum constraint and dynamical eq.

**GR is NOT recovered**

- Global Hamiltonian constraint

**but GR+CDM is!**

$\rho^{HL}$  can be positive everywhere in our patch of the universe inside the horizon.

- Bianchi identity  $\rightarrow$  (non-)conservation eq

$$\partial_{\perp} \rho^{HL} + K \rho^{HL} = n^{\nu} \nabla^{\mu} T_{\mu\nu}$$

# Dark matter as integration constant

- Def.  $T_{\mu\nu}^{HL} = G_{\mu\nu}^{(4)} - \Lambda_{\mu\nu}^{(4)} = 8\pi G_{HL} (T_{\mu\nu} + T_{\mu\nu}^{HL})$
- General solution to the momentum constraint and dynamical eq.

$$T_{\mu\nu}^{HL} = \rho^{HL} n_{\mu} n_{\nu}, \quad n^{\mu} \nabla_{\mu} n_{\nu} = 0$$

- Global Hamiltonian constraint  
 $\int d^3x \sqrt{g} \rho^{HL} = 0$   
 $\rho^{HL}$  can be positive everywhere in our patch of the Universe inside the horizon.  
**star: "CDM" accretes!**
- Bianchi identity  $\rightarrow$  (non-)conservation eq  
**[arXiv:0911.1814 w/ K.Izumi]**

$$\partial_{\perp} \rho^{HL} + K \rho^{HL} = n^{\nu} \nabla^{\mu} T_{\mu\nu}$$

- 
- Understanding the universe is one of our greatest dreams.
  - Quantum gravity is another great dream.
  - In January 2009, Horava proposed a power-counting renormalizable theory of gravitation.
  - Why don't we apply Horava's theory to cosmology?

The Cosmic Uroboros by  
Sheldon Glashow

# Horava-Lifshitz cosmology

- Higher curvature terms lead to **regular bounce** (Calcagni 2009, Brandenberger 2009).
- Higher curvature terms ( $1/a^6$ ,  $1/a^4$ ) might make the **flatness problem milder** (Kiritsis&Kofinas 2009).
- The  $z=3$  scaling **solves the horizon problem** and leads to **scale-invariant cosmological perturbations** without inflation (Mukohyama 2009).
- Absence of local Hamiltonian constraint leads to **CDM as integration “constant”** (Mukohyama 2009).
- New mechanism for generation of **primordial magnetic seed field** (Maeda, Mukohyama, Shiromizu 2009).

# Summary so far

- The  $z=3$  scaling **solves horizon problem** and leads to **scale-invariant cosmological perturbations** for  $a \sim t^p$  with  $p > 1/3$ .
- The lack of local Hamiltonian constraint may explain **“dark matter” without dark matter**. GR is NOT recovered: constraint algebra is smaller than GR since **the time slicing and the “dark matter” rest frame are synchronized in the theory level**.

## The rest of this talk

- Comments on scalar graviton
- Non-Gaussianity

# Propagating d.o.f.

- Minkowski + perturbation

$$N = 1, N^i = 0, g_{ij} = \delta_{ij} + h_{ij}$$

- Residual gauge freedom = time-independent spatial diffeo.

- Momentum constraint

$$\partial_t \partial_i H_{ij} = 0 \quad H_{ij} \equiv h_{ij} - \lambda h \delta_{ij}$$

- Fix the residual gauge freedom by setting

$$\partial_i H_{ij} = 0 \quad \text{at some fixed time surface.}$$

- Decompose  $H_{ij}$  into trace and traceless parts

TT part : 2 d.o.f. (usual tensor graviton)

Trace part : 1 d.o.f. (scalar graviton)

# Scalar graviton and $\lambda \rightarrow 1$

$$h_{ij} = \tilde{H}_{ij} + \frac{1-\lambda}{2(1-3\lambda)} H \delta_{ij} - \frac{\partial_i \partial_j}{2\partial^2} H$$

- In the limit  $\lambda \rightarrow 1$ , the scalar graviton  $H$  becomes pure gauge. So, it **decouples**.
- However, its kinetic term will vanish

$$I_{kin} \sim \int dt d^3x \left[ (\partial_t \tilde{H}_{ij})^2 + \frac{\lambda-1}{2(3\lambda-1)} (\partial_t H)^2 \right]$$

and  $H$  gets **strongly self-coupled**.

- It is important to see if there is “Vainshtein effect”, i.e. decoupling of the strongly-coupled sector from the rest of the world.



# Linear instability of scalar graviton

Appendix C of arXiv:0911.1814 with K.Izumi

- Sign of (time) kinetic term  $(\lambda-1)/(3\lambda-1) > 0$ .
- The dispersion relation in flat background  $\omega^2 = c_s^2 k^2 \times [1 + O(k^2/M^2)]$  with  $c_s^2 = -(\lambda-1)/(3\lambda-1) < 0$   
→ **IR instability in linear level**  
(Wang&Maartens; Blas,et.al.; Koyama&Arroja 2009)
- Slower than Jeans instability of “DM as integration const” if  $t_J \sim (G_N \rho)^{-1/2} < t_L \sim L/|c_s|$ .
- Tamed by Hubble friction or/and  $O(k^2/M^2)$  terms if  $H^{-1} < t_L$  or/and  $L < 1/(|c_s|M)$ .
- Thus, the linear instability **does not show up if**  
 **$|c_s| < \text{Max}[|\Phi|^{1/2}, HL, 1/(ML)]$** . ( $\Phi \sim -G_N \rho L^2$ )  
 $L > 0.01 \text{mm}$  (Shorter scales → similar to spacetime foam)
- **Phenomenological constraint on properties of RG flow.**

# Contents of this talk

- Basics of Horava-Lifshitz gravity
- Generation of scale-invariant cosmological perturbation
- Dark matter as integration “constant”
- Comments on scalar graviton

$\ln L$

# Horizon exit and re-entry

$$a \propto t^p$$

$$1/3 < p < 1$$

Curvaton mechanism  
or/and  
Modulated decay

sup  
sound horizon  $\sim (M^2 H)^{-1/3}$

sound horizon  $\sim H^{-1}$

$H \gg M$

$H \ll M$

$\ln a$

# Structure of GR

- 4D diffeomorphism  $\rightarrow$   
4 constraints = 1 Hamiltonian + 3 momentum  
**@ each time @ each point**
- **Constraints are preserved by dynamical equations.**
- We can solve dynamical equations, provided that constraints are satisfied at initial time.

# FRW spacetime in GR

- $ds^2 = - dt^2 + a^2(t) (dx^2 + dy^2 + dz^2)$
- Approximates overall behavior of our patch of the universe inside the Hubble horizon.
- Hamiltonian constraint  $3 \frac{\dot{a}^2}{a^2} = 8\pi G_N \sum_{i=1}^n \rho_i$   
→ Friedmann eq
- E.o.m. of matter  $\dot{\rho}_i + 3 \frac{\dot{a}}{a} (\rho_i + P_i) = 0$   
→ conservation eq.
- **Dynamical eq**  $-2 \frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} = 8\pi G_N \sum_{i=1}^n P_i$   
**is not independent**  
but follows from the above  $n+1$  eqs.

# Scalar with $z=3$

free part  $I_\phi = \frac{1}{2} \int dt d^3x \left( \dot{\phi}^2 + \phi \mathcal{O} \phi \right)$

FERMI, MAGIC  
→  $M > 10^{11} \text{ GeV}$   
for photon

$$\mathcal{O} = \underbrace{\frac{\Delta^3}{M^4}}_{\text{UV: } z=3} - \frac{\kappa \Delta^2}{M^2} + \underbrace{c_\phi^2 \Delta - m_\phi^2}_{\text{IR: } z=1}$$

- **UV:  $z=3$** , renormalizable nonlinear theory



RG flow

- **IR:  $z=1$** , familiar Lorentz invariant theory

Note: we need a mechanism or symmetry to make “limits of speed” of different species to be essentially the same. Perhaps, embedding into an unified theory is necessary.