

Error of paraxial approximation

T. Agoh

- CSR impedance below cutoff frequency

$$k \lesssim \pi/h$$

- Not important in practical applications

$$Z_{(\text{CSR})} \lesssim Z_{(\text{RW})}$$

Wave equation in the frequency domain

2

$$\left[\partial_s^2 + 2ik\partial_s + g^2 \nabla_{\perp}^2 + k^2(\beta^2 g^2 - 1) \right] E_x + \kappa_{\rho} \left\{ (g\partial_x - \kappa_{\rho}) E_x - 2(ik + \partial_s) E_s \right\} \\ - g^{-1} (\partial_s \kappa_{\rho}) \left\{ x(ik + \partial_s) E_x + E_s \right\} = g^2 \partial_x J_0$$

$$\left[\partial_s^2 + 2ik\partial_s + g^2 \nabla_{\perp}^2 + k^2(\beta^2 g^2 - 1) \right] E_y + g\kappa_{\rho} \partial_x E_y - g^{-1} x (\partial_s \kappa_{\rho}) (ik + \partial_s) E_y = g^2 \partial_y J_0$$

$$\left[\partial_s^2 + 2ik\partial_s + g^2 \nabla_{\perp}^2 + k^2(\beta^2 g^2 - 1) \right] E_s + \kappa_{\rho} \left\{ (g\partial_x - \kappa_{\rho}) E_s + 2(ik + \partial_s) E_x \right\} \\ - g^{-1} (\partial_s \kappa_{\rho}) \left\{ x(ik + \partial_s) E_s - E_x \right\} = ik g (1 - g\beta^2) J_0$$

Gauss' s

law

Curvature

$$-(ik + \partial_s) E_s = g(\nabla_{\perp} \cdot \mathbf{E}_{\perp} - J_0) + \kappa_{\rho} E_x$$

$$\kappa_{\rho} = \frac{1}{\rho} \quad g = 1 + \frac{x}{\rho}$$

Parabolic equation

$$\left[2ik\partial_s + \nabla_{\perp}^2 + k^2 \left(\frac{2x}{\rho} - \frac{1}{\gamma^2} \right) \right] \mathbf{E}_{\perp} = \nabla_{\perp} J_0$$

Condition

$$k \gg \frac{\pi}{h}$$

cutoff frequency

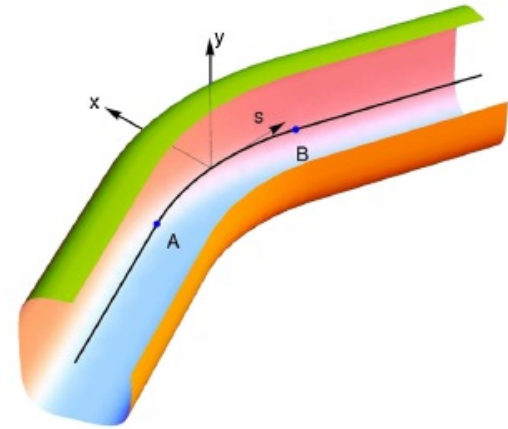
$$-ikE_s = \nabla_{\perp} \cdot \mathbf{E}_{\perp} - J_0$$

Transient field of CSR in a beam pipe

Eigenmode expansion

$$\hat{\mathbf{E}}_{\perp}(x, y, s) = \sum_{m,p} C_{mp}(s) \hat{\mathbf{E}}_{mp,\perp}(x, y, s)$$

G. V. Stupakov and I. A. Kotelnikov,
PRST-AB 12, 104401 (2009)

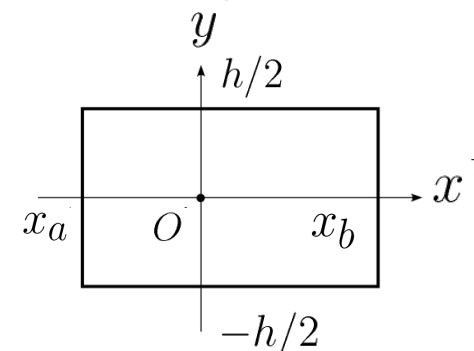
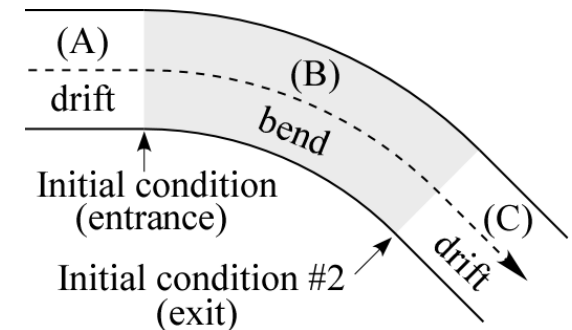


Laplace transform

Initial value problem with respect to s

$$\left(2ik \frac{\partial}{\partial s} + \nabla_{\perp}^2 + 2k^2 \frac{x}{\rho} \theta(s) \right) \mathbf{E}_{\perp} = \mu_0 \nabla_{\perp} J_0$$

$$F(r) = \int_0^{\infty} f(\varsigma) e^{-\varsigma r} d\varsigma, \quad \text{where } \varsigma = \kappa_s s, \quad \kappa_s = \left(\frac{k}{2\rho^2} \right)^{1/3}$$



Generally speaking about field analysis,

Eigenmode expansion

$$\psi = \sum_n c_n |n\rangle, \quad H|n\rangle = \lambda_n |n\rangle$$

Algebraic
<abstract, symbolic>

compact & beautiful formalism
(orthonormality, completeness)

easier to describe resonant field
= eigenstate of the structure

easier to deal with on computer
= useful in practical applications

Fourier, Laplace analysis

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V \right) \psi = i\hbar \frac{\partial \psi}{\partial t}$$

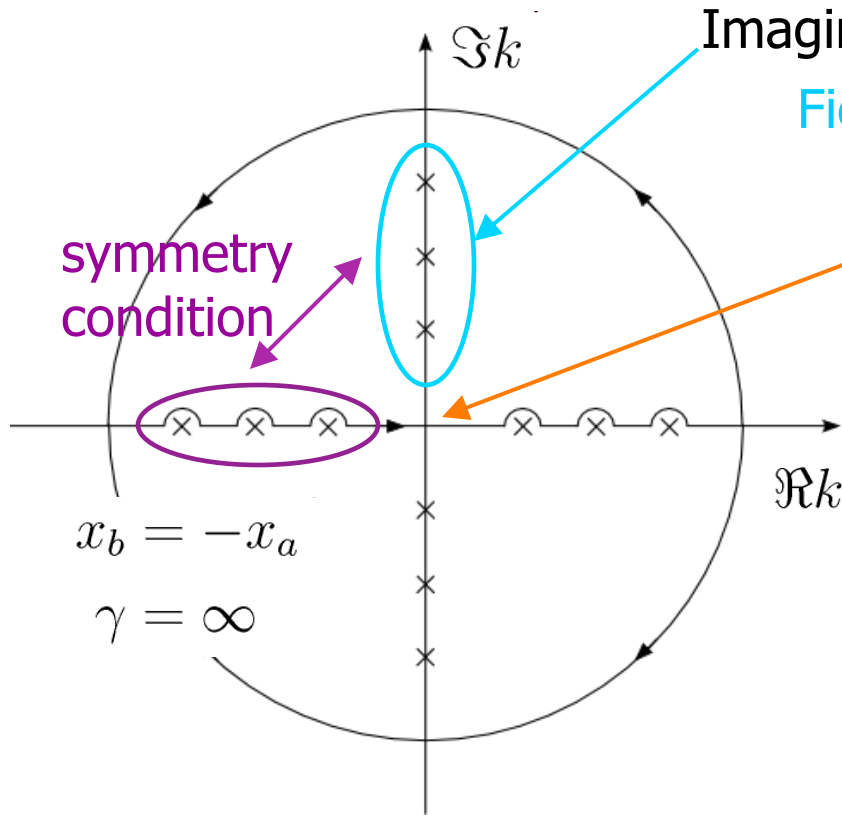
Analytic
<concrete, graphic>

straightforward formulation
but often complex & intricate

resonance = singularity, δ -function
(special treatment needed)
easier to examine the structure
in non-resonant region

concrete and clear picture
to human brain

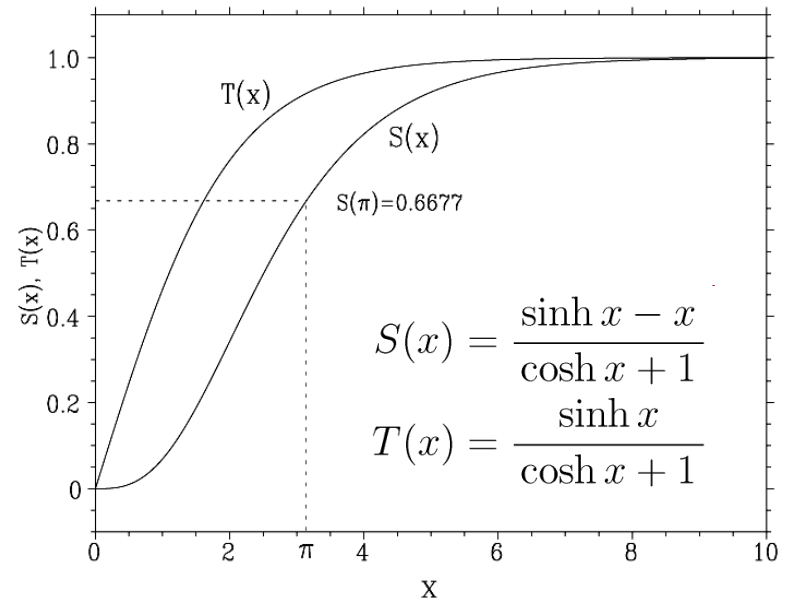
e.g.) Field structure of steady CSR in a perfectly conducting rectangular pipe



$$Z_{\text{rad}}(k) \simeq -iZ_0 \frac{3k^3}{2\pi\rho^2} \left(\frac{h}{\pi}\right)^4 S\left(\pi\frac{w}{h}\right) \quad (\text{if } w \gtrsim h)$$

Field in front of the bunch $e^{-\bar{k}_m|z-z'|}$

Regularity at the origin
No pole nor branch point
 (byproduct) effect of sidewalls



Transient field of CSR inside magnet

- Transverse field

$$\mathbf{E}_\perp(\xi, \eta, k; s) = \sum_{p=0}^{\infty} \begin{pmatrix} 2\mathcal{E}_x^p(\xi, k; s) \cos(\beta_p \eta) \\ 2i\mathcal{E}_y^p(\xi, k; s) \sin(\beta_p \eta) \end{pmatrix}$$

$$\frac{\mathcal{E}_x^p(\xi, k; s)}{i\pi A_0} = \frac{\mathcal{E}_x^p(\xi; 0)}{i\pi A_0} + \sum_{j=1}^{\infty} (1 - e^{i\varrho_{jp}^x \kappa_s s}) \frac{\hat{r}(v_{jp}^x, w_{jp}^x(\xi))}{\partial_{\varrho} \hat{s}(v_{jp}^x, u_{jp}^x)} \int_{\xi_a}^{\xi_b} d\xi' \left(\frac{\psi_x(\xi')}{\varrho_{jp}^x} - \frac{\mathcal{E}_y^p(\xi'; 0)}{i\beta_p A_0} \right) \hat{s}(w_{jp}^x(\xi'), u_{jp}^x)$$

$$\frac{\mathcal{E}_y^p(\xi, k; s)}{i\pi A_0} = \frac{\mathcal{E}_y^p(\xi; 0)}{i\pi A_0} - \sum_{j=1}^{\infty} (1 - e^{i\varrho_{jp}^y \kappa_s s}) \frac{\hat{p}(v_{jp}^y, w_{jp}^y(\xi))}{\partial_{\varrho} \hat{p}(v_{jp}^y, u_{jp}^y)} \int_{\xi_a}^{\xi_b} d\xi' \frac{\mathcal{E}_y^p(\xi'; 0)}{i\pi A_0} \hat{p}(w_{jp}^y(\xi'), u_{jp}^y)$$

- Longitudinal field

$$E_s(\xi, \eta, k; s) = \sum_{p=0}^{\infty} 2\mathcal{E}_s^p(\xi, k; s) \cos(\beta_p \eta)$$

$$\begin{aligned} \frac{\mathcal{E}_s^p(\xi, k; s)}{\pi A_0 \kappa / k} &= \sum_{j=1}^{\infty} \boxed{(1 - e^{i\varrho_{jp}^x \kappa_s s})} \frac{\hat{s}(v_{jp}^x, w_{jp}^x(\xi))}{\partial_{\varrho} \hat{s}(v_{jp}^x, u_{jp}^x)} \int_{\xi_a}^{\xi_b} d\xi' \hat{s}(w_{jp}^x(\xi'), u_{jp}^x) \left(\frac{\psi_x(\xi')}{\varrho_{jp}^x} - \frac{\mathcal{E}_y^p(\xi'; 0)}{i\beta_p A_0} \right) \\ &+ \beta_p^2 \sum_{j=1}^{\infty} \boxed{(1 - e^{i\varrho_{jp}^y \kappa_s s})} \frac{\hat{p}(v_{jp}^y, w_{jp}^y(\xi))}{\partial_{\varrho} \hat{p}(v_{jp}^y, u_{jp}^y)} \int_{\xi_a}^{\xi_b} d\xi' \hat{p}(w_{jp}^y(\xi'), u_{jp}^y) \left(\frac{\psi_x(\xi')}{\varrho_{jp}^y} - \frac{\mathcal{E}_y^p(\xi'; 0)}{i\beta_p A_0} \right) \end{aligned}$$

s-dependence is only here

s-dependence of transient CSR inside magnet

$$F(r) = \int_0^\infty f(\varsigma) e^{-\varsigma r} d\varsigma, \quad \text{where } \varsigma = \kappa_s s, \quad \kappa_s = \left(\frac{k}{2\rho^2}\right)^{1/3} = (\text{formation length})^{-1}$$

Poles of $F(r)$ on the Laplace plane $r \in \mathbb{C}$

$$r = i\rho_{jp} \quad (\rho \in \mathbb{R} \text{ for } k \in \mathbb{R})$$

$$e^{i\rho_{jp}\kappa_s s} \sim e^{ia_{jp}s/k} \quad \text{for } k \rightarrow 0$$

$$\therefore \rho_{jp}\kappa_s \sim -\left\{\left(\frac{\pi j}{\kappa w}\right)^2 + \left(\frac{\alpha_p}{\kappa}\right)^2\right\} \left(\frac{k}{2\rho^2}\right)^{1/3} = -\frac{1}{2k} \left\{\left(\frac{\pi j}{w}\right)^2 + \alpha_p^2\right\} \quad (\text{for } k \rightarrow 0)$$

That is,

$$k \rightarrow 0 \Rightarrow s/k \rightarrow \infty \Rightarrow s \rightarrow \infty$$

(low freq. limit) (steady field)

frozen

in the framework of the paraxial approximation.

Assumption: This fact must hold also in the **exact** Maxwell theory.

- Steady field a in perfectly conducting rectangular pipe

$$Z(k) = -iZ_0 \frac{2\pi}{\beta h} \sum_{p=0}^{\infty} \Lambda_p \left[\frac{\check{s}(v_p, w_p) \check{s}(w_p, u_p)}{\check{s}(v_p, u_p)} + \beta_p^2 \frac{\check{p}(v_p, w_p) \check{p}(w_p, u_p)}{\check{p}(v_p, u_p)} \right] \quad [\Omega/\text{m}]$$

(no periodicity)

↓ Asymptotic expansion $k \rightarrow 0$

$$Z(k) = \frac{iZ_0}{\beta h} \sum_{p=0}^{\infty} \Lambda_p \left[\frac{k}{\alpha_p \gamma^2} T(\alpha_p w) - \frac{k^3}{2\rho^2 \alpha_p^5} S(\alpha_p w) \right]$$

contradiction

Olver expansion

$$k > \alpha_p / \beta$$

(para-approx.)

- Exact solution for periodic system

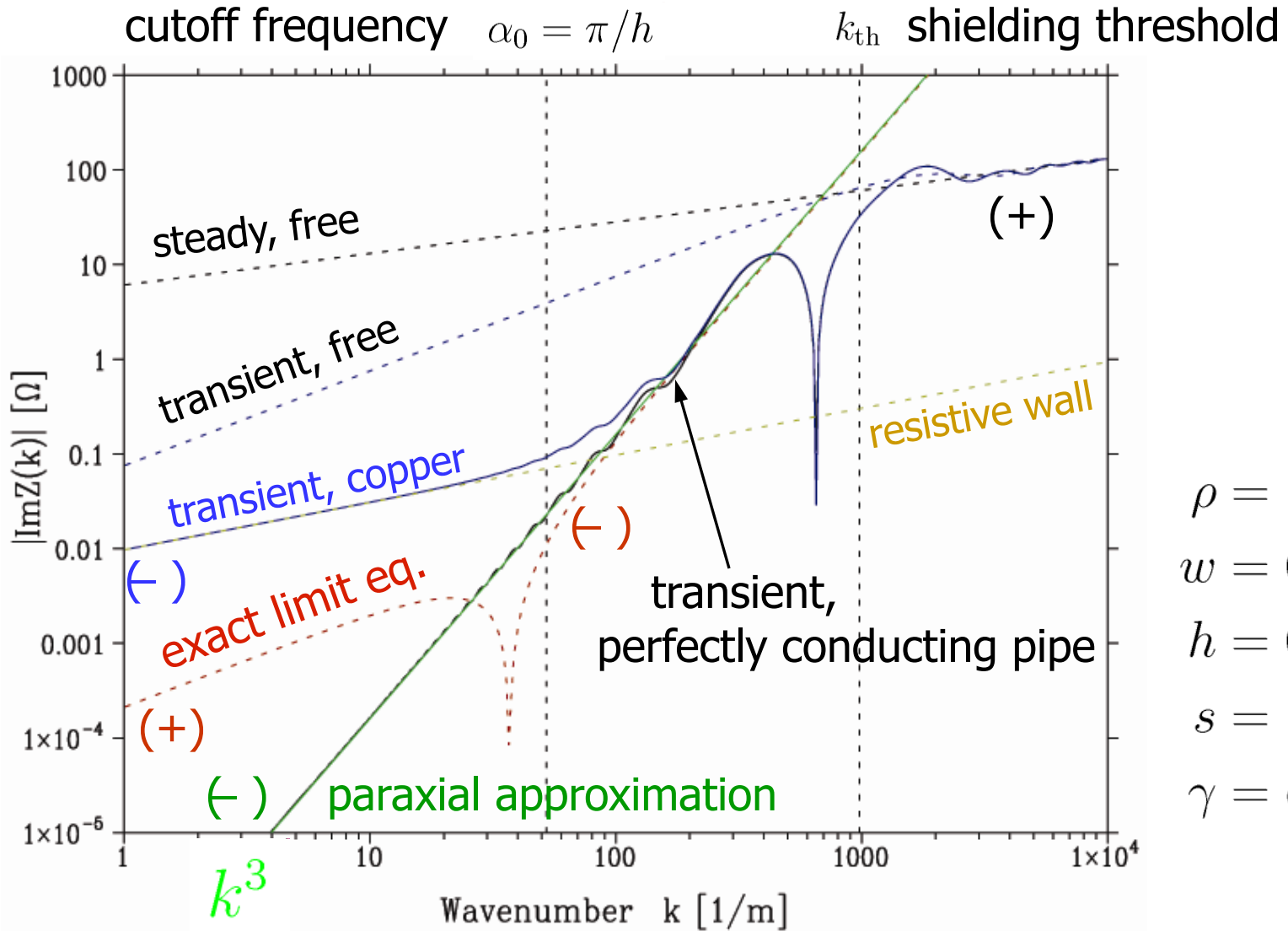
$$\frac{Z(n, \omega)}{n} = i \frac{\pi Z_0}{\beta h} \sum_{p=0}^{\infty} \Lambda_p \left[\beta^2 \frac{s_n(\gamma_p \rho_b, \gamma_p \rho) s_n(\gamma_p \rho, \gamma_p \rho_a)}{s_n(\gamma_p \rho_b, \gamma_p \rho_a)} + \frac{\alpha_p^2}{\gamma_p^2} \frac{p_n(\gamma_p \rho_b, \gamma_p \rho) p_n(\gamma_p \rho, \gamma_p \rho_a)}{p_n(\gamma_p \rho_b, \gamma_p \rho_a)} \right]$$

↓ Debye expansion $k < \alpha_p / \beta$

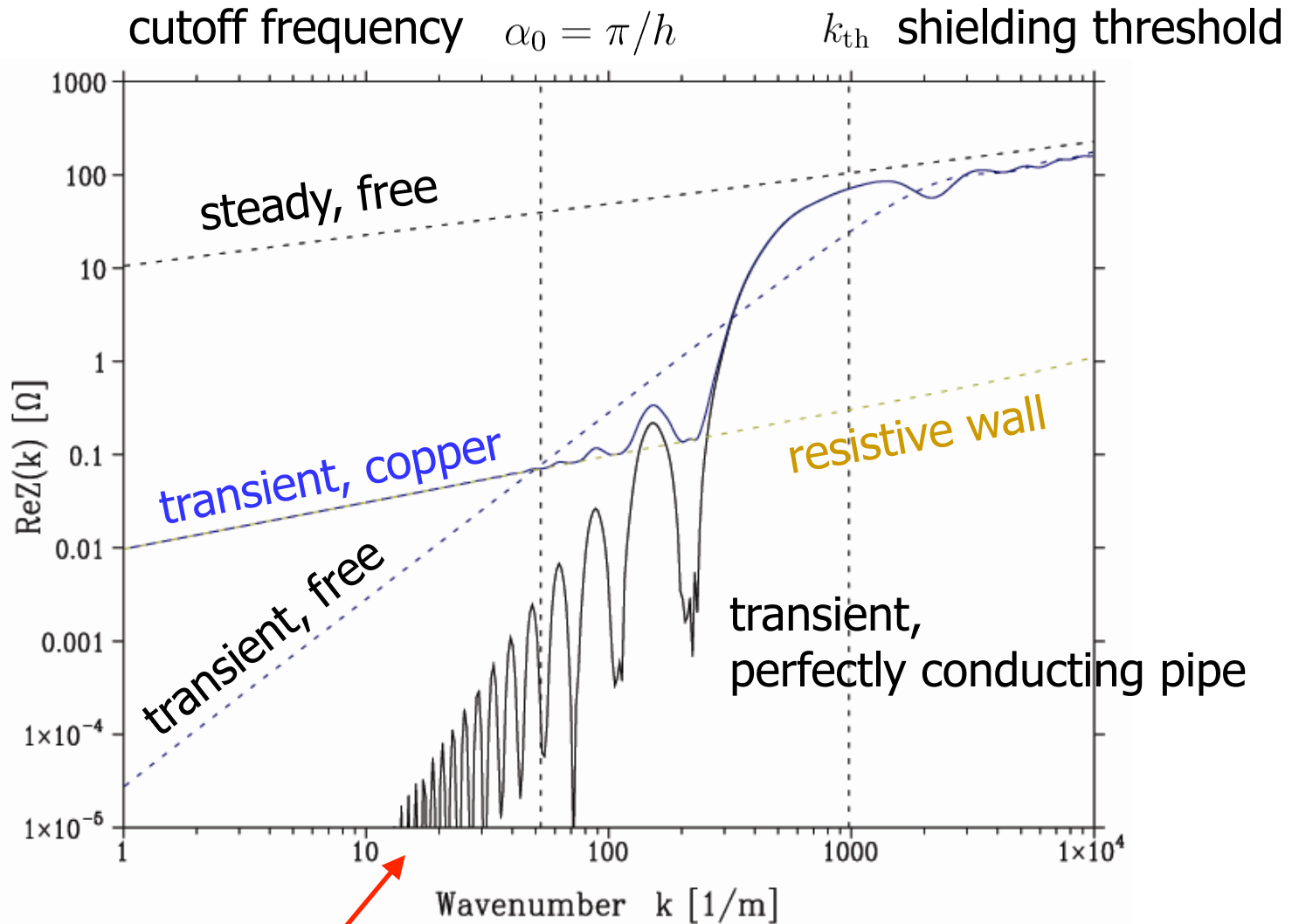
$$Z(k) = \frac{iZ_0}{\beta h} \sum_{p=0}^{\infty} \Lambda_p \left[\left(\frac{k}{\alpha_p \gamma^2} + \frac{k}{2\rho^2 \alpha_p^3} \right) T(\alpha_p w) - \frac{k^3}{2\rho^2 \alpha_p^5} S(\alpha_p w) \right] \quad [\Omega/\text{m}]$$

no longer periodic

Imaginary impedance



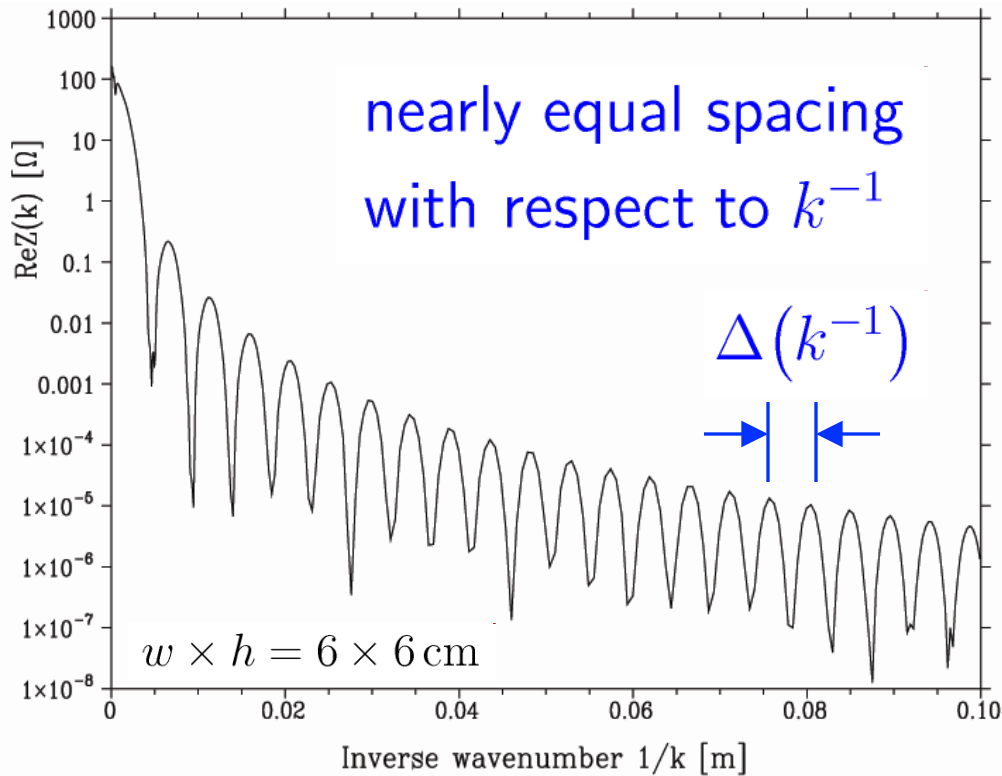
Real impedance



strange structure

The lowest resonance $k_0 = 1029$ [1/m]

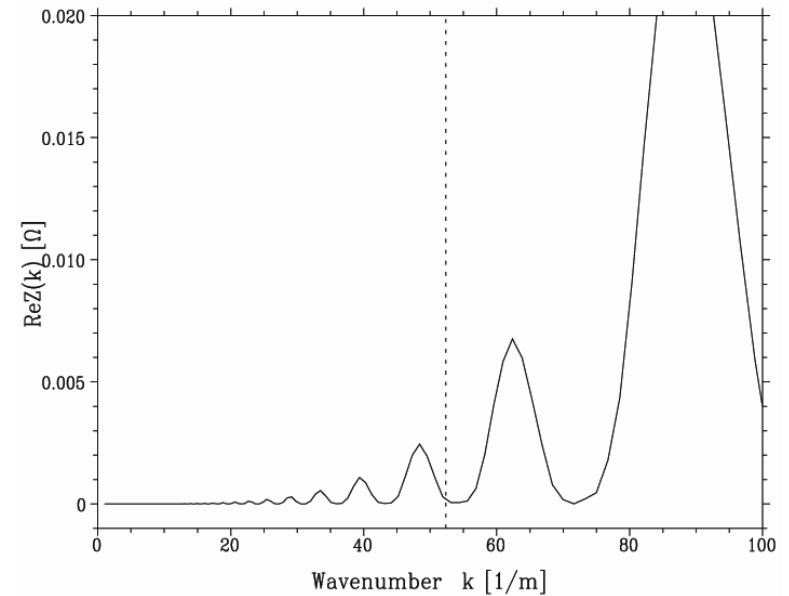
Fluctuation of impedance at low frequency



$$e^{iQ_{jp}\kappa_s s} \sim e^{ia_{jp}s/k} \quad \text{for } k \rightarrow 0$$

$$a_{jp} = -\frac{1}{2} \left\{ \left(\frac{\pi j}{w} \right)^2 + \alpha_p^2 \right\}$$

Fundamental mode $(j, p) = 0$ is dominant

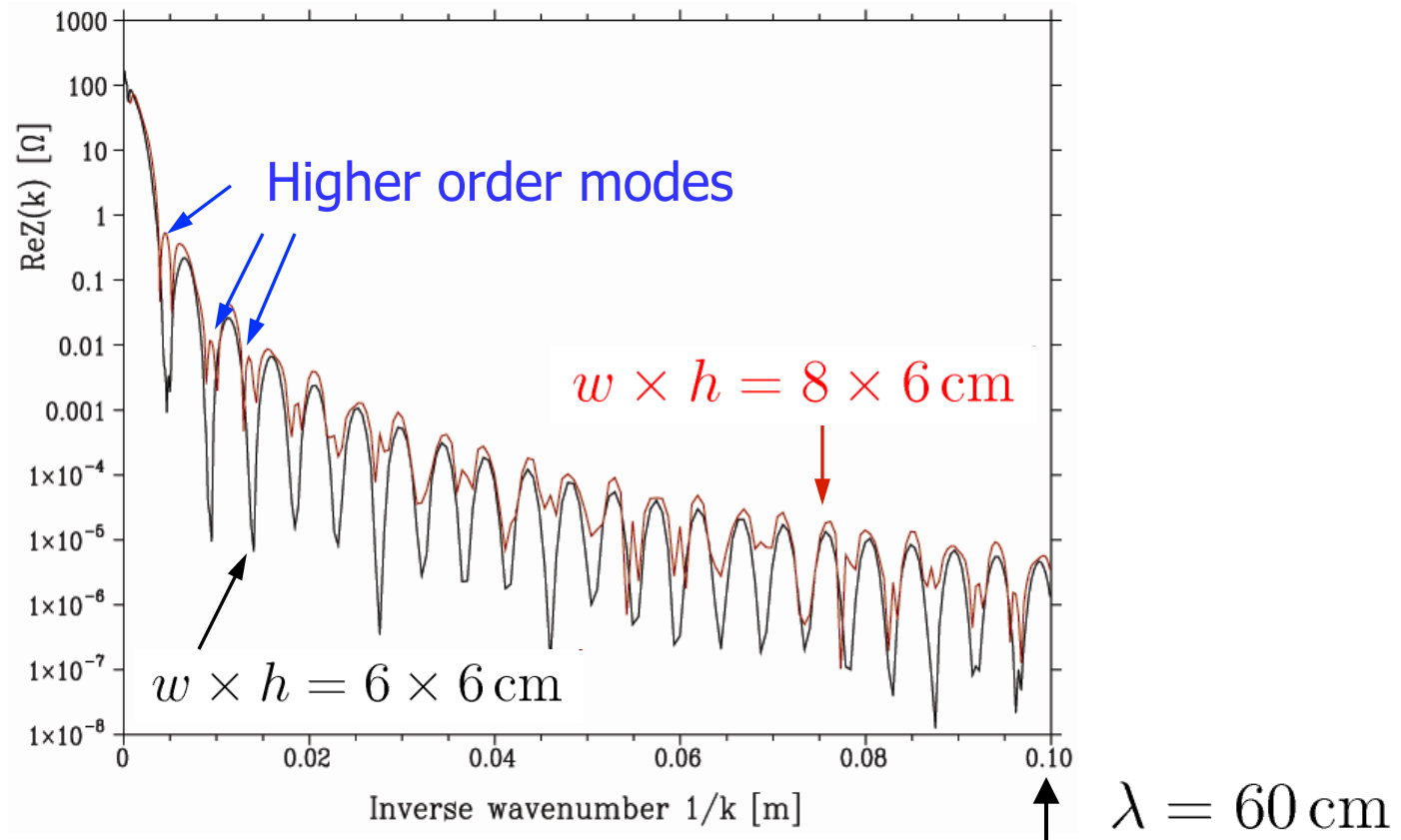


Fluctuation period w.r.t. k^{-1}

$$\Delta(k^{-1}) = \frac{4\pi}{s\alpha_0^2} = \frac{4h^2}{\pi s}$$

agreement with grid calculation

$$\Delta(k^{-1}) = \begin{cases} 4.58 \times 10^{-3} & \text{(theory)} \\ 4.61 \times 10^{-3} & \text{(simulation)} \end{cases}$$



Q) What's the physical sense of this structure?

or, error of the paraxial approximation? $\partial_s^2 + 2ik\partial_s$

or, due to some assumption? $1/\rho(s) = \theta(s)/\rho_0$

Conventional parabolic equation

$$\left[2ik\partial_s + \nabla_{\perp}^2 + k^2 \left(\frac{2x}{\rho} - \frac{1}{\gamma^2} \right) \right] \mathbf{E}_{\perp} = \nabla_{\perp} J_0$$

$$-ikE_s = \nabla_{\perp} \cdot \mathbf{E}_{\perp} - J_0$$

Modified parabolic equation

$$\left[2ik\partial_s + g^2 \nabla_{\perp}^2 + k^2 (\beta^2 g^2 - 1) \right] \mathbf{E}_{\perp} - \frac{2ik}{\rho} E_s \mathbf{e}_x = g^2 \nabla_{\perp} J_0$$

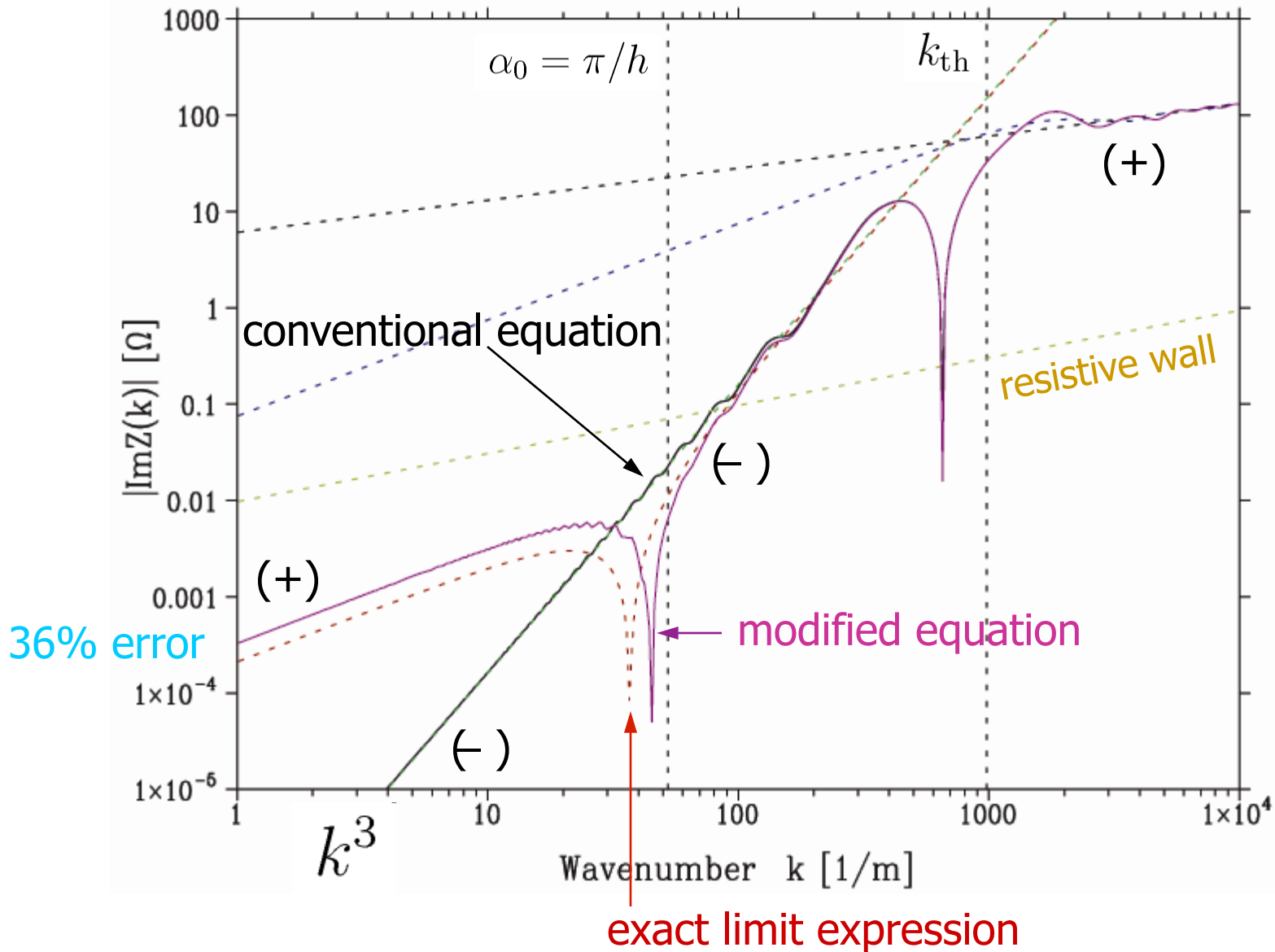
$$-ikE_s = g(\nabla_{\perp} \cdot \mathbf{E}_{\perp} - J_0)$$

Curvature factor $g = 1 + \frac{x}{\rho}$

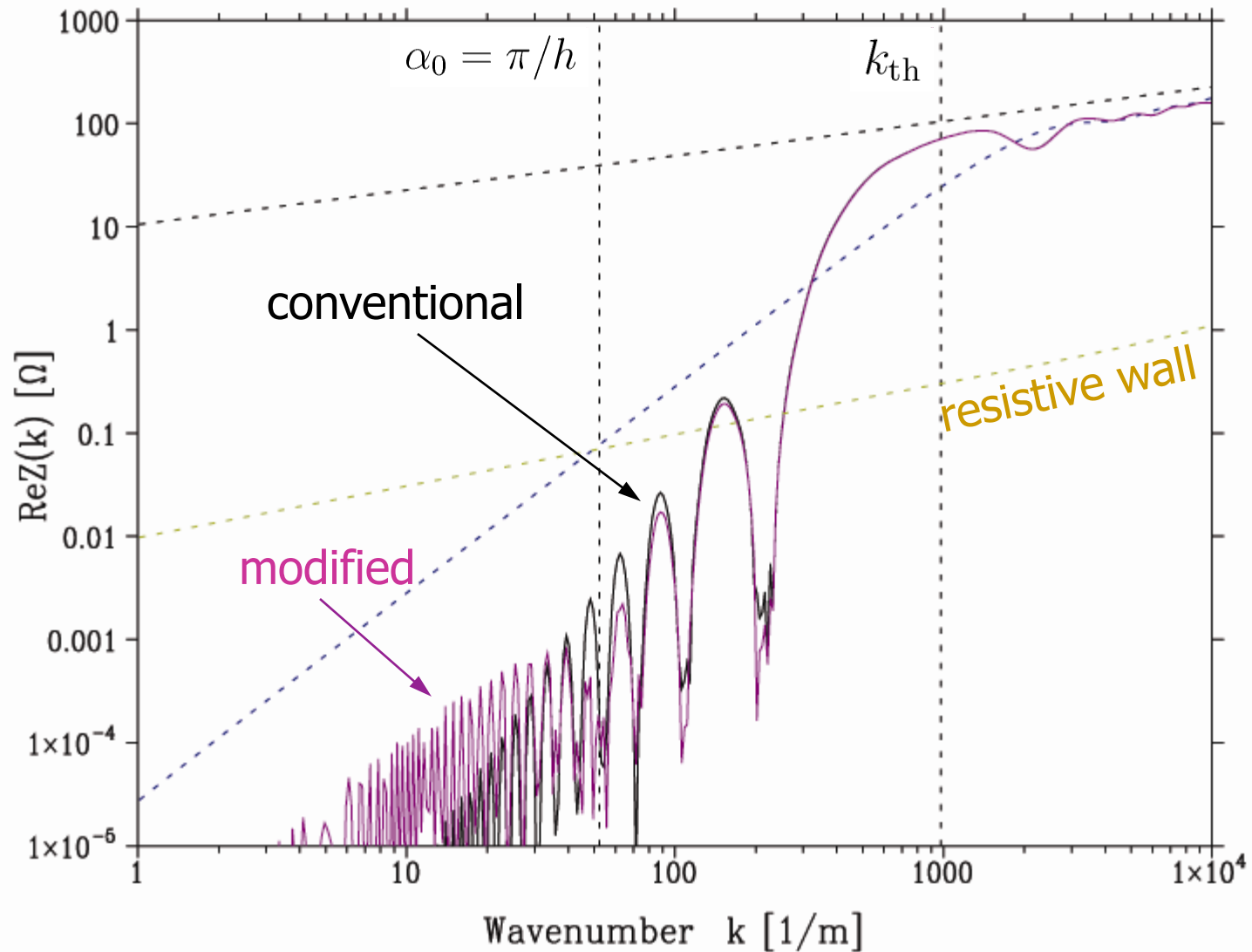
radiation condition

$$\beta g > 1 \Rightarrow \beta \frac{\rho_b}{\rho} > 1$$

Imaginary impedance in a perfectly conducting pipe

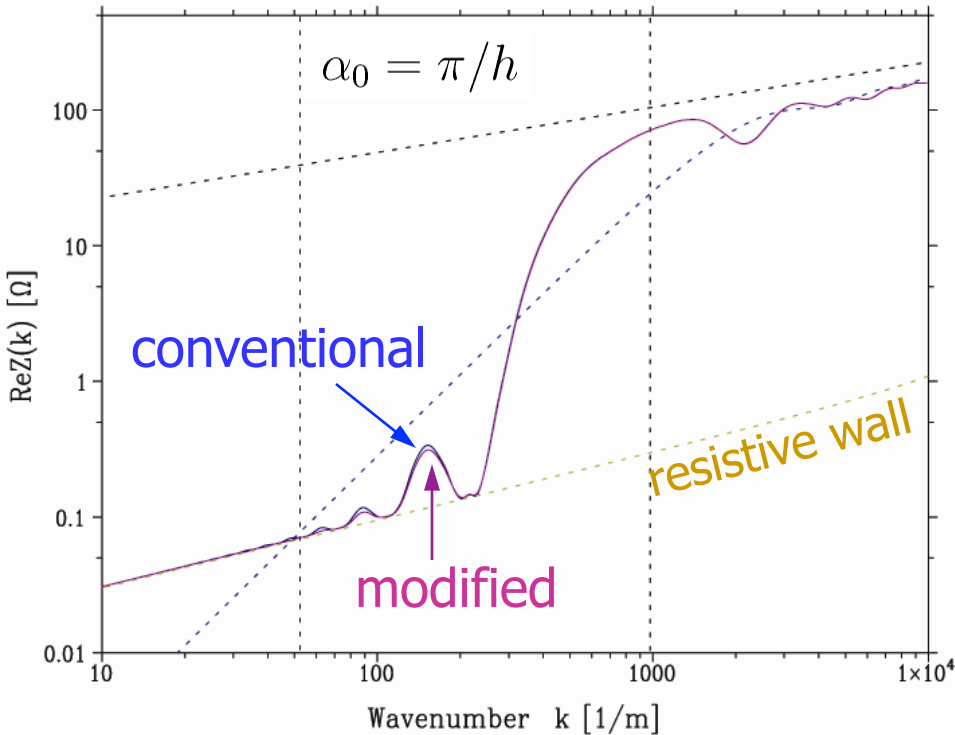


Real impedance in a perfectly conducting pipe

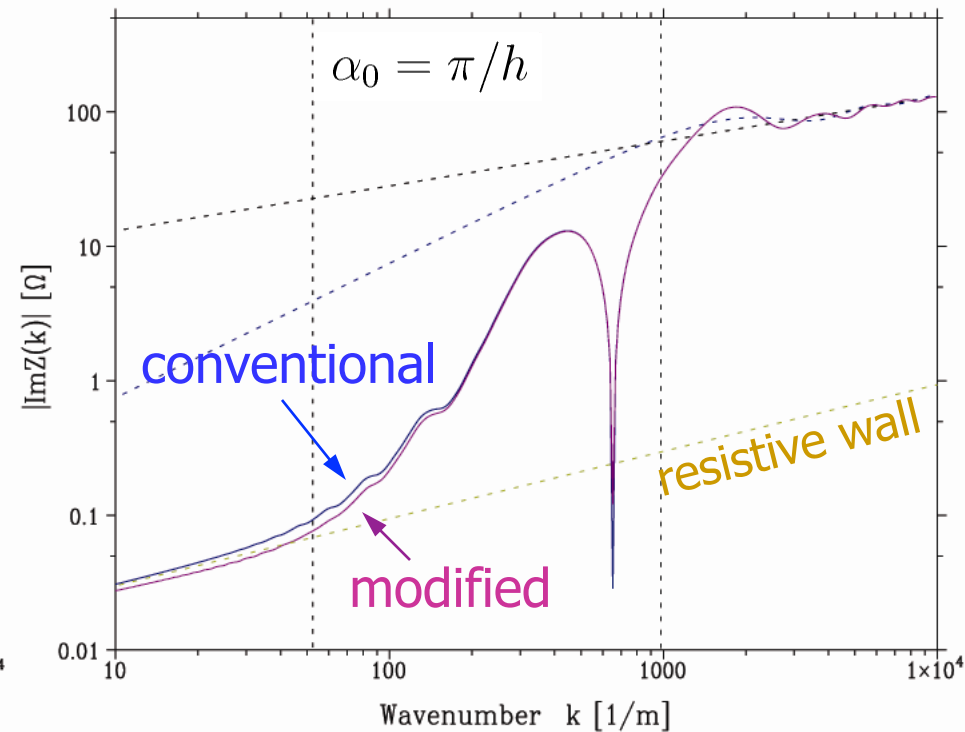


CSR in a resistive pipe

Real part



Imaginary part



The difference is almost buried in the **resistive wall impedance**.

somewhat different ($\sim 20\%$) around the cutoff wavenumber

Summary

- Modified parabolic equation

$$\left[2ik\partial_s + g^2\nabla_{\perp}^2 + k^2(\beta^2g^2 - 1) \right] \mathbf{E}_{\perp} - \frac{2ik}{\rho} E_s \mathbf{e}_x = g^2\nabla_{\perp} J_0$$

$$-ikE_s = g(\nabla_{\perp} \cdot \mathbf{E}_{\perp} - J_0) \quad g = 1 + \frac{x}{\rho}$$

- The imaginary impedance for this parabolic equation has better behavior than the conventional equation.
- However, the error is still large ($\sim 40\%$) below the cutoff wavenumber, compared to the exact equation.
- The impedance has strange structure in transient state, though the picture is not clear.